

Fluorescence *In Situ* Hybridization Studies of Sperm Aneuploidies in Infertile Men

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Abstract: Fluorescence in situ hybridization analysis, performed with chromosome specific DNA probes labeled with fluorochromes, is a simple and reliable tool for the indirect study of aneuploidies in interphase cells such as spermatozoa.

It is known that infertile male patients with poor sperm quality, due to different causes, produce cytogenetically abnormal spermatozoa despite a normal constitutional karyotype as a result of an altered intra-testicular environment that negatively affects the mechanisms controlling chromosome segregation during cell division. A particular subgroup of this category is composed of individuals with systematic sperm defects, characterized by an identical, specific alteration that affects the vast majority of their sperm population. Altered meiotic segregation has been described mainly in globozoospermia and in sperm with dysplasia of the fibrous sheath.

Moreover, we also considered sperm aneuploidies in the presence of somatic chromosome abnormalities: numerical chromosomal anomalies, such as the presence of an extra chromosome and structural chromosomal anomalies, including translocations and inversions. It is known that somatic chromosomal abnormalities are often associated with infertility and have definite consequences on the cytogenetic anomalies observed in spermatozoa.

Since individuals with abnormal semen parameters, also those that are carriers of a constitutional abnormal karyotype, make up the majority of intracytoplasmic sperm injection candidates, it is of great interest to study the chromosomal constitution of their spermatozoa.

The problem of the possible presence of aneuploidy in sperm from infertile men should be seriously considered due to the documented risk of the transmission of a chromosomal imbalance to offspring.

INTRODUCTION

Infertility is a significant problem that affects up to 15% of couples in the reproductive age [1]. Difficulties in reproduction have been associated with somatic chromosomal anomalies or with cytogenetic abnormalities found directly in the germ cells of infertile individuals with a normal constitutional karyotype.

Both of these categories generally show more or less severely compromised spermatogenesis leading to altered sperm parameters concomitant with an increase in the frequency of chromosome aneuploidy [2, 3].

Meiosis is a process that includes two consecutive cell divisions, with a single DNA replication, leading to a reduction in the amount of genetic material. The first meiotic division involves primary spermatocytes (diploid) and consists of a pairing of homologous chromosomes in order to recombine genetic material and to produce new genetic combinations in the offspring; at anaphase, each homologous chromosome migrates to the cell poles to produce secondary spermatocytes. During the second meiotic division the chromatids of each chromosome migrate to cell poles to produce haploid spermatids. The spermatids are finally

shaped in spermatozoa by means of spermiogenesis, a process characterized by the formation of the acrosome and the axoneme, by the change of the cellular profile and the re-location of organelles. If any of these steps fails to happen correctly, different kinds of chromosome abnormalities, such as aneuploidy and diploidy, can occur [4].

The possible consequences of sperm aneuploidies become clinically relevant with the advent of assisted reproductive techniques (ART), particularly with the introduction of intracytoplasmic sperm injection (ICSI), which gave rise to many concerns about its safety and about long term effects on offspring. ICSI bypasses all the natural barriers of the fertilization process, enabling sperm with abnormal morphology and motility and those that are not fully mature to fertilize the egg.

Since individuals with abnormal semen parameters make up the majority of ICSI candidates, it is of great interest to study the chromosomal constitution of their spermatozoa. During the past few years there has been an explosion in the information about chromosome abnormalities in human sperm and the meiotic events that induce these abnormalities. The chromosome constitution of human spermatozoa was studied for the first time in 1978 by Rudak *et al.* [5] using the ability of human spermatozoa to penetrate zona-free hamster oocytes, and subsequently by other groups [6-8]. This method provides precise sperm karyotypes, in which numerical and structural abnormalities can be assessed for

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each chromosome. However, sperm-hamster oocyte fusion is difficult and time-consuming, thus its use was limited to a few laboratories and it was never applied in a clinical setting.

Fluorescence in situ hybridization (FISH) technology is a useful option for estimating aneuploidy frequencies directly in interphase cells, such as spermatozoa [3]. FISH analysis is based on the hybridization of chromosome-specific DNA probes labeled with different fluorochromes to complementary DNA sequences on target chromosomes, and then on detection by means of an optical microscope equipped with a fluorescence apparatus and filters for the dyes that were used. Centromeric or locus specific probes can enumerate chromosomes in interphase nuclei and their use allows for the study of thousands of spermatozoa in a relatively short period of time. Two-color FISH is required for autosomes analysis and three-color FISH is necessary for the analysis of sex chromosomal aneuploidy in order to distinguish a diploid XY sperm (two autosomal signal, Fig. (1a, b) from a disomic one (one autosomal signal, Fig. (1c, d)). FISH emerged as a fast, inexpensive and easy method to study sperm chromosomal constitution, and for this reason it has been included in the protocols for the study of infertile males in many laboratories around the world. It should be noted that FISH is an indirect method: fluorescent signals, rather than chromosomes, are scored. The limitations of FISH analysis consist in the impossibility to obtain a complete karyotype: the analysis only considers the chromosomes investigated and does not allow for the detection of chromosomal structural anomalies. New methods, such as single sperm typing and synaptonemal complex analysis, have provided valuable insight into the association between meiotic recombination and the production of aneuploid sperm [3]. Single sperm polymerase chain reaction is a difficult and time consuming technique that can be used in specific studies on recombination in particular areas of the genome. Meiotic analysis of the synaptonemal complex (SC) can be performed by means of immunofluorescence using antibodies able to visualize SC elements or DNA repair proteins such as MLH1, identifying the sites of meiotic exchange [3].

The first report on spermatozoa from infertile men analyzed by FISH was published in 1994 [9]. Since that time an increasing number of papers have illustrated FISH data in decondensed sperm nuclei in order to evaluate the incidence of aneuploidies and diploidies in infertile males with a normal karyotype. First of all, meiotic alterations were found in cases of severe oligoasthenoteratozoospermia (OAT) [4, 10, 11]. The risk of chromosomal aneuploidies was inversely correlated with sperm concentration and total progressive motility [12, 13]. Templado *et al.* [14] demonstrated an increase in sperm chromosome abnormalities in a selected group of patients with asthenoteratozoospermia and normal sperm concentration. At the same time the studies focused on the correlation between the incidence of chromosomal alterations and sperm morphology, sometimes also evaluated by transmission electron microscopy (TEM) [15-19].

Subjects showing systematic sperm defects [20] are a particularly interesting subgroup of infertile men with a normal constitutional karyotype. In these rare cases all the spermatozoa of a sterile individual are affected by only one

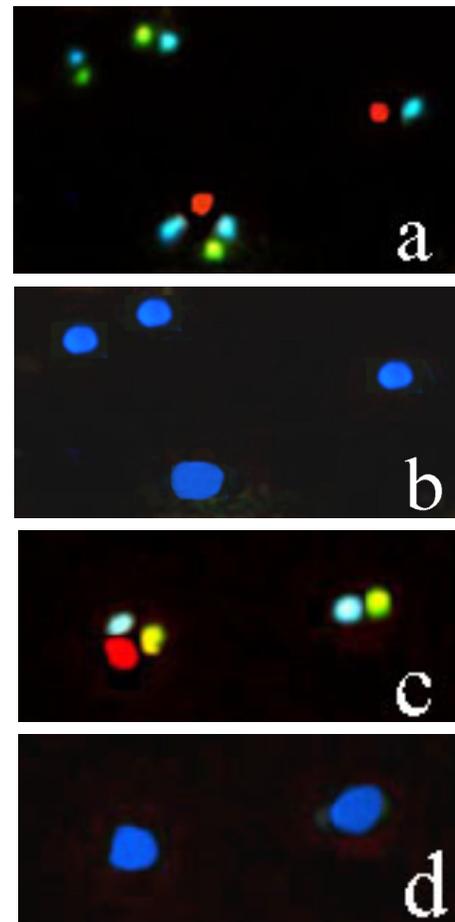


Fig. (1). Micrographs showing human sperm nuclei studied by FISH performed using probes (CEP, Chromosome Enumeration Probes, Vysis, IL, USA) for chromosomes 18 (aqua), X (green) and Y (red). A diploid sperm is characterised by a green X signal, a red Y signal and two aqua chromosome 18 signals (a); a disomic sperm shows a green X signal, a red Y signal and a single aqua chromosome 18 signal (c). Figs b-d represent the same spermatozoa after 4',6 Diamidino -2-phenylindole dihydrochloride (DAPI) staining.

identical, specific alteration. This alteration is not treatable and is present during the entire life of the subject. The spermatozoa of these patients will always be unable to naturally fertilize and their defects have been suspected of having a genetic origin [20, 21]. The systematic sperm defects reported in the literature are: the “crater defect” [22], the “globozoospermia” [23], the “miniacrosome” [24], the “detached tail” [25, 26], the “Dysplasia Fibrous Sheath” (DFS) [27], the “Primary Ciliary Dyskinesia” (PCD) [28], the “9+0 axoneme” [29], and composed tail defects characterized by an abnormal mitochondrial helix associated with the presence of multiple axonemes and alternatively with the “absence of fibrous sheath” [30, 31] or lack of axoneme and outer dense fibers from the principal piece [32].

In regard to systematic sperm defects, few papers have reported aneuploidy and diploidy data in decondensed sperm nuclei concerning mainly globozoospermia, DFS and PCD [33-43].

Another field of investigation concerns the correlation between the presence of an abnormal lymphocyte karyotype and an increased incidence of sperm aneuploidy.

The most common karyotype alterations include numerical sex chromosome anomalies, such as the 47, XXY chromosome constitution in Klinefelter syndrome, or 47, XYY aneuploidy and balanced structural chromosomal reorganizations, such as Robertsonian translocations or reciprocal translocations which are found in 0.1% of newborns, or pericentric and paracentric inversions that are detected in 0.02% of newborns (with the exception of inversions affecting the heterochromatic regions of chromosomes 1, 9 and 16, which are considered to be polymorphisms) [4]. Structural chromosomal anomalies, usually involving sex (4%) and autosomal (1%) chromosomes [44, 45], occur more frequently in infertile men than in the general population [46].

Since cytogenetic studies of spermatozoa have become possible, several groups have focused on the analysis of the meiotic behavior of specific chromosomal reorganizations and on the evaluation of balanced or unbalanced sperm in order to offer patients accurate reproduction advice. Many papers have reported the correlation between the presence of abnormal lymphocyte karyotype, mainly translocations, and an increased incidence of sperm aneuploidies [3, 12, 47-51]. Moreover, in patients with an altered somatic karyotype, the segregation of rearranged chromosomes could lead to missegregation of the chromosomes not involved in the reorganization. This phenomenon is known as the InterChromosomal Effect (ICE) [52]. For example, an aneuploidy of sex chromosomes might affect the segregation of an autosome, or the presence of a translocation could interfere with the segregation of chromosomes that are not involved in the rearrangement.

This review is aimed at summarizing FISH studies concerning sperm aneuploidy evaluated in infertile men with a normal somatic karyotype, including those affected by systematic sperm defects and in carriers of somatic chromosome abnormalities.

INFERTILE MEN WITH A NORMAL KARYOTYPE

Sperm aneuploidies were evaluated by FISH analysis in order to explore the possibility that meiosis in infertile men with normal karyotypes is prone to errors of nondisjunction. Several putative male risk factors for sperm aneuploidy have been described, including advanced age, cancer chemotherapy, suicide attempts by the use of high-dose diazepam, cigarette smoking, exposure to air pollution [53], chronic hepatitis C virus infection [54], and recently even emotional stress [55]. Although the effect of hormones on meiotic segregation has been poorly investigated, a link has been reported between gonadal failure (demonstrated by a high serum follicle-stimulating hormone level) and the occurrence of sperm chromosome aneuploidies [56, 57]. An emerging field of research regards the potential effect of a toxic chemical present in the environment on reproductive dysfunction. FISH studies on the sperm of men exposed to pesticides, including xenoestrogens, have yielded conflicting results [58]; however, recent studies indicate that persistent organohalogen pollutants may contribute to changes in Y-

and X-chromosome-bearing sperm, determined by two-color FISH, of exposed populations [59].

Several studies have already verified that patients with poor semen quality and a normal 46,XY karyotype show an increase in sperm disomy and diploidy rates [2, 18, 60]. The first report on this topic was performed by Moosani *et al.* [61] who studied men with OAT by using the human sperm-hamster oocyte fusion system and FISH for chromosomes 1, 12 and the sex chromosomes. A significant increase in the frequency of chromosome 1 and of XY disomies was found. Since then, many groups have confirmed that the frequency of sperm aneuploidy in 46, XY infertile men is approximately three times higher than in control donors [3]. Most of these studies concluded that there was an increase risk of chromosomal aneuploidies in cases of severe OAT [4, 10, 11, 62, 63]. Looking separately at each sperm parameter, the association of both severe oligozoospermia and teratozoospermia with sperm aneuploidy is generally accepted [4, 12, 14, 64-66]. Regarding sperm morphology, macrocephalic, multinucleated, multiflagellated sperm appear to be at very high risk of aneuploidy [15, 17, 67-69]. Some asthenozoospermia studies have been performed to explore whether reduced sperm motility could be associated with increased sperm disomy and diploidy rates in infertile patients [12, 70-72]. Unfortunately it was difficult to isolate a large group of men with asthenozoospermia only, since this condition is often concomitant with oligo and/or teratozoospermia. Collodel *et al.* [13], examining a large population of patients stratified in groups according to motility parameter, observed that asthenozoospermia could be associated with altered sperm chromosome segregation. Increased rates of non disjunction for chromosomes 18, X and Y have also been demonstrated in sperm from infertile patients that had been identified as normal by strict morphology: normal morphology was not considered to be an absolute indicator for the selection of genetically normal sperm [73].

Looking at this issue from another perspective, altered meiotic segregation, leading to the production of diploidy and sex chromosomes disomy, has also been described in sperm from patients with genitourinary infections or recovered genitourinary infections [74, 75], from patients with varicocele [18, 76] and patients who underwent orchidopexy for unilateral or bilateral cryptorchidism during childhood [19]. It seems that any perturbation of spermatogenesis may cause an increased risk of aneuploid spermatozoa. Infertile males with poor sperm quality produce cytogenetically abnormal spermatozoa despite a normal karyotype as the result of an altered intra-testicular environment that negatively affects the mechanisms controlling chromosome segregation during cell division, as also noted by Calogero *et al.* [77].

An increase in sperm aneuploidy is undoubtedly involved in the outcome of ART. Increased total sperm aneuploidy rates were found to be associated with lower implantation and pregnancy rates and higher rates of miscarriage in patients undergoing ICSI [78]. A report from Bonduelle *et al.* [79] on prenatal diagnoses carried out on 1568 fetuses conceived by ICSI, showed a significant enhancement in *de novo* chromosomal anomalies of 1.58% of them, while only 0.45% of *de novo* abnormalities were found in the normal

population. *De novo* sex chromosomal anomalies alone accounted for 0.63% of prenatally tested ICSI fetuses, compared to 0.19% in the normal population. This increased incidence of chromosomal abnormalities was related to sperm concentration and motility. Another study [80] reports the results of prenatal cytogenetic analysis performed in 71 fetuses conceived by ICSI: nine (12.7%) chromosomal aberrations were detected, including two cases of 47, XXY, four cases involving a 45,X cell line and three cases of autosomal trisomies. Six cases involving a sex chromosome abnormality were found to be of paternal origin.

Aran *et al.* [62] have shown that patients with meiotic disorders and increased diploidy frequencies (0.53% vs 0.25% in controls) also had increased miscarriage rates after ICSI (33.3% vs 7.1% in cases with normal meiosis) suggesting a direct involvement of abnormal spermatozoa in cases of recurrent miscarriage of presumably paternal origin [4].

Several sets of data indicate that moderate, albeit significant, increase in a given type of sperm disomy is related to an increase of aneuploidy in offspring. Some fathers of children with Down syndrome of paternal origin were affected by higher frequencies of chromosome 21 disomy in spermatozoa [81]. Paternal origin of trisomy 21, following ICSI procedure, was demonstrated by the analysis of two polymorphic microsatellite markers [82]. FISH studies explored sex chromosomes aneuploidy in sperm from men who fathered, by natural conception, children with Turner syndrome [83] and Klinefelter syndrome [84] highlighting a significant increase in XY disomy and sex-null sperm. A high level of nullisomy was also detected in sperm from a severely oligoasthenozoospermic man who produced, by ICSI, a 45, X abortus [85].

Systematic Genetic Sperm Defects

This section concerns the current literature related to FISH studies in spermatozoa with genetic sperm defects such as “globozoospermia”, “DFS”, “PCD”, “detached tail”, “absence of fibrous sheath” and defects of possible genetic origin recently described and characterized by an abnormally elongated midpiece and the presence of multiple axonemes and alternatively the absence of axoneme and outer dense fibers (ODF) or the absence of fibrous sheath in the principal piece.

Globozoospermia

Globozoospermia is a rare, yet severe disorder leading to male infertility that was first properly described by Schirren *et al.* [23] using electron microscopy. This uncommon alteration is characterized by 100% round headed sperm totally lacking an acrosome. These spermatozoa also show multiple defects involving the absence of a post-acrosomal sheath, and maturation defects such as persistence of cytoplasmic droplets surrounding the head or the midpiece, coiled flagella often with disorganized mitochondria and abnormal chromatin structure [86].

In 1992, Singh [87] distinguished two types of globozoospermia: type I is characterized by a complete absence of acrosome and consequently of acrosomal content, so these spermatozoa are totally unable to penetrate the zona pellucida; type II shows some acrosomal covering with a conical nucleus, sometimes embedded in large cytoplasmic

residues, indicating secondary degenerative changes, and in this case infertility is caused by subsequent poor motility. Most of the papers, based on describing this morphology, regard type I globozoospermia.

It is noteworthy that in globozoospermic cells the chromatin compaction appears to be disturbed, in particular poor condensation and DNA fragmentation [88] were observed. Moreover, apoptosis, immaturity and necrosis have been detected by TEM [41]. On the contrary, other studies reported an association between globozoospermia and an abnormally condensed chromatin and overmaturity [86]. Taken together, the described chromatin alterations could also influence meiotic segregation, consequently impairing ICSI outcome. FISH studies, reporting meiotic segregation in sperm affected by the globozoospermia defect are shown in Table 1. Many authors have reported high aneuploidy frequency of autosomes 13, 15, 16, 18, and 21 [33, 34, 37, 39, 41, 89] and sex chromosomes [33, 36, 41], whereas other researches have not confirmed such an increased aneuploidy rate [35, 88, 90].

Family incidence has been reported in men suffering from acrosomal aplasia, and a mono or polygenic origin has been suggested but not proven [20]. Over the past few years, knockout studies have identified several male infertility candidate genes, including some gene that alters sperm head morphology. In particular, the HIV-1 Rev-binding protein (Hrb) [91], Casein Kinase II alpha' isoform (Csnk2a2) [92], the Golgi-associated PDZ-and coiled coil motif-containing protein (GOPC) [93] and a protein interacting with C kinase (PICK1) [94] are of particular interest, due to phenotypes in null mutant mice that are very similar to human globozoospermia. Regarding the pathogenesis of human globozoospermia, Dam *et al.* [95] reported a first description of an involved gene, describing a family with three affected brothers, in whom they identified a homozygous mutation in the spermatogenesis-specific gene SPATA 16.

Until the advent of ICSI, patients with this type of disorder were considered sterile. The first live birth by ICSI from globozoospermic sperm was reported by Lundin *et al.* [96], thus, if the inability to penetrate the oocytes is bypassed, fertilization could take place. Since then, numerous reports have described successful attempts to achieve either fertilization and pregnancy following ICSI with globozoospermic cells [86]. However, a low fertilization rate of globozoospermic sperm was observed and fertilization seems to be improved by the addition of a calcium ionophore. Using this method Rybouchkin *et al.* [90] reported a pregnancy in a couple with complete fertilization failure due to globozoospermia defect associated with deficient oocyte activation ability. Later, this system was successfully applied in other cases of globozoospermia [97-99].

Dysplasia of the Fibrous Sheath (DFS)

The denomination DFS was introduced by Chemes *et al.* [27] and it identifies major alterations in the fibrous sheath (FS). Most spermatozoa affected by this defect show rigid, short, thick, and/or irregular tails and 95 to 100% are immotile. Ultrastructural studies have highlighted that, despite general maturity of the head region, the axonemal components are generally disorganized and embedded in

Table 1. FISH Studies of Disomy and Diploidy in Spermatozoa with Round Head Genetic Sperm Defect

Studies	Chromosomes Disomy %													Diploidy %	
	1	7	8	9	12	13	15	16	18	21	X+Y	XX	YY		XY
Carrell <i>et al.</i> [33] (2 siblings)						0.7 0.3			0 0.2	0.6 3.0		0.6 0.4	0 0	12.1 0	
Morel <i>et al.</i> [37] (2 cases)		0.259 0.099		0.199 0.139		0.390 0.078			0.021 0.039	0.390 0.058		0 0.019	0.042 0.079	0.148 0.079	0.876 0.304
Viville <i>et al.</i> [35]	0											0	0	0	0.1
Carrell <i>et al.</i> [34] (2 sibling)						0.40 0.32	4.03 0.58		0.74 0.74	0.40 0.14		* °	* °		
Ditzel <i>et al.</i> [39] ^p						6.0		7.0		4.0					1
Vicari <i>et al.</i> [88]			0		0				0			0	0.16	0	
Martin <i>et al.</i> [36]	0.09							0.13		0.19		0.12	0.07	0.38	0.21
Moretti <i>et al.</i> [41] (2 cases)									0.052 0.078		0.364 0.364	0.104 0.052	0.026 0.026	0.234 0.286	0.599 0.494
Shi & Martin [2] (Controls)	0.20				0.17	0.08	0.06		0.06	0.07	0.13	0.03	0.05	0.05	
Collodel & Moretti, [179] Controls)									0.10		0.25	0.06	0.05	0.14	0.28

*Chromosome X aneuploidy %: 0.46; Chromosome Y aneuploidies%: 0.52, ° Chromosome X aneuploidy %: 0.52; Chromosome Y aneuploidies%: 0.60. \$ 100 spermatozoa were evaluated in each sample. In the other published studies the number of cells scored for each patient was between 3,716 [35] and 30,145 [36].

hyperplastic FS material, invading the whole space of the short tail. Mitochondria are not assembled as a periaxonemal helix. The familial incidence of DFS suggests a genetic origin of the defect [100-102]. In recent years, extensive work has been carried out on the protein composition of the FS. Numerous proteins of the FS have been isolated and characterized [103]. Two of these proteins, members of AKAP family (A-kinase anchor proteins), AKAP3 and AKAP4, have been studied extensively in human spermatozoa and in knockout mice showing sperm with short flagella and disorganized FS [20, 104-106].

Regarding FISH studies (Table 2), Rives *et al.* [40] described elevated frequencies of XX, YY disomies and diploidies in spermatozoa from an individual affected by the DFS defect, whereas Viville *et al.* [35], examining sperm from a patient with short flagella syndrome, detected a normal meiotic segregation for the analyzed chromosomes. In recent papers [38, 43] triple-color FISH for chromosomes 18, X and Y was used to analyze spermatozoa from 13 patients with DFS, diagnosed by TEM. A high incidence of numeric disturbances in sperm chromosome constitution, mainly diploidy and sex chromosomal aneuploidies, was observed (Table 2).

Fertility prognosis in these cases has been addressed with microinjection of DFS sperm, which has resulted in fair to good fertilization. Successful ICSI treatments using DFS

sperm have been reported in the literature [102, 107-109]; an accurate review of ICSI outcome in those cases was performed by Chemes and Rawe [20].

Primary Ciliary Dyskinesia (PCD)

PCD, also known as immotile cilia syndrome (ICS), is a condition of sperm immotility and recurrent respiratory tract infections in which all ciliary and flagellar functions are involved [110]. Approximately 50% of ICS/PCD patients have alterations in the visceral rotation (*situs viscerum inversus*) with dextrocardia, corresponding to the Kartagener syndrome. Under light microscopy, sperm tails appear morphologically normal but stiff. TEM analysis revealed the characteristic features of this defect, such as missing outer or inner or both dynein arms, the absence of one or two central microtubules or radial spokes, transposed microtubules, and a lack of axoneme [110-113].

To date, many genes have been found to be mutated in human PCD: some of them encode for dynein protein arm subunits (DNAI1, DNAI2, DNAH5, DNAH11) [114-116]. A new gene, kintoun (ktu), was found mutated in PCD where both outer and inner dynein arms were missing or defective in the axoneme [117].

Current information on meiotic segregation is scarce (Table 2) and includes an increased frequency of XX, YY disomy and diploidy that has been observed in spermatozoa

Table 2. FISH Studies of Disomy and Diploidy in Spermatozoa with Different Genetic Sperm Defects Affecting the Tail

Studies	Chromosomes Disomy %					Diploidy %
	18	X+Y	XX	YY	XY	
Baccetti <i>et al.</i> [38] DFS (12 cases)	0.092	0.530	0.069	0.092	0.369	0.946
	0.240	0.490	0.190	0.090	0.210	0.890
	0.050	0.205	0.025	0.103	0.077	0.465
	0.024	0.270	0.098	0.049	0.123	0.344
	0.232	0.408	0.146	0.116	0.146	0.554
	0.120	0.670	0.050	0.170	0.450	0.430
	0.050	0.470	0.040	0.130	0.300	0.630
	0.213	0.355	0.047	0.023	0.285	0.593
	0.086	0.259	0.065	0.086	0.108	0.172
	0.088	0.176	0.022	0.022	0.132	0.595
	0.091	0.306	0.061	0.061	0.184	0.858
	0.130	0.733	0.131	0.157	0.445	0.575
Rives <i>et al.</i> [40] DFS (2 cases)	0.06		0.40	0.51	0.13	0.16
	0.01		0.18	0.16	0.13	0.17
Moretti & Collodel [43] DFS	0.098	0.569	0.198	0.074	0.297	0.669
Rives <i>et al.</i> [40] Kartagener	0.080		0.220	0.190	0.130	0.460
Moretti & Collodel [43] PCD	0.140	0.340	0.200	0.040	0.100	0.460
Absence of fibrous sheath	0.100	0.270	0.060	0.040	0.170	0.280
Detached tails*	0.00	0.054	0.054	0	0	0.16
Moretti <i>et al.</i> [32] Composed sperm defects	0.09	0.18	0.03	0.03	0.12	0.24
Collodel & Moretti, [179] (Controls)	0.10	0.25	0.06	0.05	0.14	0.28

*1855 nuclei analyzed, since only a few sperm heads were found in the ejaculate.

In the other studies the number of cells scored for each patient was between 4,374 [43] and 10,000 [40].

from a patient affected by Kartagener syndrome associated with *situs viscerum inversus*, chronic sinusitis and bronchiectasis [40]. Moretti and Collodel [43] reported a case of PCD where FISH data highlighted that the frequency of chromosome 18 disomy was normal, whereas the values of sex chromosomes disomy and diploidies were higher compared with those from controls.

Regarding reproductive potential, only one live birth has been reported after *in vitro* fertilization (IVF) using spermatozoa with no progressive motility [118] due to Kartagener syndrome. Fertilization [119], pregnancies [118, 120, 121], and live birth [119, 120] have been reported in the case of PCD, also using testicular sperm from men with Kartagener/immotile cilia syndrome [122, 123].

Detached Tail

Sperm with the “detached tail” defect show heads of normal structure but a deficient post-nuclear region, lacking basal plate and implantation fossa. Tails are broken off at different levels of the midpiece. The detached tail defect originates in the testis and may also occur in the epididymis. If the separation occurs in the testis, the heads are probably phagocytosed by Sertoli cells [124] and *in vitro* fertilization is

impossible. Many authors have reported cases of “acephalic spermatozoa” or “decapitated spermatozoa”, indicating abnormalities of the head-neck attachment at various levels of the tail [26, 125, 126]. Proteins such as centrin, pericentrin, γ -tubulin, speriolin and that recognized by mitotic protein monoclonal antibody-2 have been localized in the sperm centrosome and connecting piece regions, however their significance in the pathogenesis of this syndrome is not clear [127-129]. The human Hook1 gene has been identified as a candidate gene for male infertility since mutation of this gene causes teratozoospermia and decapitation defects [130].

The only case of FISH analysis for chromosomes 18, X and Y (Table 2), performed in decondensed sperm nuclei of such a defect, revealed values of disomy and diploidies comparable to those obtained from the sperm of fertile men [43].

Two cases regarding the possibility of ART attempts must be distinguished. Acephalic forms are predominant in most reported cases, making any attempt at ART impossible. In another variant of the syndrome, acephalic forms are less frequent and spermatozoa with abnormal head-midpiece alignment are predominant [20]. In this case attempts to

achieve pregnancies have been made using the ICSI method. In the first report by Chemes *et al.* [125], oocytes reached the pronuclear stage but failed to undergo syngamy and cleavage. This phenomenon was also observed by Rawe *et al.* [131] in four ICSI cycles with two chemical pregnancies followed by preclinical miscarriage and by Saias-Magnan *et al.* [132] who observed little embryo fragmentation but no pregnancy. A malfunction of the sperm centriole has been claimed as an explanation of this phenomenon [131] and recently a decreased proteasomal activity in human spermatozoa with defective centriolar/pericentriolar structures has also been observed [133], suggesting an important role of sperm proteasomes in zygotic development. A successful birth after ICSI using detached tail sperm has recently been obtained [134].

Composed Sperm Defects of Possible Genetic Origin

These sperm defects are apparently very rare and TEM is needed to characterize them. They probably originate during spermiogenesis and share some typical features, such as a generally well structured sperm head, an abnormally elongated midpiece and the presence of supplementary axonemes. In a case described in the literature by only two groups [30, 31], a total absence of fibrous sheath was observed in the principal piece region, whereas in the other case a total absence of the axoneme and ODF in 95% of principal pieces at the tail level was highlighted [32, 135]. FISH analysis was performed in only one case for each defect and a normal incidence of diploidy and disomy for 18, X and Y chromosomes was observed (Table 2) [32, 43].

In these cases no ICSI attempts were performed, although Rawe *et al.* [136] obtained oocytes fertilization but no pregnancies by means of ICSI in two infertile patients with abnormal organization of sperm mitochondrial helices and severe asthenoteratozoospermia.

MEN WITH ALTERED SOMATIC KARYOTYPE

Robertsonian Translocations

Robertsonian translocations are characterized by the centric fusion of two acrocentric chromosomes resulting in a 45 chromosome karyotype. When the chromosomes pair during meiosis, the translocated chromosomes and their homologues do so as a trivalent. The resulting gametes can be chromosomally normal or aneuploid with an extra or missing chromosome q arm. Robertsonian carriers with fusions between chromosomes 13 and 14 are very common among infertile men.

FISH studies recently reviewed by Martin [3] have shown that the meiotic segregation of Robertsonian translocation carriers presented a mean of 15% unbalanced spermatozoa. All Robertsonian translocations have relatively similar segregation behaviours, despite the participation of different acrocentric chromosomes.

Another aspect to be considered is the possibility of ICE, which seems to affect 58% of Robertsonian translocation carriers [3]. The effects of ICE on the meiotic segregation of sex chromosomes and autosomes has been broadly investigated [12, 48, 137-139] and the results have generally suggested that ICE is restricted to translocation carriers with abnormal semen parameters [12, 48, 51].

Some studies concerning preimplantation genetic diagnosis (PGD) in the case of Robertsonian translocation carriers have reported that a high frequency of embryos show aneuploidy [140, 141], whereas others have not found this effect [142, 143].

In summary, the risk of chromosomal imbalance at prenatal diagnosis is quite low. The final outcome can be a spontaneous abortion or a chromosomally abnormal conceptus, depending on the chromosome involved. Many of these unbalanced chromosomal patterns are not viable since only 1-2% of paternally derived Robertsonian translocations are unbalanced at prenatal diagnosis [144].

Reciprocal Translocations

Reciprocal translocations are an exchange of chromosome material between the arms of any two chromosomes, and the risks of chromosomally unbalanced offspring from male carriers are higher than those from Robertsonian translocations. During meiosis I, translocated chromosomes and their homologues are associated as a quadrivalent and the segregation of the chromosomes involved in this quadrivalent give rise to different frequencies of unbalanced sperm.

The average frequency of chromosomally unbalanced spermatozoa in reciprocal translocation carriers is 50%, it is strongly dependent on the chromosomes involved in the individual translocation and in the break-point position, and it may be slightly increased as a result of a small ICE [2]. As observed by Vozdova *et al.* [145], studying sperm from three male carriers of two different translocations involving chromosomes 11 and 18, the incidence of chromosomally unbalanced or aneuploid gametes varies in the individual translocation carriers even if the same chromosomes are included in the translocation. Martin [3] summarized FISH studies about chromosome segregations in 99 reciprocal translocation heterozygotes, showing a wide range in the frequency of unbalanced gametes, from 37% to 91%. In most reciprocal translocation carriers, alternate segregants are the most common, occurring at approximately 44%-51%; adjacent 1 segregants have a frequency of 16%-40%; adjacent 2 segregants are less common with a mean frequency of 9%; and 3:1 segregants occur at a mean frequency of 11% with a wide range of 2%-40% [2]. Reciprocal translocation X- autosomes have been reported to be a direct genetic risk factor for spermatogenetic maturation arrest. For example, an unusual reciprocal X-autosome 11 translocation was recently found in an infertile man with azoospermia [146]. It is also known that Y-autosome translocation is a rare condition, associated with azoospermia [147] and Pinho *et al.* [148] found a *de novo* t(Y;1)(q12;q12) balanced reciprocal translocation with the loss of the heterochromatic region of chromosome 1 that caused the unpairing of sex chromosomes followed by meiosis I arrest, apoptotic degeneration of germ cells and azoospermia. A correlation between poor sperm quality, increased sperm aneuploidy rates and the presence of a reciprocal translocation is well documented [12, 48, 50].

Many studies, reviewed by Shi and Martin [2], have analyzed ICE in reciprocal translocation carriers, demonstrating that the presence of ICE varies greatly among the studied chromosomes. In particular, the high frequency

of sperm diploidies detected by FISH indicates an incomplete process of meiosis leading to immature sperm cells with double nuclei, as observed by TEM, or with a double chromosome set. So far, the increase in diploid sperm has been detected in carriers of balanced reorganizations [4], underlying the pivotal role of diploid sperm in the origin of triploidy, causing pregnancy wastage.

An analysis of meiotic segregation patterns and aneuploidy in the spermatozoa of a father and son with a t(4;5)(p15.1;p12) and the prediction of the individual probability rate for unbalanced progeny at birth have also been carried out; the risk assessment for unfavorable pregnancy outcomes was predicted as 1.6% for unbalanced progeny at birth and about 30% for miscarriage. These figures may be used as guidelines for the genetic counseling of families with similar reciprocal translocations [149]. However, some translocations have increased risks of imbalance and survival, and all have serious consequences of mental and physical handicaps. A number of fetuses with unbalanced segregation of reciprocal translocations has been reported after ICSI [150, 151]. PGD plays a pivotal role in these cases in order to avoid the implantation of chromosomally abnormal embryos.

Inversions

Paracentric and pericentric inversions have been described in almost all human chromosomes.

Paracentric inversion (PAI) is a rearrangement involving two breaks within the same chromosome arm, followed by the reinsertion of the chromosome segment after a 180 degree rotation. PAI are one of the most common forms of chromosome polymorphism found in nature, with a suggested incidence ranging from 0.1% to 0.5% in the human population. PAI is associated with a very low risk of recombination disequilibrium, as reported and reviewed by Vialard *et al.* [152]. A new strategy based on FISH assay has been developed using multiple bacterial artificial chromosome probes to identify chromosomal breakpoints and meiotic products in human sperm [153, 154].

Most researchers agree on the absence of ICE in PAI. To the best of our knowledge, no publications have reported a relationship between PAI and altered sperm parameters, although Ichioka *et al.* [155] have described a case in which PAI of the short arm of chromosome 7 was associated with azoospermia.

Pericentric inversions are structural chromosomal abnormalities resulting from two breaks within the same chromosome, one on each side of the centromere, followed by a 180 degree rotation and reunion of the inverted segment. Anton *et al.* [156] reported a variable production of unbalanced gametes (0-38%) in inversion carriers, which implies heterogeneous behavior of the inversion. This variability seems to be directly related to the size of the inversion, indicating that the production of recombinant gametes in an inversion carrier would not be relevant when the inverted segment is small. Pericentric inversion of chromosomes 9 and 2 are considered to be normal variants of karyotype [157]. However, some evidence reported in the literature has shown a possible involvement of pericentric chromosome 9 inversion in unrelated and related infertile men [158, 159]. Baccetti *et al.* [160] observed a severe

asthenozoospermia in two unrelated heterozygous carriers of a pericentric inversion of chromosome 9, explained by the existence of the DFS sperm defect. Semen samples from 18 male carriers of chromosome 9 inversion were recently analysed. Five out of 18 patients were azoospermic, sperm concentration was normal in nine patients and progressive motility was in the normal range in only two patients. The presence of apoptosis was observed by TEM analysis. FISH data have shown an increased incidence of diploidy [161].

The reproductive fitness of inversion carriers could also be compromised by the occurrence of ICE; the literature reports several sperm segregation studies in inversion carriers, reviewed by Anton *et al.* [156], but none of them found a significant occurrence of ICE. On the contrary, an increase in aneuploidy in sperm nuclei was demonstrated in a man who was heterozygous for pericentric chromosome 9 inversion [162].

Numerical Chromosome Anomalies

Sex chromosome aneuploidies are the most common chromosome abnormalities observed in the general male population, predominantly in Klinefelter syndrome (47, XXY) and 47, XYY [163].

Patients with Klinefelter syndrome, or mosaic 47,XXY/46,XY, generally show greatly impaired spermatogenesis with severe oligozoospermia or azoospermia. Mosaicism is a condition in which tissues of genetically different types occur in the same organism; the most common mosaic karyotypes are 45,X/46,XX and 45,X/46,XY; another frequent mosaicism is 46,XY/47XXY.

With the aid of modern infertility treatment, technologies such as testicular sperm extraction and ICSI, it is possible for azoospermic 47, XXY patients to father a child. Sperm chromosome studies have demonstrated that only normal germ cells seem to enter into meiosis and at least some XXY cells can reach the primary spermatocyte stage [4]. FISH studies performed in several men with 47,XXY/46,XY have revealed higher sperm aneuploidy frequencies compared to controls; sperm aneuploidy frequencies in non mosaic Klinefelter men varied from 2% to 25% [2]. Arnedo *et al.* [164] studied by FISH sperm aneuploidy in fathers of Klinefelter syndrome offspring. In 53% of the examined cases, the additional X chromosome was of paternal origin. The fathers of paternally transmitted Klinefelter syndrome also showed a significantly higher frequency of XY disomy sperm compared to fathers of the maternal origin group.

Males with an extra Y chromosome are mostly fertile. However, as in the general male population, semen parameters in these men may vary from normozoospermia to severe oligozoospermia [4]. Early meiotic studies in 47,XYY patients suggested that the extra Y chromosome might be lost in the pre-meiotic stages, but in some cases the presence of one X and the two Y chromosomes was detected during prophase I as an univalent plus a YY bivalent.

Sperm chromosome studies by FISH in 47,XYY males were first performed by Han *et al.* [165]. Since then, other authors have shown a moderately increased frequency of sex chromosome abnormalities in this kind of spermatozoa [166-168], but they have found ICE in a lower number of men [166].

A case of an oligoasthenoteratozoospermic 47, XYY male has recently been described. TEM analysis showed an elevated percentage of sperm apoptosis associated with a higher incidence of sex chromosomes disomy and diploidy (specifically 1818XY, indicating a nondisjunction in the first meiotic division (Fig. 1a) than the values observed in controls [169]. Sperm apoptosis has been supposed to be the cause of spermatogenetic impairment due to the persistence of an extra Y chromosome [167, 170].

In addition, a high rate of sex chromosomal and autosomal aneuploidy has been observed in sperm and preimplantation embryos from nonmosaic 47,XYY males. The offspring of this category of patients may be at an increased risk of chromosomal abnormalities, and therefore PGD can be suggested to these patients [171].

Numerical autosomal alterations, such as monosomies and trisomies, are not viable and their products are eliminated during pregnancy or in the perinatal period. Chromosomally abnormal conceptions surviving to term include mainly trisomy 13 (probability of survival at birth 2.8%), 18 (probability of survival of 5.4%) and 21 (probability of survival of 22.1%). Males with trisomy 21 are azoospermic or show severe oligozoospermia. To our knowledge, meiotic studies have only been performed in one case, and in most metaphase I figures (88.5%) the extra chromosome was present as a univalent [4].

Morphological and meiotic spermatogenetic impairment has also been described in men showing a mosaic 46XY/47XY+18 karyotype [172, 173] and altered semen quality. Evidence of a generalized perturbation of the meiotic mechanism leading to an increased risk of producing offspring with aneuploidy was highlighted.

DISCUSSION

Since infertile males, who are candidates for ICSI, could be carriers of sperm aneuploidies, the study of the chromosomal constitution of their spermatozoa is of great interest. The natural selection process of spermatozoa does not occur in ICSI and the risk of injecting abnormal sperm may cause a higher incidence of chromosomal anomalies [174], thus greatly contributing to pregnancy wastage. The increase in prenatal chromosomal abnormalities in ICSI pregnancies, mainly involving sex chromosomes [79] or the *novo* complex intra chromosomal rearrangement [175], has led to the debate regarding the origin of abnormalities and the risk of men prone to aneuploidy. Although some conceptions with numerically altered chromosomal karyotype are maternally derived, studies identify the father as the origin of many of these abnormalities.

The advent of FISH in decondensed sperm nuclei has offered an interesting approach to evaluate aneuploidies directly in male gametes. FISH sperm analysis has been revealed to be the fastest and easiest method, particularly to measure the proportion of unbalanced gametes produced by individuals with structural chromosomal rearrangements. Its use has become very common worldwide and it is even recommended to be routinely incorporated in the genetic screening offered prior to PGD.

The problem of the possible presence of aneuploidy in sperm from infertile men should be seriously considered in

ART, due to the documented risk of transmission of chromosomal imbalance to offspring.

We are aware that it is difficult to consider FISH sperm analysis as a routine examination, but the best candidates for a meiotic study should be carefully identified. Regarding infertile males with normal karyotype, we recommend FISH screening in cases of severe impairment of at least one semen parameter. Gianaroli *et al.* [176] have suggested the inclusion of FISH sperm analysis in preliminary tests offered to infertile couples, mainly in the case of repeated IVF failure. Sanchez-Castro *et al.* [177] recently reported that sperm aneuploidy and diploidy screening seems to be an effective prognostic tool that would be useful in the reproductive genetic counseling of infertile couples, especially in oligozoospermic patients.

Particular attention should be paid to systematic sperm defects, for which further studies should be performed to implement the lack of data regarding the incidence of aneuploidies in that kind of anomalies. Moreover, besides the risk of aneuploidy transmission, there could also be a real possibility of transmitting unknown mutations and causing genetic sperm defects. Regarding infertile males with altered karyotype, Anton *et al.* [178] affirmed that Robertsonian translocation carriers would not obtain much benefit from particular segregation studies because they have a non-random homogeneous segregation pattern with a clearly preferential alternate segregation, leading to a mean of 84% normal/balanced gametes. In reciprocal translocation carriers, the production of normal/balanced gametes would be in a small range of around 35%-50%. Only some specific cases with particular cytogenetic characteristics would deserve further consideration. In inversion carriers, the convenience of FISH sperm studies should be considered in relation to the dimensions of the inverted segment. FISH sperm studies should be recommended [178] only in cases in which the risk of producing unbalanced gametes varies with significant reproductive consequences (carriers of inverted segments involving ~40%-50% of the chromosome). Structural reorganization carriers with significant increases in aneuploidies would have two genetic risks: those derived from the segregation of the rearranged chromosomes, and ICE. ICE studies in sperm could be helpful in the genetic reproductive advice for carriers involved in a PGD program, mainly because the frequent presence of this phenomenon in carriers, the absence of conclusive data about the characteristics of the reorganizations related to ICE, and its controversial effect at the embryo level.

In cases of positive results, a supplementary aneuploid PGD screening should be incorporated in the conventional PGD for structural anomalies [178]. As reported by Egozcue *et al.* [4], prenatal diagnosis is highly recommended in embryos obtained when the male partner carries a sex chromosome abnormality; although PGD would be desirable in these cases, the risk of losing the embryos and the lower pregnancy rates obtained after PGD preclude its use when gestation may already be very difficult to obtain.

In general, all patients should be informed of the risks of producing chromosomally abnormal sperm and children; they should undergo appropriate genetic analyses and informed consent should be obtained before proceeding to

ICSI and it is important to discuss the option of PGD with the couple.

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