

Kinetic Theory of Electrostatic Surface Waves in a Magnetized Plasma Slab

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Abstract: The dispersion relation of electrostatic surface waves propagating in a magnetized plasma slab is derived from Vlasov-Poisson equations under the specular reflection boundary condition. We consider the case that the static magnetic field is parallel to the plasma-vacuum interface and the surface wave propagates obliquely to the magnetic field on the parallel plane. We find that the specular reflection boundary conditions on the plasma slab-vacuum boundaries can be satisfied, even in a magnetized plasma, by simple extension of the electric potential into the vacuum region, due to the inherent symmetry of the distribution function. Utilizing an invariance property of the kinetic surface wave solution, it is shown that the two-mode structure of the surface wave yields the symmetric and anti-symmetric modes. The kinetic dispersion relation is checked against the dispersion relations obtained from fluid equations and shows complete agreement.

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I. INTRODUCTION

Propagation of surface waves on the interface between a vacuum (or a dielectric) and plasmas has drawn much attention because of interest in bounded plasmas and their various technological applications [1, 2]. Surface modes can be used for plasma diagnostics and surface-wave-produced plasmas are the subject of active investigation because such plasmas can be used in plasma processing [3, 4]. Furthermore surface waves are relevant to astrophysical problems in the magnetosphere and in the solar corona [5]. The solar atmosphere is highly structured, containing magnetic slabs and flux tubes. Surface waves are responsible for the heating of the solar corona. The Kelvin-Helmholtz instability is a surface wave instability. In the coupling of the ionosphere and the magnetosphere, surface waves are involved. Because of their importance, we have extensive list of papers for the investigation of the surface waves [6].

Surface modes on a magnetized plasma have been investigated in the context of fluid equations [7-10], but kinetic theory of surface waves in magnetized plasmas is rather few. In Ref. [11], cold plasma electrostatic surface wave dispersion relations are derived in the zero temperature limit of the kinetic dielectric tensor of an infinite magnetized plasma, but kinetic surface wave dispersion relations in hot magnetized plasmas are not derived. Earlier, the kinetic theory of surface waves in a semi-bounded unmagnetized moving plasma was investigated [12, 13].

Solving the Vlasov equation for a bounded plasma requires a kinematic boundary condition for the distribution function on the boundary. This condition is often taken to be the specular reflection condition [14], which is used here. In unmagnetized plasmas, the specular reflection boundary

condition can be straightforwardly enforced by simple extension of the electric field components [15, 16]. We find that, even in a magnetized plasma, the distribution function has a symmetry owing to which the specular reflection boundary condition is rendered to be satisfied by simple extension of the electromagnetic plasma fields.

In this work, we derive the dispersion relations of electrostatic surface waves propagating in magnetized slabs using the Vlasov-Poisson equations. Prior to this work, the dispersion relations of electromagnetic waves in unmagnetized slab were investigated [17]. A slab geometry entails an extra complication because we have two boundaries on which the specular reflection conditions have to be met. Earlier, Lee and Kim [18] investigated the electrostatic surface waves in magnetized slabs to derive the dispersion relations. In their work, the authors dealt with two cases of the magnetic field (B_0) orientation; B_0 perpendicular and parallel to the plasma-vacuum interface [the surface waves propagate along the interface]. In the present work, we addresses the case that is not touched on by Lee and Kim; B_0 is parallel to the interface but the surface wave propagates obliquely to B_0 (Lee and Kim assumed that the wave propagates along B_0). In this oblique propagation, we find that an extra symmetry should be utilized when we use the invariance technique to solve the specular reflection boundary problem.

We checked our kinetic dispersion relations against the dispersion relations derived from fluid equations [19] and both results show complete agreement.

II. SPECULAR REFLECTION BOUNDARY CONDITION

We consider a magnetized plasma slab which occupies the region ($0 < x < L$) bounded by vacuum ($x < 0$ and $x > L$). The linearized Vlasov-Poisson equation for a

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species α ($\alpha = e, i$; electron and ion), with charge e_α and mass m_α , is

$$\frac{\partial}{\partial t} f_\alpha(r, v, t) + v \cdot \frac{\partial f_\alpha}{\partial r} + \frac{e_\alpha}{m_\alpha c} v \times B_0 \cdot \frac{\partial f_\alpha}{\partial v} = \frac{e_\alpha}{m_\alpha} \nabla \varphi(r, t) \cdot \frac{\partial f_{\alpha 0}}{\partial v}. \quad (1)$$

where f_α is the perturbed distribution function of species α and B_0 is the static constant magnetic field ($= zB_0$). The electric field $E = -\nabla \varphi$ satisfies the Poisson equation

$$\nabla^2 \varphi = -4\pi \sum_\alpha e_\alpha \int f_\alpha d^3v \quad (2)$$

In Eq. (1), $f_{\alpha 0}(v)$ is a spatially homogeneous zero order distribution function which we take to be a two-temperature Maxwellian,

$$f_{\alpha 0}(v) = \left(\frac{m_\alpha}{2\pi T_{\alpha n}} \right)^{1/2} \frac{m_\alpha}{2\pi T_{\alpha \perp}} e^{-\frac{m_\alpha v_z^2}{2T_{\alpha n}} - \frac{m_\alpha}{2T_{\alpha \perp}}(v_x^2 + v_y^2)} \quad (3)$$

where the usual symbols n and \perp are referred to the direction of the static magnetic field B_0 . The surface wave has a phasor $\propto e^{ik_y y + ik_z z - i\omega t}$.

Solving the set of equations, Eqs. (1) and (2) in a plasma slab requires a kinematic boundary condition on f_α at the interfaces $x=0$ and $x=L$. We adopt here the specular reflection boundary condition according to which the plasma particles undergo a mirror reflection such that

$$f_\alpha(x=0, y, z, v_x, v_y, v_z, t) = f_\alpha(x=0, y, z, -v_x, v_y, v_z, t) \quad (4)$$

$$f_\alpha(x=L, y, z, v_x, v_y, v_z, t) = f_\alpha(x=L, y, z, -v_x, v_y, v_z, t) \quad (5)$$

We Fourier-transform Eqs. (1) and (2) with respect to the variables y and z (coordinates which do not contain the discontinuity) and t . Our convention of the Fourier-transform is

$$f(k, v, \omega) = \int_{-\infty}^{\infty} d^3r \int_{-\infty}^{\infty} dt e^{-ik \cdot r + i\omega t} f(r, v, t)$$

$$f(r, v, t) = \left(\frac{1}{2\pi} \right)^4 \int_{-\infty}^{\infty} d^3k \int_{-\infty}^{\infty} d\omega e^{ik \cdot r - i\omega t} f(k, v, \omega)$$

In the following, we designate the Fourier-transformed quantities by explicitly writing the arguments. For example, $\varphi(k_x, k_y, k_z, \omega)$ and $\varphi(x, k_y, k_z, \omega)$ have different dimensions. We then obtain from Eqs. (1) and (2)

$$v_x \frac{\partial}{\partial x} f_\alpha(x, k_y, k_z, v, \omega) - i(\omega - k_y v_y - k_z v_z) f_\alpha + \omega_{\alpha} (v_y \frac{\partial}{\partial v_x} - v_x \frac{\partial}{\partial v_y}) f_\alpha =$$

$$\frac{e_\alpha}{m_\alpha} \left(\frac{\partial f_{\alpha 0}}{\partial v_x} \frac{\partial}{\partial x} + ik_y \frac{\partial f_{\alpha 0}}{\partial v_y} + ik_z \frac{\partial f_{\alpha 0}}{\partial v_z} \right) \varphi(x, k_y, k_z, \omega) \quad (6)$$

where $\omega_{\alpha} = \frac{e_\alpha B_0}{m_\alpha c}$.

$$\frac{\partial^2 \varphi}{\partial x^2} - (k_y^2 + k_z^2) \varphi(x, k_y, k_z, \omega) = -4\pi \sum_\alpha e_\alpha \int d^3v f_\alpha(x, k_y, k_z, v, \omega) \quad (7)$$

The specular reflection conditions, Eqs. (4) and (5), now take the forms in which the spatial coordinates y , z , and time t are replaced by the Fourier variables k_y , k_z , and ω , respectively. Equation (4) is automatically satisfied if $f_\alpha(x, v_x) = f_\alpha(-x, -v_x)$, i.e., if Eq. (6) is invariant under the reflections ($x \rightarrow -x, v_x \rightarrow -v_x$). Here we should take into consideration the inherent symmetry of f_α in regard to the variables (x, k_y, v_x, v_y) :

$$f_\alpha(x, k_y, k_z, v_x, v_y, v_z, \omega) = f_\alpha(-x, -k_y, k_z, -v_x, -v_y, v_z, \omega)$$

In regard to the above symmetry, we look at Eq. (15) which is the solution of Eq. (6) in terms of the Fourier variables: f_α is an even function with respect to simultaneous reflections of v_x and v_y , and also an even function with respect to simultaneous reflections of k_x and k_y , because f_α is a function of $v_\perp = \sqrt{v_x^2 + v_y^2}$, $\phi = \tan^{-1} \frac{v_y}{v_x}$, and $k_\perp = \sqrt{k_x^2 + k_y^2}$, $\theta = \tan^{-1} \frac{k_y}{k_x}$. In view of this symmetry, the specular reflection condition in Eq. (4) should read

$$f_\alpha(x=0, k_y, k_z, v_x, v_y, v_z, \omega) = f_\alpha(x=0, -k_y, k_z, -v_x, -v_y, v_z, \omega) \quad (8)$$

Equation (8) is automatically satisfied if Eq. (6) is invariant under the reflections ($x \rightarrow -x, v_x \rightarrow -v_x, k_y \rightarrow -k_y, v_y \rightarrow -v_y$). By inspection, it is immediately seen that the electric potential φ (defined in the plasma region $x > 0$ should be extended into $x < 0$ in the following way:

$$\varphi(x, k_y) = \varphi(-x, -k_y) \quad (9)$$

To enforce Eq. (5), we note that Eq. (6) is invariant under the reflections ($x \rightarrow 2L - x, v_x \rightarrow -v_x, k_y \rightarrow -k_y, v_y \rightarrow -v_y$), provided the potential is extended as

$$\varphi(x, k_y) = \varphi(2L - x, -k_y) \quad (10)$$

The consequences of the extensions made in Eqs. (9) and (10) should be carefully collected in the Fourier transform of Eq. (7). We assume that $\varphi(x, k_y)$ is an even function with respect to both x and k_y .

It is sufficient to consider the x -dependence of $\varphi(x, k_y)$ in carrying out the Fourier transform of Eq. (7) over the entire region $-\infty < x < \infty$. The function $\varphi(x)$ which has the properties

$$\varphi(x) = \varphi(-x) \quad \text{and} \quad \varphi(x) = \varphi(2L - x) \quad (11)$$

has discontinuities of $\frac{\partial\varphi}{\partial x}$ at $x=0, 2L, 4L, \dots$ of the same value. The derivative $\frac{\partial\varphi}{\partial x}$ has another value of jump at $x=L, 3L, 5L, \dots$. Thus we have for the Fourier transform (with respect to x) of Eq. (7),

$$\begin{aligned} & k^2\varphi(k, \omega) - 4\pi \sum_{\alpha} e_{\alpha} \int f_{\alpha}(k, v, \omega) d^3v \\ &= A(k_y, k_z, \omega) \left(\frac{1}{2} + \cos 2k_x L + \cos 4k_x L + \dots \right) \\ &+ B(k_y, k_z, \omega) (\cos k_x L + \cos 3k_x L + \dots) \end{aligned} \quad (12)$$

where $A = 4 \frac{\partial\varphi}{\partial x} \Big|_{x=0^-}$ and $B = 4 \frac{\partial\varphi}{\partial x} \Big|_{x=L^-}$. The details of similar algebra leading to Eq. (12) are provided in the earlier work [17].

III. DISPERSION RELATION

We introduce cylindrical coordinates in the velocity space such that

$$v_x = v_{\perp} \cos\phi, \quad v_y = v_{\perp} \sin\phi$$

Now Eq. (6) is Fourier-transformed to yield

$$\begin{aligned} & \frac{\partial}{\partial\phi} f_{\alpha}(k, \omega, v) + i \frac{\omega - k \cdot v}{\omega_{c\alpha}} f_{\alpha} \\ &= - \frac{ie_{\alpha}\varphi(k, \omega)}{m_{\alpha}\omega_{c\alpha}} \left[\frac{\partial f_{\alpha 0}}{\partial v_{\perp}} (k_x \cos\phi + k_y \sin\phi) + k_z \frac{\partial f_{\alpha 0}}{\partial v_z} \right] \end{aligned} \quad (13)$$

Equation (13) is a first order differential equation with respect to ϕ . Integrating this gives

$$\begin{aligned} f_{\alpha}(\phi, v_{\perp}, v_z, k, \omega) &= \frac{-ie_{\alpha}\varphi(k, \omega)}{m_{\alpha}\omega_{c\alpha}} e^{i\Phi_{\alpha}(\phi)} \int_{\pm\infty}^{\phi} d\phi' [k_{\perp} \frac{\partial f_{\alpha 0}}{\partial v_{\perp}} \cos(\phi' - \theta) + \\ &+ k_z \frac{\partial f_{\alpha 0}}{\partial v_z}] e^{-i\Phi_{\alpha}(\phi')} \end{aligned} \quad (14)$$

where

$$\begin{aligned} k_{\perp} &= \sqrt{k_x^2 + k_y^2}, \quad k_x = k_{\perp} \cos\theta, \quad k_y = k_{\perp} \sin\theta, \quad \Phi_{\alpha}(\phi) \\ &= \frac{(k_z v_z - \omega)\phi + k_{\perp} v_{\perp} \sin(\phi - \theta)}{\omega_{c\alpha}} \end{aligned}$$

and the $+(-)$ sign at the lower limit of the integral corresponds to ion (electron). Then the integrated term vanishes at the lower limit for either species (here we assume that ω has a small positive imaginary part, in conjunction with our definition of the Fourier transform, to be consistent with the causality). The integral in Eq. (14) is carried out with the aid of the well-known Bessel function identity

$$e^{iasin\phi} = \sum_{n=-\infty}^{\infty} J_n(a) e^{in\phi} :$$

$$f_{\alpha}(k, v, \omega) = - \frac{e_{\alpha}\varphi(k, \omega)}{m_{\alpha}} \sum_{n=-\infty}^{\infty} \sum_{l=-\infty}^{\infty} \left(\frac{\partial f_{\alpha 0}}{\partial v_{\perp}} \frac{l\omega_{c\alpha}}{v_{\perp}} + k_z \frac{\partial f_{\alpha 0}}{\partial v_z} \right)$$

$$\times J_n(a_{\alpha}) J_l(a_{\alpha}) \frac{e^{i(l-n)\theta} e^{i(n-l)\phi}}{\omega - k_z v_z - l\omega_{c\alpha}} \quad (15)$$

where $a_{\alpha} = \frac{k_{\perp} v_{\perp}}{\omega_{c\alpha}}$. Substituting Eq. (15) into Eq. (12), we can obtain

$$\varphi(k, \omega) = \frac{R(k_y, k_z, \omega)}{k^2 \varepsilon_L} \quad (16)$$

where $R(k_y, k_z, \omega)$ is the right hand side of Eq. (12) and

$$\varepsilon_L = 1 + \sum_{\alpha} \frac{\omega_{p\alpha}^2}{k^2} \sum_{n=-\infty}^{\infty} \int d^3v \left(\frac{n\omega_{c\alpha}}{v_{\perp}} \frac{\partial f_{\alpha 0}}{\partial v_{\perp}} + k_z \frac{\partial f_{\alpha 0}}{\partial v_z} \right) \frac{J_n^2(a_{\alpha})}{\omega - k_z v_z - n\omega_{c\alpha}} \quad (17)$$

where $\omega_{p\alpha}$ is the plasma frequency. In obtaining Eq. (17), we used the fact that only the terms of $n=l$ survive in the $\int d\phi$ -integral of Eq. (15). In an infinite plasma, $R=0$ and $\varepsilon_L=0$ gives the dispersion relation of the electrostatic waves. ε_L is the dielectric constant of the magnetized plasma under consideration. From Eq. (16), one obtains the electric field components,

$$E_j(k, \omega) = -i \frac{k_j R}{k^2 \varepsilon_L} \quad (j = x, y, z) \quad (18)$$

We need the normal component of the electric displacement.

$$D_x(k, \omega) = E_x(k, \omega) + \frac{4\pi i}{\omega} \sum_{\alpha} e_{\alpha} \int v_x f_{\alpha}(k, v, \omega) d^3v \quad (19)$$

Using Eq. (15) in the above equation, with the aid of $\varphi = i \frac{E_x}{k_x}$, gives

$$\begin{aligned} D_x &= E_x + \frac{E_x}{\omega k_x} \sum_{\alpha} \frac{\omega_{p\alpha}^2}{2} \int d^3v v_{\perp} \sum_n \sum_l \left(\frac{\partial f_{\alpha 0}}{\partial v_{\perp}} \frac{l\omega_{c\alpha}}{v_{\perp}} + k_z \frac{\partial f_{\alpha 0}}{\partial v_z} \right) \\ &\times \frac{J_n J_l e^{i(l-n)\theta}}{\omega - k_z v_z - l\omega_{c\alpha}} [e^{i(n-l+1)\phi} + e^{i(n-l-1)\phi}] \end{aligned} \quad (20)$$

In the above integral, only the terms of $n=l \pm 1$ survive in the $d\phi$ -integral, and we obtain

$$D_x(k, \omega) = \varepsilon_x(k, \omega) E_x(k, \omega) = -i \frac{k_x \varepsilon_x}{k^2 \varepsilon_L} R(k_y, k_z, \omega) \quad (21)$$

where

$$\begin{aligned} \varepsilon_x &= 1 + \sum_{\alpha} \frac{\omega_{p\alpha}^2}{\omega k_{\perp}} \int d^3v v_{\perp} \sum_{l=-\infty}^{\infty} \left(\frac{\partial f_{\alpha 0}}{\partial v_{\perp}} \frac{l\omega_{c\alpha}}{v_{\perp}} + k_z \frac{\partial f_{\alpha 0}}{\partial v_z} \right) \\ &\times \frac{1}{\omega - k_z v_z - l\omega_{c\alpha}} \left(\frac{l J_l^2}{a_{\alpha}} + i \frac{k_y}{k_x} J_l J_{l'} \right) \end{aligned} \quad (22)$$

where $J_{l'} = \frac{dJ_l(a_{\alpha})}{da_{\alpha}}$, and we used the Bessel function relations

$$J_{l-1} + J_{l+1} = \frac{2l}{a} J_l(a), \quad J_{l-1} - J_{l+1} = 2J_l'$$

The vacuum equations $\nabla^2 \varphi = 0$ is solved for $x < 0$ and $x > L$

$$x < 0: \varphi(x, k_y, k_z, \omega) = F_1 e^{\kappa x + ik_y y + ik_z z - i\omega t} \quad (23)$$

$$x > L: \varphi(x, k_y, k_z, \omega) = F_2 e^{-\kappa x + ik_y y + ik_z z - i\omega t} \quad (24)$$

where F_1 and F_2 are arbitrary constants, and

$$\kappa = \sqrt{k_y^2 + k_z^2}$$

The electric components are given by

$$x < 0: E_x = -\kappa F_1 e^{\kappa x}, E_y = -ik_y F_1 e^{\kappa x}, E_z = -ik_z F_1 e^{\kappa x} \quad (25)$$

$$x > L: E_x = \kappa F_2 e^{-\kappa x}, E_y = -ik_y F_2 e^{-\kappa x}, E_z = -ik_z F_2 e^{-\kappa x} \quad (26)$$

where we omitted the phasor $e^{ik_y y + ik_z z - i\omega t}$.

The boundary conditions at the interface $x=0$ and $x=L$ are the continuity of E_y (or E_z) and D_x . The conditions on E_z are redundant to the conditions on E_y . To reinstate the x -dependance, the Fourier inversion integral should be performed on Eqs. (18) and (21). Let us first consider the integral:

$$E_y(k_y, k_z, \omega, x) = -ik_y \int_{-\infty}^{\infty} \frac{dk_x e^{ik_x x}}{k^2 \epsilon_L} \left[A \left(\frac{1}{2} + \cos 2k_x L + \cos 4k_x L + \dots \right) + B(\cos k_x L + \cos 3k_x L + \cos 5k_x L + \dots) \right] \quad (27)$$

It is important to do the integral of the series term by term. Here we have

$$\int_{-\infty}^{\infty} dk_x \frac{e^{ik_x x}}{k^2 \epsilon_L} \cos(nk_x L) = \frac{1}{2} \int_{-\infty}^{\infty} dk_x \frac{e^{ik_x x}}{k^2 \epsilon_L} (e^{ink_x L} + e^{-ink_x L}) \quad (n=1, 2, 3, \dots)$$

In the second integral, we make a change of variable $k_x \rightarrow -k_x$ and utilize that ϵ_L is an even function of k_x . Then we have

$$\int_{-\infty}^{\infty} dk_x \frac{e^{ik_x x}}{k^2 \epsilon_L} \cos(nk_x L) = \int_{-\infty}^{\infty} dk_x \frac{e^{ik_x nL}}{k^2 \epsilon_L} \cos(k_x x) \quad (28)$$

Using Eq. (28) in Eq. (27) gives

$$E_y(k_y, k_z, \omega, x=0) = -ik_y \int_{-\infty}^{\infty} \frac{dk_x}{k^2 \epsilon_L} \left[A \left(\frac{1}{2} + e^{2ik_x L} + e^{4ik_x L} + \dots \right) + B(e^{ik_x L} + e^{3ik_x L} + e^{5ik_x L} + \dots) \right] \quad (29)$$

$$E_y(k_y, k_z, \omega, x=L) = -ik_y \int_{-\infty}^{\infty} dk_x \left[A \left(\frac{1}{2} e^{iLk_x} + \cos k_x L (e^{2ik_x L} + e^{4ik_x L} + \dots) + B \cos k_x L (e^{ik_x L} + e^{3ik_x L} + e^{5ik_x L} + \dots) \right) \right]$$

Writing the *cosine* functions in terms of *exponential* functions, one can easily obtain

$$E_y(k_y, k_z, \omega, x=L) = -ik_y \int_{-\infty}^{\infty} \frac{dk_x}{k^2 \epsilon_L} \left[B \left(\frac{1}{2} + e^{2ik_x L} + e^{4ik_x L} + \dots \right) + A(e^{ik_x L} + e^{3ik_x L} + e^{5ik_x L} + \dots) \right] \quad (30)$$

Next we calculate the integral

$$D_x(k_y, k_z, \omega, x) = -i \int_{-\infty}^{\infty} dk_x e^{ik_x x} \frac{k_x \epsilon_x}{k^2 \epsilon_L} \left[A \left(\frac{1}{2} + \cos 2k_x L + \cos 4k_x L + \dots \right) + B(\cos k_x L + \cos 3k_x L + \cos 5k_x L + \dots) \right] \quad (31)$$

Here we consider a typical term

$$\int_{-\infty}^{\infty} dk_x e^{ik_x x} \frac{k_x \epsilon_x}{k^2 \epsilon_L} \cos(nk_x L) \quad (n=1, 2, 3, \dots) \quad (32)$$

where ϵ_x consists of two parts, the even function part and the odd function part, with respect to k_y : $\epsilon_x = \epsilon^E + \epsilon^O$ with

$$\epsilon^E = 1 + \sum_{\alpha} \frac{\omega_{p\alpha}^2}{\omega k_{\perp}} \int d^3 v v_{\perp} \sum_{l=-\infty}^{\infty} \left(\frac{\partial f_{\alpha 0}}{\partial v_{\perp}} \frac{l \omega_{c\alpha}}{v_{\perp}} + k_z \frac{\partial f_{\alpha 0}}{\partial v_z} \right) \frac{J_l^2 / a_{\alpha}}{\omega - k_z v_z - l \omega_{c\alpha}} \quad (33)$$

$$\epsilon^O = \sum_{\alpha} \frac{\omega_{p\alpha}^2}{\omega k_{\perp}} \int d^3 v v_{\perp} \sum_{l=-\infty}^{\infty} \left(\frac{\partial f_{\alpha 0}}{\partial v_{\perp}} \frac{l \omega_{c\alpha}}{v_{\perp}} + k_z \frac{\partial f_{\alpha 0}}{\partial v_z} \right) \frac{ik_y J_l J_l' / k_x}{\omega - k_z v_z - l \omega_{c\alpha}} \quad (34)$$

Writing in (32) the cosine function in terms of exponential functions and making change of variable $k_x \rightarrow -k_x$ as before, we have

$$\int_{-\infty}^{\infty} dk_x e^{ik_x x} \frac{k_x \epsilon_x}{k^2 \epsilon_L} \cos(nk_x L) = \int_{-\infty}^{\infty} dk_x e^{ik_x nL} \frac{k_x}{k^2 \epsilon_L} (\epsilon^E i \sin k_x x + \epsilon^O \cos k_x x) \quad (35)$$

Using Eq. (35) in Eq. (31) gives after some algebra

$$D_x(k_y, k_z, \omega, x=0) = -iA \int_{-\infty}^{\infty} dk_x \frac{k_x}{k^2 \epsilon_L} \left[\frac{+1}{2} \epsilon^E + \epsilon^O \left(\frac{1}{2} + e^{2ik_x L} + e^{4ik_x L} + \dots \right) - iB \int_{-\infty}^{\infty} dk_x \frac{k_x}{k^2 \epsilon_L} \epsilon^O (e^{ik_x L} + e^{3ik_x L} + e^{5ik_x L} + \dots) \right] \quad (36)$$

$$D_x(k_y, k_z, \omega, x=L) = -iB \int_{-\infty}^{\infty} dk_x \frac{k_x}{k^2 \epsilon_L} \left[\frac{-1}{2} \epsilon^E + \epsilon^O \left(\frac{1}{2} + e^{2ik_x L} + e^{4ik_x L} + \dots \right) - iA \int_{-\infty}^{\infty} dk_x \frac{k_x}{k^2 \epsilon_L} \epsilon^O (e^{ik_x L} + e^{3ik_x L} + e^{5ik_x L} + \dots) \right] \quad (37)$$

Enforcing the boundary conditions on $x=0$ and $x=L$ give four algebraic equations for four undetermined constants, A, B, F_1 , and F_2 . The solvability condition yields the relation

$$\mu^2 - \gamma^2 = \beta^2 - \delta^2 \quad (38)$$

where

$$\mu = \int \frac{dk_x}{k^2 \varepsilon_L} \left(\frac{1}{2} k_x \varepsilon^E + i\kappa \left[\frac{1}{2} + e^{2ik_x L} + e^{4ik_x L} + \dots \right] \right) \quad (39)$$

$$\beta = \int \frac{dk_x k_x}{k^2 \varepsilon_L} \varepsilon^O \left(\frac{1}{2} + e^{2ik_x L} + e^{4ik_x L} + \dots \right) \quad (40)$$

$$\gamma = \int \frac{ik dk_x}{k^2 \varepsilon_L} \left(e^{ik_x L} + e^{3ik_x L} + e^{5ik_x L} + \dots \right) \quad (41)$$

$$\delta = \int \frac{dk_x k_x}{k^2 \varepsilon_L} \varepsilon^O \left(e^{ik_x L} + e^{3ik_x L} + e^{5ik_x L} + \dots \right) \quad (42)$$

Here obtaining solutions of the dispersion relation, Eq. (38), is facilitated by the observation that it is intact if we replace $k_y \rightarrow -k_y$. This means that if $\xi(k_y)$ is a solution of Eq. (38), $\xi(-k_y)$ also solves Eq. (38). To take advantage of this even parity in k_y of Eq. (38), we write it as

$$(\beta + \mu)(\beta - \mu) = (\delta + \gamma)(\delta - \gamma) \quad (43)$$

We seek the solutions of Eq. (43) by equating as

$$\beta + \mu = \delta + \gamma \quad (44)$$

It is immediately seen that Eq. (44) indeed solves Eq. (43) because changing $k_y \rightarrow -k_y$ in Eq. (44) yields the relation $-\beta + \mu = -\delta + \gamma$, which is the remaining relation to be satisfied in Eq. (43). The second reduction of the dispersion relation, Eq. (38), is obtained by writing it as

$$(\beta + \mu)(\beta - \mu) = (-1)(\delta + \gamma) \cdot (-1)(\delta - \gamma) \quad (45)$$

We can see that the relation

$$\beta - \mu = -(\delta - \gamma) = \gamma - \delta \quad (46)$$

contains another set of solutions of Eq. (38) because the rest of relation of Eq. (45) is automatically satisfied. In summary, we have two independent reductions of the dispersion relation, Eq. (38)

$$\mu \pm \gamma = \delta \pm \beta \quad (47)$$

It turns out that the lower (upper) sign corresponds to the symmetric (anti-symmetric) mode. The solutions obtained from Eq. (47) by replacing $k_y \rightarrow -k_y$ are also legitimate solutions of the slab dispersion relation.

Using the expressions in Eqs. (39)-(42), the dispersion relation is written

$$\int_{-\infty}^{\infty} \frac{dk_x}{k^2 \varepsilon_L} \left[\frac{k_x \varepsilon^E}{2} + (i\kappa + k_x \varepsilon^O) \left(\frac{1}{2} \pm e^{ik_x L} \right) \right. \\ \left. + e^{2ik_x L} \pm e^{3ik_x L} + e^{4ik_x L} \pm \dots \right] = 0$$

Clearly the series in the parentheses converge by picking the poles of $k^2 \varepsilon_L = 0$ in the upper-half k_x -plane and the exponential terms vanish when $L \rightarrow \infty$. We can sum the series formally to make the expression compact. It can be easily shown that we have the following expressions for the series,

$$e^{ik_x L} + e^{2ik_x L} + e^{3ik_x L} + \dots = \frac{e^{ik_x L}}{1 - e^{ik_x L}}$$

$$e^{ik_x L} + e^{3ik_x L} + e^{5ik_x L} + \dots = \frac{e^{ik_x L}}{1 - e^{2ik_x L}}$$

$$e^{2ik_x L} + e^{4ik_x L} + e^{6ik_x L} + \dots = \frac{e^{2ik_x L}}{1 - e^{2ik_x L}}$$

Thus we obtain for the dispersion relation in Eq. (47)

$$\int_{-\infty}^{\infty} \frac{dk_x}{k^2 \varepsilon_L} \left[k_x \varepsilon^E + (i\kappa + k_x \varepsilon^O) \frac{1 \pm e^{ik_x L}}{1 \mp e^{ik_x L}} \right] = 0 \quad (48)$$

where the apparent singularities associated with the denominator $1 \mp e^{ik_x L} = 0$ should be simply disregarded. If $k_y = 0$, we have $\varepsilon^O = 0$ and Eq. (48) agrees with the result obtained in earlier work (Eq. (55) in Ref. [18]). If $L \rightarrow \infty$, the factor $e^{ik_x L}$ vanishes (by picking the poles in the upper-half k_x -plane), and Eq. (48) becomes

$$\int dk_x \frac{k_x \varepsilon_x + i\kappa}{k^2 \varepsilon_L} = 0 \quad (49)$$

Equation (49) recovers the electrostatic dispersion relation for a semi-infinite plasma obtained in Ref. [18] (Eq. (37) therein).

To further check the correctness of Eq. (48), its cold plasma limit will be considered. We put

$$f_{\alpha 0}(v) = \frac{\delta(v_{\perp})}{v_{\perp}} \delta(v_z) \delta(\phi)$$

into Eq. (17) for ε_L . We integrate by parts each term, and use

$$J_n(0) = \delta_{n,0}, \quad \frac{2n}{a} J_n(a) J_n'(a) \rightarrow \frac{1}{2} (\delta_{n,1} - \delta_{n,-1}) \quad (50)$$

in the limit of $a \rightarrow 0$, to obtain

$$\varepsilon_L^{cold} = 1 - \sum_{\alpha} \frac{\omega_{p\alpha}^2}{\omega^2} \left(\frac{k_z^2}{\omega^2} + \frac{k_{\perp}^2}{\omega^2 - \omega_{c\alpha}^2} \right) \quad (51)$$

The cold plasma limit of ε_x (Eq. (22)) can be calculated similarly. It can be easily shown that the terms involving $\frac{\partial f_{\alpha 0}}{\partial v_z}$ vanish in the limit of $a_{\alpha} \rightarrow 0$. The first term of the velocity integral containing J_l^2 in the rest of Eq. (22) yields

$$- \sum_{\alpha} \frac{\omega_{p\alpha}^2}{\omega^2 - \omega_{c\alpha}^2} \quad (52)$$

The second term involving $J_l J_{l'}$ becomes after integration by parts with respect to v_{\perp}

$$-i \sum_{\alpha} \frac{\omega_{p\alpha}^2}{\omega k_{\perp}} \frac{k_y}{k_x} \sum_l \frac{l \omega_{c\alpha}}{\omega - l \omega_{c\alpha}} \int dv_{\perp} \frac{\delta(v_{\perp})}{v_{\perp}} \frac{d}{dv_{\perp}} [v_{\perp} J_l J_{l'}] \quad (53)$$

Denoting the $\int dv_{\perp}$ – integral by I_{α} , we have

$$\begin{aligned} I_{\alpha} &= \int da_{\alpha} \frac{1}{a_{\alpha}} \delta(a_{\alpha} \frac{\omega_{c\alpha}}{k_{\perp}}) \frac{d}{da_{\alpha}} [a_{\alpha} J_l J_{l'}] \\ &= \frac{k_{\perp}}{\omega_{c\alpha}} \lim_{a_{\alpha} \rightarrow 0} [\frac{J_l J_{l'}}{a_{\alpha}} + J_{l'} J_{l'} + J_l J_{l'}'] \end{aligned} \quad (54)$$

Upon using the asymptotic values of the Bessel functions, the last term involving J_l' vanishes. Using Eq. (42) and $J_{l'} \rightarrow \frac{1}{2}(\delta_{l,1} - \delta_{l,-1})$, we obtain

$$l I_{\alpha} = -\frac{k_{\perp}}{2\omega_{c\alpha}} (\delta_{l,1} - \delta_{l,-1})$$

Collecting the preceding results, we finally obtain for the cold plasma limit of ϵ_x

$$\epsilon_x^{cold} = 1 - \sum_{\alpha} \left(1 - \frac{ik_y}{k_x} \frac{\omega_{c\alpha}}{\omega} \right) \frac{\omega_{p\alpha}^2}{\omega^2 - \omega_{c\alpha}^2} \quad (55)$$

$$\epsilon^E = 1 - \sum_{\alpha} \frac{\omega_{p\alpha}^2}{\omega^2 - \omega_{c\alpha}^2} \equiv P, \quad (56)$$

$$\epsilon^O = \frac{ik_y}{k_x} \sum_{\alpha} \frac{\omega_{c\alpha}}{\omega} \frac{\omega_{p\alpha}^2}{\omega^2 - \omega_{c\alpha}^2} \equiv \frac{ik_y}{k_x} Q$$

Substituting Eqs. (51) and (56) into Eq. (48) and carrying out the contour integrals, we can obtain the cold fluid limit of the electrostatic slab dispersion relation. The first term of Eq. (48) is calculated to obtain

$$\int dk_x \frac{k_x \epsilon^E}{k^2 \epsilon_L^{cold}} = \int dk_x \frac{Pk_x}{P(k_x^2 + \lambda^2)} = i\pi \quad (57)$$

where

$$\lambda = \sqrt{k_y^2 + k_z^2} \frac{C}{P}, \quad C = 1 - \sum_{\alpha} \frac{\omega_{p\alpha}^2}{\omega^2} \quad (58)$$

Similar calculation for the rest of the terms in Eq. (48) yields the slab dispersion relations

$$P\lambda + (Qk_y + \kappa) \coth\left(\frac{\lambda L}{2}\right) = 0, \quad (\text{anti-symmetric mode}) \quad (59)$$

$$P\lambda + (Qk_y + \kappa) \tanh\left(\frac{\lambda L}{2}\right) = 0, \quad (\text{symmetric mode}) \quad (60)$$

If $L \rightarrow \infty$, the two modes coalesce to

$$P\lambda + Qk_y + \kappa = 0 \quad (61)$$

which is the cold plasma electrostatic dispersion relation in the magnetized semi-infinite plasma. Furthermore, if $k_z = 0$, we have $\lambda = \kappa = k_y$, and the dispersion relation takes the form

$$2 + \sum_{\alpha} \frac{\omega_{p\alpha}^2}{\omega^2 - \omega_{c\alpha}^2} \left(\frac{\omega_{c\alpha}}{\omega} - 1 \right) = 0 \quad (62)$$

Equation (62) agrees with the dispersion relation obtained earlier from the cold fluid equations in Ref. [19] (Eq. (40) therein).

IV. FLUID TREATMENT

We use the following two-fluid equations to obtain the plasma solutions of the electrostatic field for $0 < x < L$:

$$-i\omega v_{\alpha} = -\frac{e_{\alpha}}{m_{\alpha}} \nabla \varphi + \omega_{c\alpha} v_{\alpha} \times z \quad (63)$$

$$-i\omega n_{\alpha} + N \nabla \cdot v_{\alpha} = 0 \quad (64)$$

$$\nabla^2 \varphi = 4\pi e(n_e - n_i) \quad (65)$$

where $\varphi = \varphi(\omega, r)$, and N is the equilibrium density of the plasma. One can obtain from the above equations

$$v_{\alpha x} = \frac{ie_{\alpha}}{m_{\alpha}} \frac{\omega}{\omega_{c\alpha}^2 - \omega^2} \left(\frac{\partial \varphi}{\partial x} + i \frac{\omega_{c\alpha}}{\omega} \frac{\partial \varphi}{\partial y} \right) \quad (66)$$

$$\frac{\partial^2 \varphi}{\partial x^2} - \lambda^2 \varphi = 0 \quad (67)$$

where λ is defined by Eq. (58). Solving the above equation gives

$$\varphi(x, y, z, \omega) = (A_1 e^{-\lambda x} + A_2 e^{\lambda x}) e^{ik_y y + ik_z z - i\omega t} \quad (68)$$

which in turn yields (omitting the phasor)

$$E_x = \lambda(A_1 e^{-\lambda x} - A_2 e^{\lambda x}) \quad (69)$$

$$E_y = -ik_y(A_1 e^{-\lambda x} + A_2 e^{\lambda x}) \quad (70)$$

$$E_z = -ik_z(A_1 e^{-\lambda x} + A_2 e^{\lambda x}) \quad (71)$$

where A_1 and A_2 are constants. The vacuum solutions can be written down as

$$x < 0: E_x = -\kappa F_1 e^{\kappa x}, E_y = -ik_y F_1 e^{\kappa x}, E_z = -ik_z F_1 e^{\kappa x} \quad (72)$$

$$x > L: E_x = \kappa F_2 e^{-\kappa x}, E_y = -ik_y F_2 e^{-\kappa x}, E_z = -ik_z F_2 e^{-\kappa x} \quad (73)$$

where F_1 and F_2 are constants.

We need the normal component of the electric displacement vector, D_x , in the plasma:

$$D_x = E_x + \frac{4\pi Ni}{\omega} \sum_{\alpha} e_{\alpha} v_{\alpha x} \quad (74)$$

Using Eqs. (66) and (68) in the above equation gives

$$D_x = PE_x - iQE_y = A_1 e^{-\lambda x} (\lambda P - Qk_y) - A_2 e^{\lambda x} (\lambda P + Qk_y) \quad (75)$$

where P and Q are defined by Eqs. (56).

The continuity of E_y at $x = 0$ and $x = L$ yields

$$A_1 + A_2 = F_1 \quad (76)$$

$$A_1 e^{-\lambda L} + A_2 e^{\lambda L} = F_2 e^{-\kappa L} \quad (77)$$

The continuity of D_x at $x=0$ and $x=L$ yields

$$-\kappa F_1 = A_1(P\lambda - Qk_y) - A_2(P\lambda + Qk_y) \quad (78)$$

$$\kappa F_2 e^{-\kappa L} = A_1 e^{-\lambda L} (P\lambda - Qk_y) - A_2 e^{\lambda L} (P\lambda + Qk_y) \quad (79)$$

The solvability condition of the above four equations gives

$$e^{\frac{\lambda L}{2}} (P\lambda + \kappa + Qk_y) e^{\frac{\lambda L}{2}} (P\lambda + \kappa - Qk_y) = e^{\frac{\lambda L}{2}} (P\lambda - \kappa + Qk_y) e^{\frac{-\lambda L}{2}} (P\lambda - \kappa - Qk_y) \quad (80)$$

which is the slab dispersion relation obtained from the fluid equations. Equation (80) has the even parity in k_y : Eq. (80) is intact if we replace $k_y \rightarrow -k_y$. We can obtain the solutions of Eq. (80) by equating as

$$e^{\frac{\lambda L}{2}} (P\lambda + \kappa + Qk_y) = e^{\frac{\lambda L}{2}} (P\lambda - \kappa - Qk_y) \quad (81)$$

because the rest of Eq. (80) is nothing but the relation obtained by replacing $k_y \rightarrow -k_y$ in the above equation. Another independent relation is obtained by equating as

$$e^{\frac{\lambda L}{2}} (P\lambda + \kappa + Qk_y) = -e^{\frac{\lambda L}{2}} (P\lambda - \kappa - Qk_y) \quad (82)$$

Equations (81) and (82) can be arranged into the forms of Eqs. (59) and (60), showing complete agreement with the cold fluid limit of the kinetic results.

V. DISCUSSION

The surface waves with phasor $\propto e^{ik_z z + ik_y y - i\omega t}$ propagating in a magnetized slab as well as on a magnetized semi-infinite plasma with $B_0 = zB_0$ (directed parallel to the interface) have an interesting property with respect to the wavenumber k_y . Because of the even parity of k_y , replacement of $k_y \rightarrow -k_y$ in our dispersion relations yields another set of dispersion relation. For example,

$$P\lambda - Qk_y + \kappa = 0,$$

which is obtained from Eq. (61) by $k_y \rightarrow -k_y$, is also a legitimate dispersion relation. This property generates a variety of waves as compared to waves of no-parity. The pair

of waves with $\pm k_y$ does not mean the oppositely propagating waves because we have the component k_z .

Finally, although our work obtained kinetic slab dispersion relation in closed form, nonlinear development of surface wave is of great interest. In this regard, we should mention the work of Stenflo [20] which addresses the important nonlinear problem of surface waves in semi-infinite plasma as well as in a plasma slab. Also three-wave surface wave interactions in a bounded plasma are investigated therein.

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