A Programmatic Approach to Patient Blood Management – Reducing Transfusions and Improving Patient Outcomes

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Abstract: In July 2008, the Western Australia (WA) Department of Health embarked on a landmark 5-year project to implement a sustainable comprehensive health-system-wide Patient Blood Management Program. Fundamentally, it was a quality and safety initiative, which also had profound resource and economic implications. Unsustainable escalating direct and indirect costs of blood, potentially severe blood shortages due to changing population dynamics, donor deferrals, loss of altruism, wide variations in transfusion practice and growing knowledge of transfusion limitations and adverse outcomes necessitate a paradigm shift in the management of anemia and blood loss. The concept of patient-focused blood management is proving to be an effective force for change. This approach has now evolved to embrace comprehensive hospital-wide Patient Blood Management Programs. These programs show significant reductions in blood utilisation, and costs while achieving similar or improved patient outcomes. The WA Program is achieving these outcomes across a health jurisdiction in a sustained manner.

Keywords: Anemia, blood conservation, blood loss, blood transfusion, patient blood management, bloodless surgery, practice change.

INTRODUCTION

Patient blood management (PBM) shifts the attention in transfusion medicine from a product focus to a patient focus and managing the patient’s own blood [1]. PBM is an evidence-based, patient-specific medical and surgical concept that employs a multidisciplinary multimodal team approach to optimise the patient’s red cell mass, minimise blood loss and exploit and optimise the patient’s physiological tolerance of anemia. Its aim is to improve patient outcomes [2-4]. There is now worldwide interest and uptake in PBM [5]. In May 2010, the sixty-third session of the decision-making body of the World Health Organisation, the World Health Assembly (WHA), adopted resolution WHA63.12 which recommended PBM to its 193 member states [6]. In 2008, the Australian National Blood Authority (NBA) commenced the development of 6 evidence-based Patient Blood Management Guidelines modules covering 1) Critical Bleeding/Massive Transfusion, 2) Perioperative, 3) Medical, 4) Critical Care, 5) Obstetrics and Maternity and 6) Paediatrics and Neonatology. PBM is most effective when implemented as part of a coordinated program [7-9]. Based on an exhaustive review of the literature the NBA Patient Blood Management Guidelines: Module 2 Perioperative contains an evidence-based recommendation that “Health-care services should establish a multidisciplinary, multimodal perioperative patient blood management program” [10]. Numerous reports in the literature show that these programs are achieving change, resulting in significant reductions in blood utilisation while improving patient outcomes and reducing costs (see Fig. 1) [9, 11-30]. In 2014 the Consumers, Health and Food Executive Agency of the European Union (EU) Commission undertook a project to develop an “EU Guide for Member States on Good Practices for Patient Blood Management”, acknowledging the patient outcome and cost saving benefits of PBM (http://www.newsfax.com/news/20140321006). A provisional blood conservation program in Ontario Canada in 2002 was the
first government-sponsored jurisdictional program [31]. It focused on three targeted surgical procedures namely, knee replacement surgery, aortic aneurysm surgery and elective coronary artery bypass surgery. The WA PBM Program was the world’s first proposed comprehensive jurisdiction-wide program encompassing all medical and surgical specialties [32]. We outline here the rationale for the WA Program, its implementation and preliminary results. These outcomes have implications for both the developed and developing world.

RATIONALE

The Pressing Need for Change in Transfusion Practice

In 1988, Isbister highlighted the need for a paradigm change in transfusion practice [33, 34]. An editorial in the British Medical Journal in 2002 stated that change would require a “cultural shift” at all levels of the health system including clinicians, managers and policy makers. Changing culture in transfusion practice, however, has been challenging [35]. Boucher and Hannon noted this in a 2007 paper writing that the “administration of blood products is surrounded by emotions, misconceptions, myths, and prescribing by habits” [36]. Dzik of the Massachusetts General Hospital Blood Transfusion Service in his 2002 Emily Cooley lecture published in the journal Transfusion highlighted a need for change and referred to “a verbal tradition of blood usage in which old ‘rules’, often perilously out of date, are used to decide when to transfuse” and “that many transfusion decisions are ill informed, outdated, or simply incorrect” [34]. New reasons for change have emerged in recent years, meaning the need for change is now more pressing than ever. Factors necessitating change include:

Burgeoning Cost of Blood

Economic modelling of transfusion presents a number of challenges and is generally poorly understood [37]. Traditionally, costing of blood has focused on direct acquisition costs – in itself a difficult cost assessment. Direct cost of the product, however, represents only a fraction of the total cost. Process cost analysis has been applied to estimate the total societal cost of blood [38, 39]. This broad costing needs to take into account not just the collecting, screening, testing and processing of blood products, but also the process of testing and administering blood products within the hospital and the associated costs of monitoring and treating adverse events of transfusion. A study conducted in two United States hospitals and two European hospitals using activity-based costing showed that the cost of administering red blood cell (RBC) transfusions within the hospital was two to four times that of the direct costs [40]. On top of the direct cost of blood and the activity-based cost of administering transfusions is the potential cost of adverse outcomes associated with transfusion. In a study of 89,996 multi-day acute-care inpatients discharged over a one year period Trentino et al. found that, after risk adjustment, the hospital costs associated with RBC transfusions represented 7.8% of the total hospital expenditure on that patient population. This

- reduction by 10 - 95%
- reduction up to 68%
- reduction by 16-33%
- reduction up to 43%
- reduction up to 43%
- reduction of composite morbidity up to 41%, reduction of infection rate up to 80%
- reduction by 10-84%

costing does not include the cost of the blood products [41]. Accordingly, the total cost burden is becoming increasingly unsustainable.

**Blood Supply Challenges**

An additional challenge exists in current population dynamics. In many countries a looming socio-economic challenge relates to the so-called age-dependency ratio, a measure obtained by dividing the non-working-age population by the working-age population and multiplying by 100. For example, data from 25 EU countries showed an age dependency ratio of 24.8 in 2005, which meant that on average 24.8 elderly persons were depending on the economic activities of 100 working individuals. Based on current population data it is estimated that this load may climb over the next 20 years to almost 40 [42]. Hofmann et al. showed how the “age-dependency-ratio” can be translated to a “total transfusion dependency ratio” (TTDR) to measure the changing ratio between the donating and the non-donating population segments [37]. Studies have shown that the majority of blood is used in the older age segment of the population – a non-donating blood segment (many countries have age limits for donors with varying older age and lower age limit cutoffs) [43, 44]. In many countries, the donating age group is growing less than the rapidly growing older age segment. Farmer et al. modelled the TTDR for 11 countries to demonstrate the potential impact of an ageing population on blood supply [32]. In 2010, the TTDR for these 11 selected countries ranged from between approximately 31% to just under 45%. The modelling estimated that by 2050 this could increase to between 40% and 65%. This model demonstrates the supply challenge if current donation patterns remain the same and transfusion practice remains unchanged.

**Safety Issues**

Although the risk of known infectious agents such as human immunodeficiency virus (HIV), hepatitis C virus (HCV) and hepatitis B virus (HBV) has been reduced to very low levels in most developed countries, the blood supply remains vulnerable to new and re-emerging infectious agents [45, 46]. Highlighting this challenge, in the proceedings of a US Food and Drug Administration (FDA) public workshop on the risk of emerging infectious diseases (EID) for blood and blood products published in 2013, one of the participants, Dr Susan Stramer from the American Red Cross, is reported as stating: “EID agents are unique with few common characteristics. Their emergence is unpredictable, generalization about them is dangerous, vigilance is critical, and one solution does not fit all situations” [47]. Additionally, transfusion-related circulatory overload (TACO), transfusion-related acute lung injury (TRALI), wrong blood component transfused, acute transfusion reactions and bacterial contamination of blood remain the leading causes of transfusion-related death and major morbidity [48].

**Patient Outcome Issues**

While blood transfusion is potentially life-saving in the setting of critical bleeding and bone marrow failure, reviews of the literature demonstrate evidence to support benefit for transfusion in the majority of clinical settings in which it is given is sparse [49-51]. Recent systematic

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reviews and meta-analyses of randomized controlled trials that compare hemoglobin (Hb) based liberal transfusion thresholds with restrictive transfusion thresholds establish no benefit from liberal transfusion. These meta-analyses, however, have identified possible harm from liberal transfusion. A 2012 review found liberal transfusion thresholds increased infection and in-hospital mortality [52]. A 2014 review and meta-analysis found that when compared with more restrictive transfusion thresholds, liberal transfusion increased the incidence of acute coronary syndrome, pulmonary edema, re-bleeding, bacterial infection, in-hospital mortality, 30-day mortality, and total mortality [53].

The International Consensus Conference on Transfusion Outcomes (ICCTO) was convened to try to determine from the literature when RBC transfusion may be beneficial in improving patient outcomes, when it is not beneficial and when it may be harmful [54]. A systematic review of the literature published over 13 years identified 494 studies for analysis. The 15-member panel of international experts used the RAND/UCLA Appropriateness Method to determine the appropriateness (defined as likely to improve the patients health outcome) of RBC transfusion in 450 clinical scenarios in which transfusion is most commonly considered. The analysis was confined to non-actively bleeding patients to avoid the confounding of critical bleeding. Based on the literature, the expert panel concluded that in only 11.8% of the 450 clinical scenarios was transfusion likely to improve patient health outcomes. In 59.3% of the scenarios transfusion was determined to not likely improve health outcomes, even likely to harm. In 28.9% of the scenarios, it was found to be uncertain whether transfusion would be beneficial, with more research required to make definitive conclusions.

Of greater concern is a growing body of large phase 4 retrospective and prospective clinical observational studies comparing transfused patients with non-transfused patients after controlling for confounding variables showing transfusion per se to be an independent risk factor for adverse patient outcomes (see Table 1 for a list of adverse outcomes associated with transfusion) [49]. While there are inherent limitations with observational studies, these large real world studies play an important role in identifying safety issues – issues that RCTs are often too small to pick up [55, 56].

An exhaustive systematic review of the literature was conducted for the development of the Australian Patient Blood Management Guidelines: Module 2 Perioperative to answer the clinical question: “In patients undergoing surgery, what is the effect of RBC transfusion on patient outcomes?” [10]. This review found that in cardiac and non-cardiac surgery, RBC transfusion is independently associated with increased morbidity, intensive care unit (ICU) and hospital length of stay and mortality. Many of these studies showed a dose-response relationship, with the risks increasing with each unit given.

Recent studies have identified that even small amounts of transfusion (1 or 2 units) may have a negative impact on patient health outcomes, with an associated increased risk of mortality, wound problems, pulmonary complications, pneumonia, sepsis/septic shock, stroke, renal dysfunction/failure, atrial fibrillation, prolonged ventilation, re-operation for bleeding, ICU and hospital length of stay [57-60].

Mechanisms suggested to explain the adverse outcomes associated with transfusion include the physical and chemical changes that take place with removal and storage of blood (referred to as the “storage lesion”) and transfusion-related immunomodulation (TRIM) [61-67]. The link between TRIM and postoperative infection is considered causal and the link between TRIM and cancer recurrence is considered likely [67].

If the link between transfusion and adverse outcomes is causal, adverse outcomes may represent the largest clinical and economic burden of inappropriate transfusion [56]. Accordingly, for these and other reasons, authorities are now recommending that efforts should be directed at minimising or avoiding transfusions wherever possible [9].

Variations in Transfusion Practice and Inappropriate Transfusions

Adverse outcomes associated with transfusion are of particular concern, given the wide variations in transfusion practice that exist between countries and institutions [68-73]. Red blood cell transfusion rates vary from 9% to 92% in orthopedic surgery, 17% to 82% in colorectal surgery, 20% to 53% in critical care and 0% to 28% in acute coronary syndrome [74-78]. Frank et al. found wide variation in transfusion practice even between clinicians within the same institution [79]. This wide variation in transfusion practice in similar patient populations suggests that a large percentage of transfusions may be inappropriate and avoidable. An inappropriate transfusion offers no benefit – only risk to the patient and cost to the community.

Mechanism for Change – Hospital-Wide Comprehensive Patient Blood Management Programs

The international experience suggests that one of the most effective ways to bring about change in practice involves establishing formal, comprehensive, hospital-wide Blood Conservation/PBM programs [7, 8, 80]. These coordinated programs adopt aggressive education strategies enabling all stakeholders - including patients and all clinical and non-clinical hospital staff - to be fully informed of current evidence on the risks and benefits of transfusion along with measures to minimise blood loss and utilise appropriate blood management options. They implement an integrated multidisciplinary, multimodal medical/surgical approach that has as its focus individualised patient care that includes careful stewardship of the patient’s own blood. The aim of this approach is to improve patient outcomes [1]. As an editorial by Frenzel et al. in Current Opinion in Anaesthesiology put it “Our own blood is still the best thing to have in our veins” [81].
Essential to a successful, comprehensive, PBM program is a committed hospital administration, personnel to coordinate and manage the program, and a full team of well informed and motivated physicians, nurses, technicians and other support staff. A hospital-wide program conjoins all departments and staff including departments of surgery, anesthesia, hematology, critical care and pharmacy, along with the pathology laboratory, transfusion service and the blood bank. A formal program structure is essential to facilitate this multidisciplinary team approach [7, 82].

A Patient Blood Management Program identifies patients at risk of transfusion and constructs a treatment plan aimed at minimising or eliminating such exposure. The program provides education for patients to enable them to understand their blood management treatment options and decisions, improving their satisfaction and comfort with the treatment process. A multidisciplinary team draws on multiple strategies to minimise blood loss, maximise hemopoiesis and manage oxygen needs of the individual patient. A “culture” is created, from administration through senior and junior clinical staff, nursing and allied health, in which allogeneic transfusion, like any other tissue transplant, is the last resort, not the first reflexive action.

PBM is built around three pillars [4, 83]:
1. Optimise the patient’s red cell mass
2. Minimise blood loss
3. Exploit and optimise the tolerance of anemia

These three pillars are applied in three integrated phases: 1) the pre-treatment phase, 2) the treatment phase, and 3) the post-treatment phase.

Much has been written in the literature and in textbooks about this integrated approach and a detailed description is beyond the scope of this article [4, 7, 8, 80, 83-85].

IMPLEMENTATION OF THE WA PBM PROGRAM

The WA PBM Program drew on the accumulated international experience in PBM and PBM programs to implement for the first time a comprehensive state-wide program. It was based on established and proven models [8] including a successful Blood Conservation Program established by one of the authors (SF) at a WA private hospital in 1990 [7]. The Program’s aim was to improve patient outcomes while reducing costs. The initial implementation was a five-year project designed to produce a sustainable program. It was implemented utilising professional change management principles [86]. Effective leadership

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**Perioperative multidisciplinary multimodal patient-specific team approach**

Fig. (2). The 3-pillar 9-field matrix of perioperative patient blood management. The matrix was designed for the Western Australia Patient Blood Management Program to assist in the clinical implementation of the multiple PBM strategies. These strategies are considered in the perioperative period in a patient/procedure specific context. [Adapted from Hofmann A, Friedman D, Farmer S. Western Australian Patient Blood Management Project 2008–2012: analysis, strategy, implementation and financial projections. Western Australia Department of Health 2007; 1–154. Isbister has adapted this perioperative matrix for wider clinical application, for example medical/haematological patient populations (Isbister J. The three-pillar matrix of patient blood management. ISBT Science Series. 2015;10(Suppl. 1):286-94)].
was provided in the Program design with executive sponsorship by the Chief Medical Officer of the time, Dr Simon Towler. Clinical leadership also included a State PBM Medical Director and a State PBM Nurse Coordinator along with a PBM Medical Director and a PBM Nurse Coordinator within each major hospital and servicing each Area Health Service. The Patient Blood Management Guidelines: Module 2 Perioperative (Page 71) highlights the major role anesthesiologists play in a PBM Program. Anesthesiologists played a key role in the Program with 3 tertiary-care hospitals appointing them as medical co-directors. The program also engaged key opinion leaders from multiple disciplines as a Clinical Reference Group. Multiple education and communication strategies for both healthcare providers and consumers were developed, including an informative website (http://www.health.wa.gov.au/bloodmanagement/home/). Clinical education and perioperative clinical practice implementation was structured around a 3-pillar 9-field matrix of the multiple perioperative PBM strategies (see Fig. 2). Surgical hemostasis workshops were developed and run at the State’s Clinical Training and Evaluation Centre (CTEC - http://www.ctec.uwa.edu.au) during the early part of the program to address the second pillar of PBM namely, reducing blood loss. PBM educational road shows were conducted at all major hospital departments to inform and engage physicians at the clinical coal face. Effective data collection and monitoring systems were developed for benchmarking, continuous practice improvement and risk management [87]. Systems were re-engineered to allow timely optimisation of patient’s haemoglobin and iron stores and bleeding risk assessment prior to treatment. Details of program structure and implementation are discussed in more detail elsewhere [32, 88].

RESULTS FROM THE WA PBM PROGRAM

The WA PBM Program was announced in November 2008 in the State that had one of the lowest transfusion rates in the developed world [32]. However, issuance had been steadily increasing due in part to a rapidly growing population. Early reports showed that, with the introduction of the program, this upward trend was arrested and trended downwards [32, 88]. Four years into the program total RBC issuance to the State had decreased from 70,143 units to 65,742. Historical data, projected age distribution and population increases had predicted RBC issuance would have reached about 78,000 units by 2012. RBC issuance to the 19 capital city hospitals, of which the five major tertiary care hospitals were the main preliminary focus of the Program, decreased from 38,525 to 34,282 despite a 22.9% increase in case-mix-adjusted inpatient activity or weighted separations [32]. In the final year of the project (2012-13) total issuance for the State was down to 64,064 and issuance for 2013-14 was 54,763. This yearly decrease was despite an average annual population increase of approximately 3% (see Fig. 3).

Transfusion services measure blood utilisation of countries and jurisdictions in units transfused per 1000 population. Data available at the commencement of the WA Program showed RBC transfusion rates for Denmark, Germany, Austria, United States and the United Kingdom as being 60.0, 57.3, 52.9, 48.0 and 36.3 respectively. RBC

![Fig. (3). Red blood cell issues data and resident population data for the State of Western Australia 2002-03 to 2013-14. Red blood cell issuance data published and unpublished National Blood Authority (Australia) data printed with permission. Issuance of red blood cells was progressively increasing in Western Australia. With the introduction of the Patient Blood Management Program in 2008-09 this upward trend was arrested and issuance has decreased each year despite an annual population increase.](image-url)
issuance for Western Australia was 31.8/1000 (year 2008-09). This had decreased each year to 25.8/1000 by the end of the 5-year project. This decrease has been sustained with issuance reaching 21.5/1000 for the year 2013-14 (see Fig. 4). Reductions have also been seen in fresh frozen plasma (FFP) issuance while cryoprecipitate issuance increased. This may partly be explained by practice change from using FFP to fibrinogen-containing cryoprecipitate in bleeding patients. Platelet issuance has remained about the same (see Fig. 5.)

Initial data presented by one of the main teaching hospitals highlights outcomes associated with implementation. These data focused on one of the early patient groups targeted post program introduction: knee replacement (diagnostic related group I04). The data showed a significant reduction in the proportion of patients transfused from 10.5% pre PBM implementation to 2.2% post implementation (p<0.001). In addition to reducing the transfusion rate, the data suggests evidence of improved patient outcomes. Reduction in unadjusted mean hospital length of stay fell from 8.74 days to 7.58 days (p=0.002). Using Classification of Hospital Acquired Diagnoses data [89] four key areas of hospital-acquired complications were identified: hospital-acquired anemia, post-procedural complications, cardiovascular complications and respiratory complications. The composite incidence of these complications was significantly reduced from 15.3% to 10.2% post PBM implementation (p=0.031). Analysed individually this was made up of reductions in the incidence of post-procedural complications from 6.7% to 3.1% (p=0.021) and small (non-statistically significant) reductions in cardiovascular and respiratory complications (5.4% vs 5.2%; p=0.905 and 2.8% vs. 2.5%; p=0.763 respectively) [90].

While the literature demonstrates an association between RBC transfusion and increased length of stay and hospital acquired complications, a reduction in transfusion from 10.5% to 2.2% cannot fully explain the improved outcomes seen in this cohort study. Likely, contributing to these is the improved care of the PBM approach namely, careful patient evaluation and optimisation prior to surgery, meticulous surgical technique and use of hemostatic agents to reduce blood loss intraoperatively and greater use of intravenous iron to manage postoperative anemia.

CONCLUSION

Patient-focused blood management is increasingly being seen as the new standard of care [4]. Patient blood management is an evidence-based concept as opposed to a behaviour-based paradigm [91]. It can result in significant reductions in transfusion and cost savings while improving patient outcomes. However, implementation requires a cultural shift among all levels of the health care system. If we fail to change the paradigm, as a 2006 editorial in Critical Care Medicine stated, “we do so to the profound detriment of patients” [92].
CONFLICT OF INTEREST

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Fig. (5). Fresh frozen plasma (FFP), cryoprecipitate and platelet units issued per 1,000 population for the State of Western Australia 2008-09 to 2013-14 (published and unpublished data). Printed with permission National Blood Authority (Australia).
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