

Determinants of Quality of Life After Lung Transplantation

F. Ihle^{1,*}, G. Zimmermann¹, T. Meis¹, R. Hatz², S. Czerner³, L. Frey⁴, P. Ueberfuhr⁴, B. Meiser⁵, J. Behr¹ and C. Neurohr¹, for the Munich Lung Transplant Group

¹Department of Internal Medicine V, University of Munich, Germany, Comprehensive Pneumology Center, Member of the German Center for Lung Research

²Department of Surgery, Klinikum Grosshadern, Ludwig Maximilians University, Munich, Germany

³Department of Anesthesiology, Klinikum Grosshadern, Ludwig Maximilians University, Munich, Germany

⁴Department of Cardiac Surgery, Klinikum Grosshadern, Ludwig Maximilians University, Munich, Germany

⁵Transplant Center Munich, Klinikum Grosshadern, Ludwig Maximilians University, Munich, Germany

Abstract: Background: The purpose of this study was to examine clinical and non-clinical determinants of quality of life (QoL) in lung transplant recipients (LTR).

Methods: QoL was measured cross-sectional in 152 LTR (4.5±3.2 years after lung transplantation [LTx]; 95 [63%] double LTx; 80 [53%] female; age 50±11.9 years; 28 [18%] bronchiolitis obliterans syndrome (BOS) stage ≥ 1) using the SF-36, the “Quality of Life Profile for Chronic Diseases Questionnaire” as well as the “St. Georges’ Respiratory Questionnaire”. Gender, age, body mass index (BMI), time after LTx, procedure type, underlying disease, marital- and professional status and exercise capacity (6-minute walk test, 6MWT) were tested for their predictive value by non-parametric significance tests and ANOVA and ANCOVA.

Results: There was a significant correlation with higher physical QoL scores for age < 48 years (p<0.05), a BMI of 21.0 - 25.2 kg/m² (p<0.05), a 6MWT ≥ 430m (p<0.001), for cystic fibrosis patients (p<0.05) without BOS (p<0.05) and who go to work (p=0.018). Females boasted a superior social health (p=0.04) and less respiratory symptoms (p=0.023). Double LTx was a significant predictor for both, mental and physical health (p<0.05). Subsequent analysis of covariance revealed only BMI (p=0.024), BOS stage (p<0.001), underlying disease (p=0.01) and exercise capacity according to 6MWT (p<0.001) as significant predictors for QoL independent of age.

Conclusion: The study results suggest that returning to normal BMI and improving exercise capacity seem to be important therapeutic approaches to enhance QoL after LTx. Future investigations should focus on prophylaxis and therapy of BOS to further optimize QoL after LTx.

Keywords: Lung transplantation, quality of life, bronchiolitis obliterans syndrome.

INTRODUCTION

Patient and graft survival rates following lung transplantation (LTx) increased over the last years [1]. Following this success in prolonging life expectancy of lung transplant recipients (LTR), more attention has been paid to patients’ health-related quality of life (HRQOL). Previous reports have shown that HRQOL is good or even excellent within the first year after LTx compared to before LTx [2, 3]. Beyond 2 years after LTx, HRQOL is adversely affected by potential treatment-related side-effects, infection, acute and chronic rejection [4].

Various clinical studies investigated psychological problems, physical condition, diagnosis and individual characteristics for their potential to influence HRQOL and elucidated debatable values for these variables [4-7]. However, clinical and non-clinical predictors of HRQOL are still controversial. Moreover, investigations are limited by small sample sizes and inadequately described sampling strategies using different instruments.

The objectives of this study were to determine the impact of clinical-, individual-, sociodemographic- and physical determinants (Table 1) on lung transplant recipients’ perceptions of HRQOL within a large recipient cohort by using a comprehensive tool of generic- and disease specific questionnaires. Furthermore, multivariate analysis of variance and covariance included patients’ age (a known predictor for HRQOL) and identified the most relevant questionnaire to address determinants of HRQOL.

*Address correspondence to this author at the Department of Internal Medicine V, Klinikum Grosshadern, Ludwig Maximilians University, Munich, Germany; Tel: (+)4989440073090; Fax: (+)49440078877; E-mail: franziska.ihle@med.uni-muenchen.de

Table 1. Categories of determinants of HRQOL after LTx.

Category	Determinants
patients characteristics	gender
	age [years]
	body mass index
	time after LTx [years]
clinical	procedure type
	underlying disease
	BOS stage
sociodemographic	marital status
	professional status
exercise capacity	6MWT [meter]

HRQOL: health-related quality of life; BOS: bronchiolitis obliterans syndrome; LTx: lung transplantation; 6MWT: 6-minute walk test.

MATERIALS AND METHODS

Over a period of one year (starting May 1st, 2007), 152 LTR (72 males, 95 double lung transplantation, 9.9 ± 3.3 years after LTx) of the Munich LTx follow up program were consecutively enrolled in this cross-sectional study. During regular outpatient clinical visits patients were assessed by standardized HRQOL questionnaires and completed a submaximal (6-minute walk test) physical examination in order to determine physical performance.

All participants completed all parts of the study and were included in the evaluation. The subjects agreed in written consent, according to the protocol approved by the ethics committee of the medical faculty of the Ludwig Maximilians University, Munich, Germany.

Health Related Quality of Life

HRQOL data were assessed using three questionnaires: the “Short Form 36 Health Survey” (SF-36) and the “Quality of Life Profile for Chronic Diseases” (PLC) as generic instruments and the “St. George’s Respiratory Questionnaire” (SGRQ) as a lung specific questionnaire as described previously in detail [8-11].

Statistics

Data analysis was performed using Microsoft Excel 2013 (Microsoft® Office Professional, Microsoft Corporation, San Francisco) and SPSS version 21.0 (SPSS Inc., Chicago, IL). To analyze the influence of determinants on HRQOL the Mann Whitney u-test was used for two-sample-testing and the Kruskal Wallis h-test for multi-sample-testing. Multivariate data analyses included analysis of variance (ANOVA) and –covariance (ANCOVA). A p-value of <0.05 was considered significant.

RESULTS

Table 2 shows the baseline characteristics of the study population.

Table 2. Baseline characteristics of the study population.

LTR [n=152]	
age [years]	50 ± 11.9
min	23
max	73
gender	
male	72 [47.7%]
female	80 [52.5%]
underlying disease	
IPF	59 [38.8%]
COPD	26 [17.1%]
CF	26 [17.1%]
other	41 [27%]
procedure type	
SLTx	57 [37.5%]
DLTx	95 [62.5%]
time after LTx	3.9 ± 3.3
min	0.17
max	16
BOS stage	
BOS 0/0p	124 [81.5%]
BOS ≥ 1	28 [18.5%]
BMI	23 ± 4.2
min	16
max	37.9
marital status	
living alone	49 [32.2%]
living together with	
family/cohabitant	103 [67.8%]
professional status	
go to work	19 [12.5%]
don't go to work	133 [87.5%]

LTR: lung transplant recipients; IPF: idiopathic pulmonary fibrosis; COPD: chronic obstructive pulmonary disease, CF: cystic fibrosis; SLTx: single lung transplantation; DLTx: double lung transplantation; LTx: lung transplantation; BOS: bronchiolitis obliterans syndrome, BMI: body mass index, min: minimum, max: maximum [mean \pm standard deviation]

Patients Characteristics

Regarding gender specific HRQOL differences, female LTR (n=80) described their “sociability” according to PLC (p=0.046) significantly better than male LTR as well as their

respiratory “symptoms” according to SGRQ (p=0.023; Table 3).

Secondly, “age” was tested for its statistical significance on HRQOL in LTR (Table 4). For this purpose the study

population was split into three groups: age 23-48 years (n=52), age 48-59 years (n=57) and age 59-73 years (n=43). Younger LTR between 23 and 48 years were found to have a significantly better HRQOL in up to 10 of 17 HRQOL scales

Table 3. Bivariate determinants of quality of life after lung transplantation.

	SF36	PLC	SGRQ
gender			
female vs. male		*Soc	*Sym
procedure type			
DLTx vs. SLTx	*PhyFu, *GeMeHe, *MeHe	*ExCap, *AbEnRe, *Pos, *Soc	*Sym, *Act, *Imp
BOS stage			
0/0p vs. ≥1	*PhyFu, *GeMeHe	*Soc	*Sym, *Act, *Imp
professional status			
work vs. no work	*PhyFu, *RoLiPhy, *Pain, *GeMeHe, *MeHe	*ExCap, *AbEnRe, *Pos, *Neg, *Soc	*Act, *Imp

*p<0.05

DLTx, double lung transplantation; SLTx, single lung transplantation; BOS, bronchiolitis obliterans syndrome; SF36, short form 36 health questionnaire, PLC, profile of chronic diseases questionnaire; SGRQ, st. geroges’ respiratory questionnaire, PhyFu, physical functioning; GeMeHe, general medical health; MeHe, mental health; RoLiPhy, role limitations physical; Soc, sociability, ExCap, exercise capacity, AbEnRe, ability to enjoy and relax; Pos, positive mood; Neg, negative mood; Sym, symptoms; Act, activity; Imp, impacts.

Table 4. Multivariate determinants of quality of life after lung transplantation.

	SF36	PLC	SGRQ
age [years]			
<48a vs. 48-59a	*PhyFu	*ExCap, *AbEnRe, *Soc	*Sym, *Act, *Imp
<48a vs. >59a	*PhyFu, *RoLiPhy, *Vit	*ExCap, *AbEnRe, *Soc, *Pos	*Sym, *Act, *Imp
BMI [kg*m⁻²]			
nw vs. ow	*PhyFu		*Act, *Imp
uw/nw vs. ow			*Sym
time after LTx [years]			
<1a vs. 1-3a	*GeMeHe	*Neg	
<1a vs. >5a		*Neg	
underlying disease			
CF vs. IPF	*PhyFu, *RoLiPhy, *Vit	*ExCap, *AbEnRe, *Pos, *Soc	*Sym, *Act, *Imp
CF vs. COPD	*PhyFu, *RoLiPhy, *Vit, *MeHe	*ExCap, *AbEnRe, *Pos, *Soc	*Sym, *Act, *Imp
6MWT [meter]			
<430m vs. 430-500m	*PhyFu, *RoLiPhy, *Vit, *Soc, *RoLiEm	*ExCap, *AbEnRe, *Soc, *Pos, *Neg	*Act, *Imp
<430m vs. >500m	*PhyFu, *RoLiPhy, *Vit, *Soc, *RoLiEm, *Pain, *MeHe, *GeMeHe	*ExCap, *AbEnRe, *Soc, *Pos, *Neg	*Act, *Imp, *Sym
430-500m vs. >500m	*PhyFu, *GeMeHe	*ExCap, *AbEnRe, *Soc	*Act, *Imp, *Sym

*p<0.05

nw, normal weight; ow, overweight; uw, underweight; LTx, lung transplantation; CF, cystic fibrosis; IPF, idiopathic pulmonary fibrosis; COPD, chronic obstructive pulmonary disease; 6MWT, 6-minute walk test; SF36, short form 36 health questionnaire, PLC, profile of chronic diseases questionnaire; SGRQ, st. geroges’ respiratory questionnaire, PhyFu, physical functioning; Vit, vitality; RoLiEm, role limitations emotional; GeMeHe, general medical health; MeHe, mental health; RoLiPhy, role limitations physical; Soc, sociability, ExCap, exercise capacity, AbEnRe, ability to enjoy and relax; Pos, positive mood; Neg, negative mood; Sym, symptoms; Act, activity; Imp, impacts.

in comparison to patients beyond 48 years ($p < 0.05$; Table 4). Recipients in the age band of 48 to 73 years showed an equally good HRQOL ($p > 0.05$).

Since patients following LTx often show weight fluctuations, the impact of “body mass index” (BMI) on HRQOL was analysed (Table 4). According to the definition of BMI, LTR were divided into “underweight” ($\text{BMI} < 21 \text{ kg} \cdot \text{m}^{-2}$, $n=50$), “normal weight” ($\text{BMI} 21\text{-}25.2 \text{ kg} \cdot \text{m}^{-2}$, $n=48$) and “overweight” ($\text{BMI} > 25.2 \text{ kg} \cdot \text{m}^{-2}$, $n=54$). Compared to overweight LTR, patients with normal weight showed a significantly better “physical functioning” ($p=0.016$; SF-36) and a superior lung specific HRQOL according to SGRQ ($p < 0.05$; Table 4). In contrast, underweight patients’ respiratory “symptoms” ($p=0.015$; SGRQ) were significantly reduced in comparison to overweight patients (Table 4). No statistical significance between the study groups were detected for PLC ($p > 0.05$).

Furthermore, HRQOL was evaluated by “time after LTx” (Table 4). Four patient groups were designed: less than 1 year ($n=46$), 1-3 years ($n=38$), 3-5 years ($n=30$) and above 5 years ($n=38$) following LTx. Patients within the first year after LTx were found to have a significantly better “general medical health” ($p=0.048$; SF-36), “negative mood” (meaning “better mood”; $p=0.035$; PLC) and “affiliation” ($p=0.045$; PLC) in contrast to LTR between 1-3 years after LTx and a less “negative mood” (meaning “better mood”; $p=0.02$) in contrast to patients above 5 years post-transplantation (Table 4). No significant HRQOL differences among the study groups were analysed for SGRQ ($p > 0.05$).

Clinical Determinants

Regarding the impact of “procedure type” on quality of life the study group was scaled in “single LTR” ($n=57$) and “double LTR” ($n=95$). Patients after double LTx were found to have a significantly better HRQOL in 10 of 17 HRQOL

sub-scales in both, physical and psychosocial domains, compared to single LTR ($p < 0.05$; Table 3).

Furthermore, “underlying disease” was tested for its statistical significance on HRQOL (Table 4). Patients were divided into three categories: cystic fibrosis (CF, $n=26$), idiopathic pulmonary fibrosis (IPF, $n=59$) and chronic obstructive pulmonary disease (COPD, $n=26$). No significant differences were found between IPF- and COPD patients ($p > 0.05$). Consequently, both groups described their quality of life as equally good. In contrast, CF patients described their HRQOL in 10 of 17 HRQOL scales significantly superior with respect to physical domains in comparison to IPF- and COPD patients ($p < 0.05$; Table 4).

Concerning the influence of “BOS stage” on HRQOL, LTR with BOS stage 0/0p had a significantly higher HRQOL in 11 of 17 scales, especially in physical and social domains than LTR with BOS stage > 1 ($p < 0.05$; Table 3). Interestingly, BOS did not seem to have any influence on psychological HRQOL domains.

Sociodemographic Determinants

Regarding sociodemographic determinants, marital status did not have any impact on HRQOL following LTx.

Out of 152 LTR, only 19 (12.5%) go to work (full time, part time or professional training). LTR having a job described their HRQOL significantly better in 5 of 8 scales of SF-36 ($p < 0.05$), in 5 of 6 scales of PLC ($p < 0.05$) and in 2 of 3 scales of SGRQ ($p < 0.05$), indicating a higher quality of life compared to LTR without work (Table 3).

Exercise Capacity

The prevalence of exercise capacity on HRQOL was defined using the 6-minute walk distance (Table 4).

Table 5. Multivariate analysis.

5a. ANOVA: Analysis of variance.

Determinant	Statistical Significance		
	SF-36	PLC	SGRQ
gender	$p=0.04^*$	$p=0.01^*$	$p=0.085$
age	$p=0.001^*$	$p=0.007^*$	$p < 0.001^*$
BMI	$p=0.008^*$	$p=0.699$	$p=0.094$
time after LTx	$p=0.616$	$p=0.721$	$p=0.466$
procedure type	$p=0.006^*$	$p=0.117$	$p < 0.001^*$
underlying disease	$p < 0.001^*$	$p=0.102$	$p < 0.001^*$
BOS stage	$p < 0.001^*$	$p < 0.001^*$	$p < 0.001^*$
marital status	$p=0.696$	$p=0.013^*$	$p=0.390$
professional status	$p=0.018^*$	$p=0.004^*$	$p=0.031^*$
6MWT	$p < 0.001^*$	$p < 0.001^*$	$p < 0.001^*$

SF-36: short form-36-health questionnaire; PLC: profile of chronic diseases questionnaire; SGRQ: St.George’s Respiratory Questionnaire; BMI: body-mass index; BOS: bronchiolitis obliterans syndrome; LTx: lung transplantation; 6MWT: 6-minute walk test.

* $p < 0.05$

5b. ANCOVA: Analysis of co-variance.

Determinant	Statistical Significance		
	SF-36	PLC	SGRQ
gender	p=0.932	p=0.018*	p=0.158
BMI	p=0.024*	p=0.542	p=0.186
time after LTx	p=0.541	p=0.704	p=0.711
procedure type	p=0.297	p=0.763	p=0.046*
underlying disease	p=0.01*	p=0.422	p=0.059
BOS stage	p<0.001*	p<0.001*	p<0.001*
marital status	p=0.844	p=0.034*	p=0.39
professional status	p=0.085	p=0.026*	p=0.155
6MWT	p<0.001*	p<0.001*	<0.001*

SF-36: short form-36-health questionnaire; PLC: profile of chronic diseases questionnaire; SGRQ: St.George’s Respiratory Questionnaire; BMI: body-mass index; BOS: bronchiolitis obliterans syndrome; LTx: lung transplantation; 6MWT: 6-minute walk test.
*p<0.05

Therefore a reduced sample size of n=146 was evaluated: 100 to 430 meters for “minor performance” (n=51), 431 to 500 meters for “moderate performance” (n=49) and 501 to 800 meters for “high performance” (n=46).

Compared to “minor performance” patients, LTR with “high performance” were found to have a significantly enhanced HRQOL in all questionnaires (p<0.05) – except “affiliation”(p>0.05) of PLC (Table 4). Patients with “moderate performance” demonstrated a superior HRQOL in 12 of 17 HRQOL scales compared to the “minor performance” group (p<0.05) and an inferior HRQOL in 5 of 17 scales compared to the “high performance” group (p<0.05; Table 4).

Multivariate Analysis

Multivariate analysis of variance (ANOVA) revealed SF-36 as most sensitive questionnaire to represent the overall impact of different determinants on HRQOL: out of 10 variables, 8 are considered statistically significant (p<0.05; Table 5a). On the other hand, “age”, “BOS stage”, “professional status” and “exercise capacity” were found to have a significant impact on self-reported HRQOL in all questionnaires (p<0.05). Analysis of covariance (ANCOVA) allows the inclusion of “age” as an independent variable in the multivariate analysis (Table 5b). Consequently, the significance of the variables “gender”, “procedure type” and “professional status” cleared out for SF-36 as well as “professional status” and “underlying disease“ for SGRQ (p>0.05). However, statistical significance for “BOS stage” (p<0.05) and “exercise capacity” (p<0.05) on HRQOL remained in all questionnaires independent of patients’ age.

DISCUSSION

This study addresses changes of HRQOL in LTR as a function of subsequent determinants: patient characteristics (gender, age, BMI, time after LTx), clinical determinants

(procedure type, underlying disease, BOS stage), sociodemographic data (marital status, education and professional status) and exercise capacity (6MWT). A patient fulfilling the following characteristics was found to gain the best possible HRQOL following LTx: (1) gender: female, (2) age: 23-48 years, (3) BMI: 21-25.2kg/m2 (normal weight), (4) time after LTx: less than 1 year, (5) patients with cystic fibrosis, (6) procedure type: double LTx, (7) professional status: working and (8) physical performance according to 6MWT: 500-800 meters.

The literature shows controversial findings regarding the impact of gender on HRQOL. In accordance with our study results, Goetzmann *et al.* and Vasiliadis *et al.* documented less depression and a superior social reintegration after LTx for female patients [12, 13]. In contrast, Rodrigue and colleagues revealed a significantly higher rate of complications and a worse quality of life for female LTR [14].

In our investigation, younger patients between 23 and 48 years showed a significantly better HRQOL in comparison to older LTR. While former studies did not find a correlation between HRQOL and age, the Dutch work group of Vermeulen published a negative impact on HRQOL with advancing age [15]. They conclude that physical deterioration and limited health in older age become more important for self-reported HRQOL.

Our analysis indicated an impact of “time after LTx” on general HRQOL (SF36, PLC) in LTR. In fact, patients within the first year following LTx gained best HRQOL values, followed by long-term survivors beyond 5 years after LTx. We speculate that there might be a tendency whereupon HRQOL reaches a maximum within the first year after the surgical procedure, followed by a decline between 1 and 5 years post-surgery and again an increase beyond 5 years. An explanation for this could be a selection bias: due to progressive mortality of patients suffering from post-operative complications, healthier LTR along with a higher

self-perceived HRQOL stay alive. Kugler et al. revealed that LTR benefit from the transplant procedure with respect to HRQOL especially during the first year post-transplantation [4]. Furthermore, Rutherford and colleagues revealed that beyond 2 years after LTx - the time frame in which major clinical complications such as BOS may begin to develop and threaten the patients' recovered autonomy - HRQOL declines again [16].

The Munich study results demonstrated a better HRQOL in double LTR versus single LTx. A previous study by Anyanwu et al. examined quality of life of 255 patients following heart-/lung-transplantation (H/LTx) with repeated measure design [17]. In contrast to H/LTx and DLTx patients, SLTx patients were found to have a significantly reduced HRQOL. The authors discussed less improvement in regaining parameters of lung function such as FEV1 and FVC. It is considered proven that DLTx in contrast to SLTx patients recover total lung capacity within one year after surgery [17, 21].

LTR are heterogeneous in terms of underlying disease. Therefore the impact of pre-operative disease on post-operative HRQOL was analysed. While COPD- and IPF patients rate their HRQOL as equally good, CF patients stated a significantly better quality with respect to physical domains. Feltrim *et al.* claimed same results considering an investigation of 50 LTR suffering from four different lung diseases: CF, IPF, COPD and bronchiectasis [18]. CF patients were found to report the best self-perceived quality of well-being. Furthermore, Ricotti and colleagues confirmed these findings [5].

It is not clear if underlying disease and therewith type of transplantation procedure may affect HRQOL. Patients with emphysema or fibrosis often receive only a single lung, whereas due to higher infection risk CF patients are typically double transplant recipients [19]. Ramirez and co-workers debated an advantage in HRQOL due to the fact that CF patients are younger by nature of disease history and are usually double LTx recipients accompanied by a greater increase in lung function [20].

In our study population, physical domains of HRQOL were negatively affected by the onset of BOS and are therewith inline with previous findings [15, 22-25]. Remarkably, the presence of BOS did not affect psychological scales of HRQOL. Ten Vergert and co-workers revealed similar findings in 24 LTR (BOS ≥ 1 ; n=6) according to the "Nottingham Health Profile": equally good psychological HRQOL domains independent of BOS [22]. These findings may indicate that LTR may have successfully adapted to their LTx, especially regarding post-operative complications [26].

Out of 152 study patients only 19 (12.5%) LTR in Munich go to work. Hypothetically patients who have a job may have a superior post-operative rehabilitation course associated with an already existing benefit of HRQOL. Petrucci et al. investigated the return to professional life in 72 LTx- and 79 HTx patients [6]. Despite a positive HRQOL and a good physical condition only 39% returned to work

after the transplantation procedure. If subjective and objective health status was satisfying, other factors may negatively influence the return to work. A lot of LTR are of advanced age, leading to early retirement. In other cases a disability pension does not stringently require the return to professional life.

To analyse the impact of exercise capacity on HRQOL, patients were evaluated by their walking distance. Patients with more than 430 meters described a significantly better HRQOL than patients with less than 430 meters walking distance. To the best of our knowledge no comparable studies could be found to verify our findings. Interestingly, multivariate analysis of variance (ANOVA) revealed a highly significant impact of exercise capacity on HRQOL. This supports the validity of the study result interpretation that quality of life and physical constitution is closely connected. Analysis of covariance (ANCOVA) includes patients' age as a secondary variable in the multivariate analysis. Consequently, the effect of "procedure type", "underlying disease" and "professional status" disappeared in the analysis. This confirms the hypothesis, that LTR with CF are on the one hand younger and on the other hand due to disease pathogenesis usually double LTx recipients. Both facts may lead to a superior post-operative disease progress, to an active participation in professional life and also to a higher self-perceived quality of life.

There are certain limitations to our study. The recruited subjects are heterogeneous regarding the time frame since the lung transplantation procedure. Moreover, a study design with only one point of measurement does not allow the possibility of statements about the development of HRQOL perceptions by the individual and how they tend to change, especially in those patients with BOS. Given the complex effects of LTx on physical and psychosocial factors, additional outcome measures such as presence of transplant morbidities, e.g. reduced bone mineral density or metabolic syndrome, may also be important to consider in future research.

In conclusion, the results of this evaluation are in line with findings from previous studies and demonstrate the importance of prophylaxis and therapy of BOS as well as improving exercise capacity to further enhance HRQOL following LTx.

CONFLICT OF INTEREST

The authors confirm that this article content has no conflicts of interest.

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Declared none.

SUPPLEMENTARY MATERIALS

Supplementary material is available on the publisher's web site along with the published article.

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