

# Big Five Personality Characteristics and Adherence to Clinic-Based Rehabilitation Activities After ACL Surgery: A Prospective Analysis

R.C. Hilliard<sup>1</sup>, B.W. Brewer<sup>\*2</sup>, A.E. Cornelius<sup>2</sup> and J.L. Van Raalte<sup>2</sup>

<sup>1</sup>Kalamazoo College, 1200 Academy Street, Kalamazoo, MI 49006, USA

<sup>2</sup>Springfield College, 263 Alden Street, Springfield, MA 01109, USA

**Abstract:** *Purpose:* A prospective, longitudinal study was conducted to examine Big Five personality characteristics as predictors of adherence to clinic-based rehabilitation activities following anterior cruciate ligament (ACL) reconstruction surgery.

*Methods:* Participants (72 men, 36 women) completed a questionnaire assessing Big Five personality dimensions prior to surgery. For the first 7 weeks after surgery, participants' rehabilitation session attendance was recorded and rehabilitation professionals rated participants' adherence during rehabilitation sessions.

*Results:* Results of multiple regression analyses indicated that the 5 personality factors explained 9 percent of the variance in attendance and 16 percent of the variance in adherence ratings, that agreeableness was a significant positive predictor of attendance, and that conscientiousness and openness to experience were significant positive predictors of adherence ratings.

*Conclusion:* As a potential contributor to adherence, personality warrants consideration when implementing rehabilitation programs after ACL surgery.

**Keywords:** Attendance, big five, compliance, knee, physical therapy, psychology.

## INTRODUCTION

Rehabilitation is a common component in the treatment of sport injuries. As with other medical conditions, for which more than 200 factors predictive of adherence to treatment have been identified [1], treatment adherence is an issue of concern in sport injury rehabilitation. Across various sport injuries and indices of adherence to sport injury rehabilitation, adherence rates have ranged from 40 to 91 percent in empirical studies [2]. Evidence of a positive correlation between adherence to sport injury rehabilitation and treatment outcome has been documented (for a review, see [3]), highlighting the potential importance of sport injury rehabilitation adherence.

In the integrated model of psychological response to sport injury [4], rehabilitation behaviors such as adherence to treatment are described as being influenced by personal, situational, cognitive, and emotional factors. Although positive correlations have been observed between several personal factors (e.g., self-motivation, pain tolerance, toughmindedness) and sport injury rehabilitation adherence [3], little attention has been given to major dimensions of personality as possible predictors of adherence to sport injury rehabilitation. Specifically, dimensions of the Big Five model of personality [5] — conscientiousness, agreeableness, neuroticism, openness to experience, and

extraversion — have not been considered in relation to sport injury rehabilitation adherence.

Research on other health behaviors provides a compelling rationale for examining the relationship of Big Five personality dimensions to sport injury rehabilitation adherence. Across studies of adherence to exercise regimens, medication regimens, and various “wellness behaviors,” positive associations have been found between adherence and conscientiousness [6-9], agreeableness [6], and extraversion [6, 8, 10-12]. Negative associations have been documented between neuroticism and adherence [6, 8, 11]. Accordingly, the present study was designed to assess the extent to which the findings regarding the relationship between these four Big Five personality dimensions and adherence to other health behaviors generalize to adherence to sport injury rehabilitation activities.

To minimize variability in rehabilitation protocols across participants, a single injury type—an acute rupture of the ligament that helps to provide stability to the knee, the anterior cruciate ligament (ACL)—was examined. Typically sustained during sport participation, an acute rupture of the ACL is a common and debilitating injury that is often treated with surgical reconstruction and requires an extended period of postoperative rehabilitation. Adherence to rehabilitation regimens is considered particularly important because ACL surgery is among the medical procedures for which research has shown that better adherence to rehabilitation is associated with more favorable treatment outcomes [3]. Based on previous research [6-12], it was hypothesized that conscientiousness, agreeableness, and extraversion would be

\*Address correspondence to this author at the Springfield College, 263 Alden Street, Springfield, MA 01109, USA; Tel: +1-413-748-3696; Fax: +1-413-748-3854; E-mail: [bbrewer@springfieldcollege.edu](mailto:bbrewer@springfieldcollege.edu)

positively associated and neuroticism would be negatively associated with adherence to clinic-based rehabilitation following ACL surgery. No hypotheses were advanced for the fifth Big Five dimension—openness to experience—in light of the lack of previously reported correlations between this dimension and adherence.

## METHODS

### Participants

Participants were selected on a consecutive patient basis from among those who had an ACL reconstruction with one of three orthopedic surgeons affiliated with the study, planned to do their postoperative rehabilitation at one of three physical therapy clinics affiliated with the study, and consented to participate in the study. A total of 108 individuals (72 men, 36 women) participated in the study. One person who met the criteria decided against participation. The mean age of participants was 29.38 (SD = 9.93, range = 14-54) years. Participants were predominantly (90%) White, not of Hispanic origin.

### Rehabilitation Protocol

The program for rehabilitation after ACL surgery that Shelbourne and his associates designed [13, 14] and later revised [15] was implemented by the physical therapists in this study. This accelerated program concentrates on knee extension, laxity, gait, and strength, and advancement through the program is based on the knee's functional ability rather than a prescribed time schedule. Under this program, patients are typically asked to complete multiple clinic-based rehabilitation sessions each week for several months.

### Measures

Information regarding the demographic characteristics, injury, adherence, and personality of participants was assessed. Demographic and injury-related information was assessed with a questionnaire that had items addressing the age, gender, race/ethnicity, and injury date of participants.

Adherence was measured two ways. First, rehabilitation session attendance was recorded. The ratio of the number of sessions attended to the number of sessions scheduled was computed. Second, the rehabilitation practitioners overseeing the clinic appointments of participants completed the Sport Injury Rehabilitation Adherence Scale (SIRAS) [16] at the end of each rehabilitation session. The SIRAS is a questionnaire with 3 items on which clinicians rate the intensity of patients' completion of rehabilitation exercises, the frequency of following the clinicians' instructions and advice, and openness to modifications of the treatment regimen during rehabilitation appointments. Clinicians rate patients on the SIRAS using 5-point Likert-type scales with anchors of *minimum effort/maximum effort*, *never/always*, and *very unreceptive/very receptive*, respectively, for the three items. A Cronbach's alpha coefficient of 0.81 and a test-retest reliability (intraclass) coefficient of 0.77 over a one-week period have been documented for the SIRAS [16]. Support for the scale's construct validity and interrater agreement have also been obtained [17, 18]. An average SIRAS score across rehabilitation sessions was calculated for all participants.

Personality was assessed with the NEO-Five Factor Inventory-S (NEO-FFI-S) [19]. This questionnaire has 60 items and measures the Big Five personality dimensions using a 5-point Likert-type scale with anchors of *strongly disagree* and *strongly agree*. Costa and McCrae presented evidence in support of the internal consistency (alpha coefficients ranging from  $\alpha = .86$  to  $.92$ ), test-retest reliability over a 3-month period (reliability coefficients ranging from  $.75$  to  $.83$ ), and construct validity of the NEO-FFI-S [19].

### Procedure

Approval to conduct the research was granted by the institutional review board at Springfield College. Participants who fulfilled the selection criteria for the study were recruited from the practices of the orthopedic surgeons involved with the study. Patients who agreed to participate in the study received a description of the purpose and procedures of the study from a research assistant. One week before their surgery, at a preoperative visit, participants (and parent or guardian if necessary) completed a written informed consent form and provided contact information. Participants then completed the demographic and injury-related questionnaire and the NEO-FFI-S.

Measurement of adherence to clinic-based rehabilitation activities began with the first postoperative session of physical therapy following surgery and continued for the first seven weeks of rehabilitation. A research assistant recorded participants' attendance at rehabilitation sessions and collected SIRAS ratings from the rehabilitation practitioner who supervised the treatment of the participants on those days.

### Data Analysis

Correlation and hierarchical regression analyses were conducted to explore associations between the Big Five personality dimensions and adherence to clinic-based ACL rehabilitation activities. In the first hierarchical regression analysis, attendance was the criterion variable. Age and sex were entered on the first step as control variables and the Big Five personality factors were entered on the second step. The second hierarchical regression analysis was identical to the first with the exception that SIRAS scores constituted the criterion variable.

## RESULTS

Complete data sets were obtained for 104 of the 108 participants. Means and standard deviations of the personality and adherence measures are displayed in Table 1. Relative to the norms provided for the NEO-FFI-S [19], the mean scores for all of the Big Five personality factors were in the "average" range, with exception of that for extraversion, which was in the "high" range. Intercorrelations among the personality and adherence measures are presented in Table 2. Only agreeableness was significantly correlated with attendance and only conscientiousness was significantly correlated with SIRAS scores.

In the first hierarchical regression analysis, the Big Five personality factors predicted a significant incremental proportion of the variance in attendance over and above age and sex,  $R^2_{change} = .09$ ,  $F(5, 96) = 2.43$ ,  $p = .04$ . As shown in

Table 3, agreeableness emerged as a significant predictor of attendance ( $\beta = .27, p = .01$ ). Participants with high agreeableness scores attended a higher percentage of their scheduled rehabilitation appointments than participants with low agreeableness scores.

**Table 1. Descriptive statistics of the sample.**

	<i>M</i>	<i>SD</i>	<i>N</i>
Attendance	.91	.14	107
SIRAS	13.73	.86	107
Age	29.38	9.93	107
Neuroticism	17.11	7.10	107
Extraversion	31.84	5.49	108
Openness to experience	25.81	5.19	107
Agreeableness	31.12	5.29	108
Conscientiousness	34.14	6.43	108

Note. Missing data resulted in a sample size < 108 for some variables.

In the second hierarchical regression analysis, the Big Five personality factors accounted for a significant

incremental proportion of the variance in practitioner ratings of adherence over and above age and sex,  $R^2_{change} = .16, F(5, 96) = 3.07, p = .006$ . Openness to experience ( $\beta = .24, p = .01$ ) and conscientiousness ( $\beta = .35, p < .001$ ) were significant predictors of SIRAS scores, with positive associations obtained for both factors.

**DISCUSSION**

The results of this investigation are in line with both theory and past research. As has typically been the case in investigations of individuals undergoing rehabilitation of sport-related injuries, most of the participants attended the vast majority of their scheduled appointments [2], perhaps reflecting strong motivation to return to sport involvement. As posited in the integrated model of psychological response to sport injury [4], personal factors (in the form of Big Five personality characteristics) were predictive of behavioral responses to sport injury in the form of adherence to rehabilitation. Conscientiousness, agreeableness, and openness to experience join self-motivation, pain tolerance, and toughmindedness as personal factors correlated with sport injury rehabilitation adherence [3]. The results augment past investigations in which conscientiousness and agreeableness were positively correlated with other health behavior regimens [6-9, 11]. It is not surprising that

**Table 2. Intercorrelations among personality and adherence variables.**

	<b>N</b>	<b>E</b>	<b>O</b>	<b>A</b>	<b>C</b>	<b>Att</b>	<b>SIRAS</b>
N	-	-.32**	.00	-.23*	-.22*	-.08	-.17
E		-	.01	.31*	.34**	.19	.10
O			-	.01	-.13	.15	.19
A				-	.24*	.31**	.13
C					-	.08	.33**
Att						-	.24*
SIRAS							-

Note. N = neuroticism, E = extraversion, O = openness to experience, A = agreeableness, C = conscientiousness, Att = attendance, SIRAS = mean of total SIRAS scores.

\* $p < .05$ .  
\*\* $p < .01$ .

**Table 3. Results of hierarchical regression analysis predicting attendance.**

<b>Predictor</b>	<b>Total <math>R^2</math></b>	<b><i>F</i></b>	<b><i>F</i><sub>change</sub></b>	<b><math>\beta</math></b>	<b><i>p</i></b>
Step 1	.02	1.13	1.13		
Age				.06	.58
Sex				-.14	.15
Step 2	.11	2.08*	2.43*		
Neuroticism				.02	.89
Extraversion				.11	.34
Openness				.15	.12
Agreeableness				.27	.02*
Conscientiousness				-.02	.88

Note. \* $p < .05$ .

**Table 4. Results of hierarchical regression analysis predicting SIRAS scores.**

Predictor	Total $R^2$	$F$	$F_{change}$	$\beta$	$p$
Step 1	.01	.52	.52		
Age				.10	.31
Sex				-.01	.90
Step 2	.17	3.07*	4.06*		
Neuroticism				-.08	.44
Extraversion				-.04	.68
Openness				.24	.01*
Agreeableness				.05	.66
Conscientiousness				.35	.001**

Note. \* $p < .05$ , \*\* $p < .001$ .

participants high in conscientiousness, a personality dimension characterized by hard work, persistence, and perseverance [20-23], would be rated as more adherent during rehabilitation sessions than those low in conscientiousness. Similarly, the tendency of participants high on agreeableness to attend a greater percentage of their rehabilitation appointments than those low on agreeableness aligns with the compliant attitudes characteristic of the agreeableness trait [21].

The positive association between openness to experience and ratings of adherence during rehabilitation appointments was not predicted given the dearth of previous research support for such a relationship. A potential interpretation of this result is that with its rapid progression and varied activities, the accelerated rehabilitation protocol may have constituted a novel situation of the sort that people high on openness to experience may find engaging. This possibility, of course, requires further investigation.

In contrast to previous research in which neuroticism and extraversion were associated with adherence to health behavior regimens [6, 8, 11, 12], neither neuroticism nor extraversion emerged as a predictor of adherence in the current study. The correlations between both personality dimensions and the two adherence measures were all in the predicted direction (i.e., negative for neuroticism and positive for extraversion), but were of insufficient magnitude to achieve statistical significance.

The current study had several key strengths. First, the sample was homogeneous with respect to injury and rehabilitation protocol, thereby ensuring the comparability across participants of the treatment for which adherence was assessed. Second, a prospective, longitudinal research design was used. By measuring the Big Five personality dimensions prior to surgery, it is possible to entertain an explanation of the findings that considers personality characteristics as potential contributors to the differences in adherence that were observed. Third, multiple measures were used to assess adherence to clinic-based rehabilitation activities. Because one of the measures was objective (attendance) and the other measure involved other-report (SIRAS), neither adherence index was hampered by the potential drawbacks of self-report (e.g., social desirability bias, forgetting).

Although the homogeneity of the sample is a strength of the study, it is also a limitation in that it is not possible to generalize the results to other sport injuries and even other protocols for the rehabilitation of the same injury. Further research with other injuries and rehabilitation protocols is needed. Another limitation of the study is that even though a prospective research design was used, the data are still correlational and no causal inferences can be drawn from the findings. Demonstration of causality may prove elusive, however, because it is not possible to manipulate personality experimentally. Also, even though neither of the two adherence measures involved self-report, the ceiling effect and restricted range of the attendance scores may have attenuated personality-adherence associations for that aspect of clinic-based rehabilitation, and the fact that the rehabilitation practitioners who completed the SIRAS for each participant were not masked with respect to the participants' attendance status may have biased the practitioners' adherence ratings. The difficulty with the attendance scores is not easily correctable, but the problem with the adherence ratings could be addressed in future research by having observers who are unaware of participants' attendance status complete the SIRAS for each participant.

In terms of implications for clinical practice, the findings suggest that knowledge of patients' personality may be useful in implementing accelerated rehabilitation protocols following ACL reconstruction. Knowing, for example, that a given patient is low in conscientiousness, agreeableness, or openness to experience may signal the need to provide added attention or motivation to increase the likelihood of that person adhering to the clinic-based aspects of rehabilitation program. Given that the Big Five personality dimensions accounted for 9 to 16 percent of the variance in attendance and rehabilitation professional adherence ratings, respectively, it is clear that a thorough understanding of adherence also requires consideration of a full array of situational, cognitive, and emotional factors [3]. Attending to and enhancing the adherence of people undergoing rehabilitation has the potential to improve treatment outcomes.

#### CONFLICT OF INTEREST

The authors confirm that this article content has no conflict of interest.

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