

Evaluation of Practical Process Aspects for Lipozyme TL IM Catalyzed Bulk Fat Modification in a Batch Reactor

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Abstract: A few issues to apply Lipozyme TL IM-catalyzed interesterification for bulk fat modification were investigated in a batch reactor system with concerning practical process development. The hydrolyzed products, i.e. free fatty acids and diglycerides, generated from the Lipozyme TL IM-catalyzed interesterification process due to water participation, can be minimized by enzyme pre-treatment. For the interesterified products, free fatty acids formed by hydrolysis had softening effect on solid fat content and diglycerides retarded crystal transformation during storage. Reaction time was more critical for the reduction of acyl migration than the control of water content in the system. The temperature effect on the rate of interesterification in terms of Q_{10} value was determined as 1.3, meaning that the increase of reaction rate was limited by the increase of temperature. Therefore, for practical implementations, a temperature of 60-70 °C which the feed stock can be totally melted for the reaction should be used in consideration of enzyme stability. The quality of feedstock was confirmed to be critical to maintain the enzyme activity and the refined, bleached, and deodorized oil should be used as the feedstock.

Key Words: Enzymatic interesterification, Lipozyme TL IM, batch reactor, by-products, oil quality.

INTRODUCTION

With the development and commercialization of Lipozyme TL IM, a silica granulated *Thermomyces lanuginosus* lipase, the push for the uses in bulk fat modification to replace the chemical interesterification has been intensified [1-12]. The lipase was initially developed for detergent uses [1], but it was successfully demonstrated for the modification of bulk fat after further development [2]. The reaction behavior was widely studied in batch as well as continuous reactors [2,8,11]. *Thermomyces lanuginosus* lipase has been generally regarded as regio-specific for sn-1,3 positions. However, the specificity can be influenced by many issues such as media, substrates, and even acyl migration [2,7]. The reaction kinetics with conversion defined by solid fat content was also demonstrated as a useful monitoring index for process control [3]. The possibility of using FTIR or FTNIR for online monitoring of the Lipozyme TL IM-catalyzed interesterification process was further demonstrated and proved to be feasible [9, 10]. The physical properties as well as storage properties of Lipozyme TL IM-produced fats or fat products were also systematically investigated [4-6,12]. Commercial sectors have also made strong efforts to promote, engage or implement the technology for the upgrading of traditional processing technology (www.novozymes.com, www.desmetgroup.com, www.admworld.com, etc.).

For the operation of the technology in actual uses, there certainly remain a number of issues that are needed to be solved. This is certainly true for a technology developed only for fewer than a dozen of years. For an immediate practical thinking, there are a few issues remaining hanging around in

the innovation path, such as how the water in the commercial lipase preparation affects the processing in terms of byproducts and enzyme activity, how those byproducts affect the physical properties of the products, how critically reaction can be benefited through temperature changes, and how substrate material quality affects the enzyme activity, etc. Therefore, these issues were subjected to a critical evaluation in this study. We expect that the results should be useful for those practical users of the industrial sectors.

MATERIALS AND METHODOLOGY

Materials

Lipozyme TL IM, a commercial silica granulated *Thermomyces lanuginosus* lipase preparation (Novozymes A/S, Bagsvaerd, Denmark), was used for the lipase-catalyzed interesterification. Particle size was measured as 250 to 1000 μm (Fig. 1). Bulk density was 420 kg/m^3 and true density was 1830 kg/m^3 . Soybean oils (SO, non-deodorized and deodorized) were supplied by Oelmühle Leer Connemann (Hamburg, Germany). Palm stearin (PS), coconut oil (CO), and rapeseed oil (RO) were supplied by AarhusKarlshamn (Karlshamn, Sweden). Fully hydrogenated cottonseed oil (FHCO) was supplied by Bunge Oils, Inc. (St. Louis, MO). L- α -phosphatidylcholine (PC, purity 95%) was purchased from Sigma (St. Louis, MO). All other chemicals and reagents for the analysis were of analytical or chromatography grade.

Batch Reaction

Experiment was carried out in a solvent-free system by using 600 g oil blend with the lipase dosage of 10% at a defined reaction temperature. Interesterified products were collected from the Lipozyme TL IM-catalyzed interesterification at the different reaction times and stored in freezer

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before analysis. Lipozyme TL IM was used for the reaction either as it was or a pre-treatment was made as follows. Lipozyme TL IM contains approx. 5 wt% equilibrium water including 2 wt% bounded water [14]. The non-bound free water will then lead to a high amount of by-products formed by hydrolysis reaction during the interesterification process. The free water can be reduced by pre-treating the lipase with initial three reaction batches using RO or SO (600 g) in 30 min at 70 °C. After 30 min of incubation for each batch, the oil was removed through an in-situ filter. Finally the lipase was further quickly washed one more time with the designed oil blend before actual experiments. By this washing, the free water content was removed.

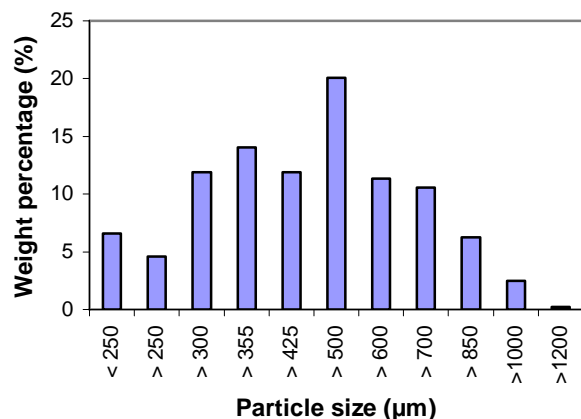


Fig. (1). Particle size distribution of Lipozyme TL IM.

Temperature Effect on Reaction Rate

The reaction rate was measured based on the changes of solid fat content with the choice of 40 °C and in 2 h reaction time using the blend of PS/CO (70/30) with lipase dosage of 4 wt% Lipozyme TL IM.

Short Path Distillation (SPD) to Remove Free Fatty Acids (FFA)

A UIC KD-4 system (Alzenau-Hoerstein, Germany) was used to remove FFA from the products. Feeding temperature was set at 75 °C. Evaporator and condenser temperatures were 190 and 75 °C, respectively. Feeding rate was in a range of 200-300 mL/h. Samples were distilled twice in order to reach a low content of FFA.

Silica Gel Absorption to Remove Diglycerides (DAG)

A simple absorption procedure was used to remove DAG as described by Reddy and Prabhakar [13].

Triglyceride Profiles

Triglycerides (TAG) were analyzed by reversed-phase HPLC. Separation was performed on a LiChroCART 250-4 (RP C18 end-capped) column (particle size = 5 µm, Merck, 64271 Darmstadt, Germany) with a binary solvent system of acetonitrile (solvent A) and dichloromethane (solvent B). Solvent B was increased from 25 to 27% over 20 min, and followed by 100% solvent B for 5 min and then followed by the initial binary solvent for the equilibration of the system. Sample (20 µl) was dissolved in 1 mL chloroform and 10 µl aliquots were injected. Two typical peaks which changed

mostly during reaction at retention time of 14.5 (P_a) and 15.8 (P_b) min were chosen to monitor the process.

$$\text{Peak ratio} = \frac{\text{Area of peak } P_a}{\text{Area of peak } P_b}$$

Conversion Degree of Lipase-Catalyzed Interesterification

The conversion degree (X) is defined as:

$$X(\%) = \frac{P_t - P_o}{P_\infty - P_o} \times 100$$

where P_t is the peak ratio at time t, P_∞ is the peak ratio at equilibrium and P_o is the peak ratio at time 0. During the enzymatic interesterification, conversion degree can be controlled by changes of the reaction time.

Fatty Acid Composition at the sn-2 Position

The Grignard degradation method was used as described before [2].

Fatty Acid Compositions (FAC)

Samples were melted and methylated by the potassium hydroxide method (AOCS, method Ce2) and analyzed by GC as described before [14].

Diglyceride Content (DAG)

DAG content was analyzed on a HP narrow-bore silica column (L = 10 cm, i.d. = 2.1 mm, particle size = 5 µm; Hewlett Packard, Waldron, Germany). A binary solvent system of heptane and heptane/tetrahydrofuran/acetic acid (80/20/1, v/v/v) was used. Samples were dissolved in heptane (5 mg/mL) and 20 µL aliquots were injected. Dipalmitin was used as an external standard and calibration curves were established to quantify the amount of DAG in the samples. The concentration of DAG was expressed as the weight percentage of the sample.

Free Fatty Acid Content (FFA)

FFA content was determined by the AOCS official method Ca 5a-40 (1993).

Solid Fat Content (SFC)

SFC was measured by Minispec mq 20 NMR analyzer (Bruker, Germany) according to the AOCS serial measurement method (Cd 16b-93, 1993) at measurement temperatures of 5, 15, 25, 30, 35, and 40 °C.

All the measurements were made in triplicates. Statistical analysis was performed by t-test (p < 0.05).

RESULTS AND DISCUSSION

Practical Control of Water Content

Water content is a common issue for such microaqueous reaction systems. The effect of water content on the Lipozyme TL IM-catalyzed interesterification has been evaluated before [2]. It seems that the water content did not affect the enzyme function in initial reactions as well as in reuses. Reducing the water content from approx. 5% in the commercial

urement since the first batch reaction generated more FFA (6%). FFA influenced not only the physical properties, but also the chemical properties. FFA is oxidized more easily than TAG. Although a small amount of FFA in fats or oils does not have marked effect on oxidative stability (FFA < 0.03% for the RBD oil), the presence of a relatively large amount of FFA facilitates incorporation of catalytic trace metals from equipment or storage tank, and thereby increases the rate of lipid oxidation [16]. Therefore, it is important to remove FFA from the products for many reasons.

On the other hand, the effects of DAG for the interesterified products did not have large impact on SFC values, except for SFC measured at 30 °C after 3 h reaction, in which a slightly hardening effect was found between the interesterified products treated by removing FFA and produced with the pre-treated lipase preparation, as the two procedures will lead to different contents of DAG (Table 1).

The effects of FFA and DAG on the storage stability (Table 2) were evaluated using the products produced by the commercial lipase preparation without lipase pre-treatment

Table 1. Effect of FFA on SFC for Enzymatically Interesterified Products with “Fresh” or Pre-Treated Lipase and FFA was Removed by Short Path Distillation (Scheme 1) at Different Reaction Times in a Batch Reactor. Reaction Conditions: Blend of Fully Hydrogenated Cottonseed Oil and Soybean Oil (FHCO/SO, 1/1); 10 wt% Lipozyme TL IM; Temperature 70 °C

FHCO/SO (1/1)		SFC (%)				
		10 °C	20 °C	30 °C	35 °C	40 °C
Reaction Time (h)	Blend	51.5	49.0	45.1	42.1	39.0
1	First batch	45.0	44.1	34.7	28.8	23.1
	-FFA	48	46.9	36.8	31.7	26.0
	Pre-treated lipase	49.1	45.9	36.6	32.2	27.6
3	First batch	40.5	33.1	26.6	19.4	13.6
	-FFA	40.7	39.7	29.0	22.3	16.4
	Pre-treated lipase	42.2	40.5	26.4	22.0	17.4
5	First batch	41.6	30.8	25.4	18.3	12.2
	-FFA	41.9	34.9	27.7	20.0	14.4
	Pre-treated lipase	41.1	35.3	22.7	18.3	13.7
8	First batch	41.5	29.8	24.9	17.5	11.1
	-FFA	41.9	33.2	26.7	19.1	13.0
	Pre-treated lipase	40.8	32.5	20.9	16.5	11.9
12	First batch	42.0	30.3	25.2	17.8	11.6
	-FFA	-	-	-	-	-
	Pre-treated lipase	42.0	33.6	27.4	19.2	13.2
24	First batch	41.6	29.2	24.8	17.9	11.6
	-FFA	40	32.3	27.0	18.7	12.9
	Pre-treated lipase	40.6	30.2	20.0	15.8	11.3

-FFA: removing FFA by short path distillation.

Table 2. Effects of FFA and DAG on the Crystal Transformation for the Products Produced at the First Batch and their Products were Further Treated with SPD and Silica Gel Absorption for Removing FFA and DAG, Respectively (Scheme 1). Reaction Conditions see Table 1

Reaction Time (h)	Samples	First Day	β' Content (%)	
			One Week	One Month
0	Blend	$\alpha + \beta'$ ($\beta = 10\%$)	50	44
3	First batch	α	100	100
	-FFA	α	100	100
	-(FFA+DAG)	α	20	20
8	First batch	α	100	90
	-FFA	α	100	100
	-(FFA+DAG)	α	25	20
24	Product	α	85	71
	-FFA	α	85	85
	-(FFA+DAG)	α	35	35

-FFA: product removed FFA; -(FFA+DAG): product removed both FFA and DAG.

and their hydrolyzed products that were further removed by SPD and silica gel absorption (Scheme 1) at different reaction times. FFA did not show large influence on crystal transformation. However, DAG showed significant effect on the crystal transformation. There were only 20-35% of β' crystal left after removing DAG from the interesterified products for both one week and one month storages, meaning that most of them had changed into β crystals. In this aspect, DAG significantly delayed the crystal transformation from β' to β during the storage. This agrees to the study of Hernqvist and Anjou [17], where DAG was added into a blend of partially hydrogenated rapeseed oil and soybean oil. They reported that, after an addition of 1 to 5% of DAG in the blend, the time for developing into the β -form was prolonged to 2-3 fold. By adding 5% DAG, the development of β -form could be delayed from 4 to 44 weeks. The presence of 3-6% of mono- and di-glycerides was treated as crystallization retarders [18].

In our study, the content of β' can be stabilized 2-5 times longer at a DAG content of 10-12% for the products from different reaction times. The effects of DAG on the crystal transformation decreased with the increase of interesterification degree, indicating that the triglyceride structure after greater extent of interesterification had a stronger determination on crystal transformation.

After one month storage, 44% β' were left for the blend, while for the interesterified products from 3, 8, and 24 h reactions, 100, 90, and 71% β' crystals remained, respectively, after one month storage, meaning reaction and reaction degree meant something for the crystal transformation. FFA also had some effects for the 24 h reaction products, meaning that the effects are a multiple interaction process. Since FFA has effects also on taste and oxidation, they should be reduced anyway through either the reaction step or the refining steps.

In general, DAG has significant effect on crystal transformation, even though in a positive way concerning the use of products for margarine production, where β' crystals are required. However, in process development, the minimization of by-products formation is still the aim since FFA increases fat loss as well as low quality. Furthermore, DAG can give effects on chemical properties of the products.

DAG can cause acyl migration on the glycerol backbone, so that the sn-1,3 lipase specificity is lost and consequently

leads to producing non-specific products. It was a very crucial problem for the production of cocoa butter substitutes or specific structured lipids [19, 20]. Therefore, it is worthwhile to discuss the mechanisms of the reactions and acyl migration for the Lipozyme TL IM-catalyzed interesterification.

During the enzymatic interesterification, an acyl-enzyme complex and a DAG are formed at the first step of the reaction. DAG is then a key intermediate in the following reaction, since esterification step is followed by incorporating a FFA into DAG and forming a new TAG. If no acyl-migration occurs, only 1,2(2,3)-DAG and FFA (R_1 and R_3) should be generated by a sn-1,3 specific lipase; whereas with a non-specific lipase, a mixture with an even distribution of 1,3-DAG, 1,2-DAG, 2,3-DAG, together with FFA (R_1 , R_2 , and R_3) can be produced (Fig. 3).

In the presence of 1,2 (2,3)-DAG, acyl migration will take place and lead to the formation of 1,3-DAG, which is more stable due to the steric reason [21]. This makes difficult for 1,3-DAG to react with acyl-enzyme complex. Acyl migration is therefore a potential source of elevating DAG levels in the reaction mixture at the end point [19]. However, the migration of an acyl group on the sn-1 or 3 position to the sn-2 position may also take place for the 1,3-DAG. The fatty acid composition at the sn-2 position is, therefore, changed due to acyl migration.

Fig. (4) shows that 1,2 (2,3)-DAG reached the equilibrium quickly (less than 1 h); while 1,3-DAG increased steadily, especially at the beginning of reaction. The increase of total DAG in the system was primarily due to the increase of 1,3-DAG. This agrees to the observation in an acidolysis system for the production of cocoa-butter-substitute [19]. Of course, 1,3-DAGs could also be directly produced with a non-specific lipase. The ratio between 1,3-DAG and 1,2(2,3)-DAG should be 1/2 in such a case, if no acyl migration took place (Fig. 3). Fig. (4) showed a higher content of 1,3-DAG than 1,2(2,3)-DAG. When the system reached the equilibrium, a constant ratio of 2/1 was obtained between 1,3 and 1,2-(2,3) DAG (Fig. 4). Thus acyl migration obviously existed.

Overall in the experimental performance, however, the sn-2 fatty acid composition was quite similar for both reactions with the original commercial lipase (Fig. 5A) and the pre-treated lipase (Fig. 5B). Higher water content in the system for the former, which led to a higher content of DAG,

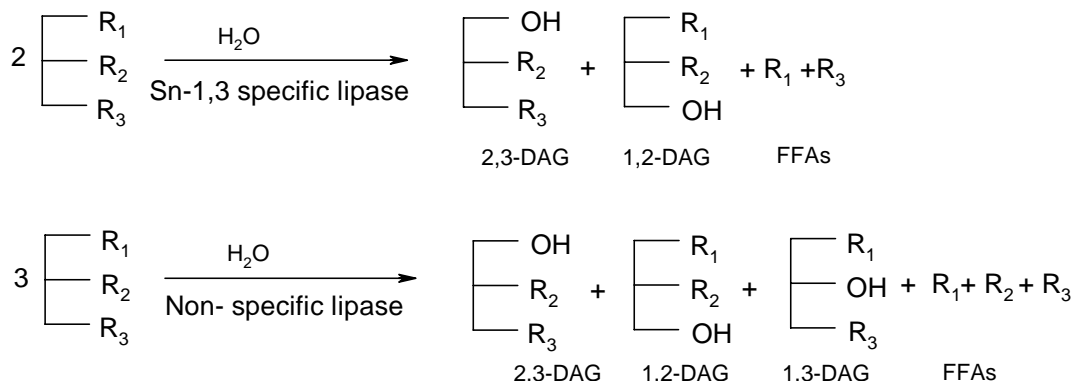


Fig. (3). Hydrolysis of TAG by sn-1,3 specific lipase or non-specific lipase.

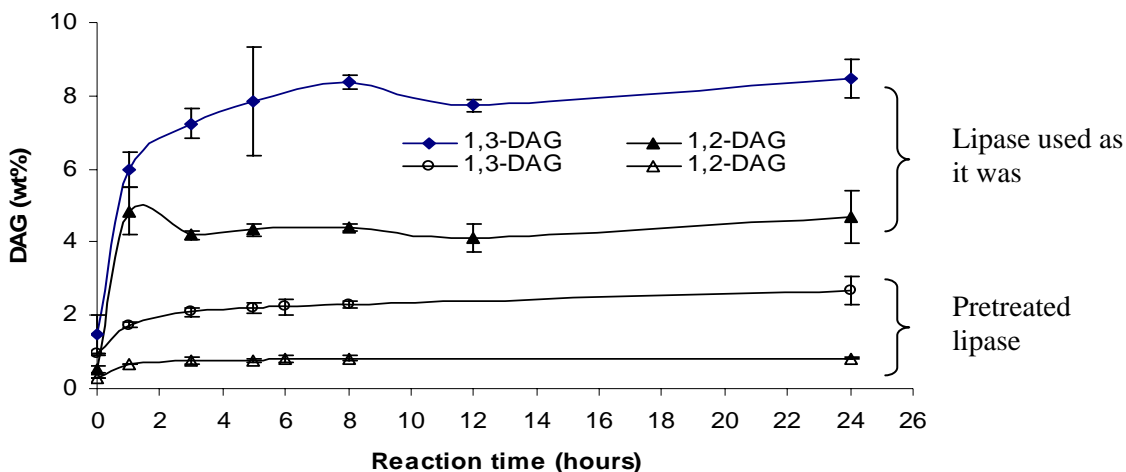


Fig. (4). Effects of reaction time and operation procedure on DAG contents in the products from lipase-catalyzed interesterification in a batch reactor. Reaction conditions see Table 1.

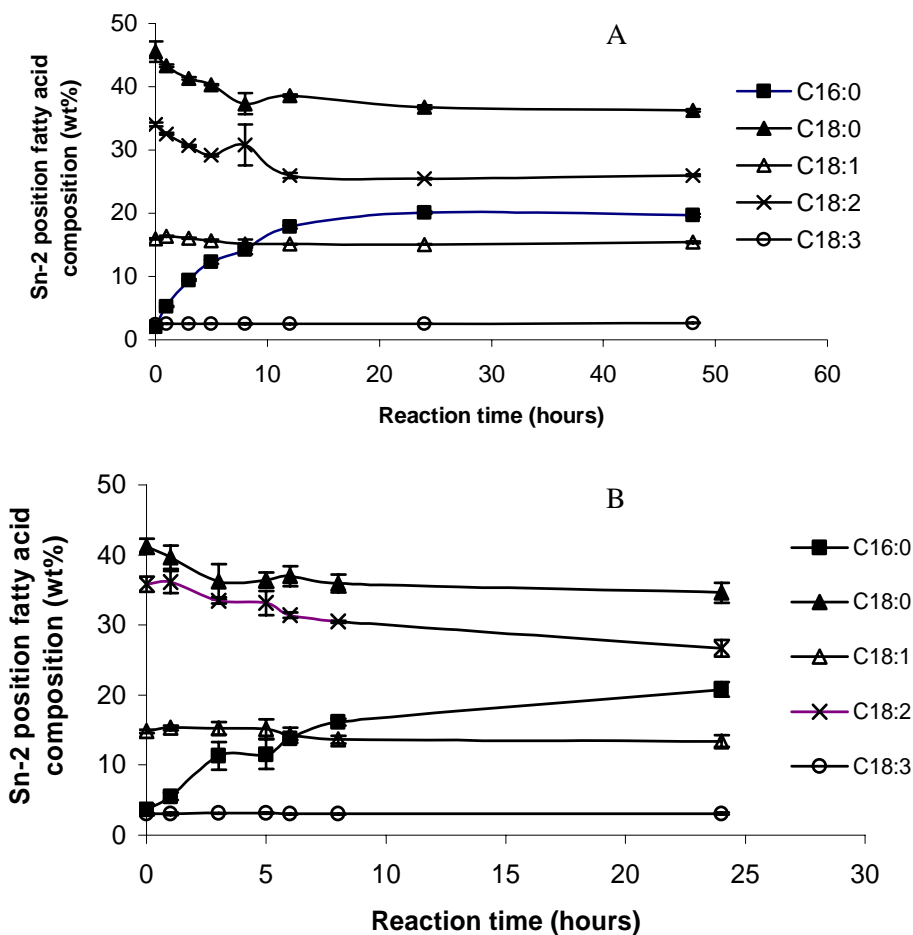


Fig. (5). Distribution of fatty acid composition at sn-2 position for lipase-catalyzed interesterification with the commercial lipase preparation without pre-treatment (A) and with pre-treatment (B). Reaction conditions see Table 1.

did not result in higher acyl migration. This might be due to the different polarities in the systems, where the water in the system decreased nucleophilicity of the lone pair for the free hydroxyl oxygen. Consequently acyl migration could be inhibited by the increase of polarity in the system. Thus, water in the system might play double roles for the acyl migration, both promoting the migration through higher DAG formation and inhibiting the migration through nucleophilicity

partition. The effect of polarity on the acyl migration was also observed in a solvent system where Lipozyme RM IM showed higher sn-1,3 specificity in diethyl ether and less specificity in hexane [22].

Fig. (5) shows the fatty acid compositions at the sn-2 position at different reaction times. Palmitic acid content increased, while linoleic or stearic acid content decreased

with the increase of reaction time. After 24 h, the fatty acid distribution at the sn-2 position became randomized. That means that the reaction time was an important factor for the control of acyl migration. When high enzyme dosage (50% Lipozyme RM IM) was used for the interesterification of ethyl palmitate with triolein to produce cocoa butter substitutes [19], the endpoint was reached in 13 min without forming tripalmitate even with high DAG (17%) content in the system. This also implies that the use of packed-bed reactor could have benefit both for improving the operation efficiency and maintaining the lipase specificity, since the highest ratio of lipase to substrate is used, and, consequently, the shortest reaction time is used.

Temperature Effect on Reaction Rate

Temperature is another commonly known issue for enzymatic reactions. The temperature optimum has been evaluated for the Lipozyme TL IM-catalyzed interesterification [2]. Temperature has also double functions in the system, where enzyme activity and viscosity can be both affected. Fig. (6) shows the temperature effect on the reaction rate k , which was monitored by SFC changes measured at 40 °C. Increasing temperature accelerated the reaction rate. Q_{10} is often used in biology and defined as the increase in reaction rate for a 10 °C interval in temperature. Q_{10} falls within the range of 2-3 for most chemical and enzymatic reactions. It has the following relationship: $\Delta \log rate = \frac{\Delta T}{10} \log Q_{10}$ [23].

For Lipozyme TL IM-catalyzed interesterification, Q_{10} was about 1.3 at a temperature range from 70 to 90 °C. In general, it is lower than reactions in aqueous [23] or solvent [24] media. The limitation of the mass transfer in the oil system, which has higher viscosity, might be the reason for the lower Q_{10} factor than in other systems. From this aspect, the further increase of temperature from 70 to 90°C will not provide a dramatic benefit of reaction rate increase considering a low value of Q_{10} .

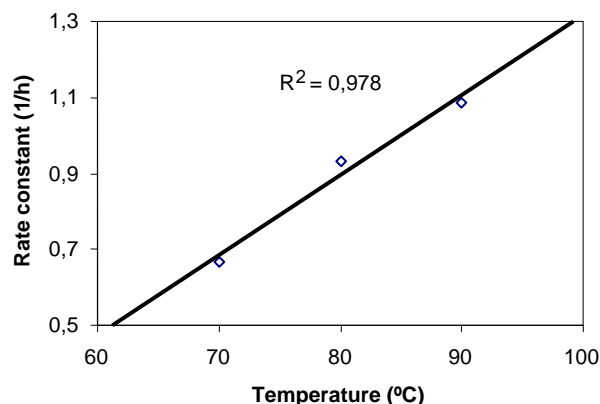


Fig. (6). Temperature effect on the reaction rate k based on SFC for lipase-catalyzed interesterification in a batch reaction. Reaction conditions: blend PS/CO (70/30); lipase dosage 4 wt%; temperature 70 °C; reaction time 2 h.

Too high temperature will also denature the structure of enzyme. Being the protein, the integrity of the three dimen-

sional structure of the enzyme active site is essential for the maintenance of activity. Thus, any factors influencing the integrity of the secondary, tertiary, and quaternary structures of an enzyme will affect its activity. Temperature is one of the important factors to affect the lipase stability. At high temperatures, denaturation will be more pronounced in principle [24]. Shorter stability of the enzyme will also be expected at higher temperatures. With all these considerations, the lipase-catalyzed interesterification is suggested to run at 70 °C.

Feedstock Quality

Feedstock quality is one of the issues that affect the lipase activity and stability during the operation. This again has double meaning for the process operation. There is no doubt that better quality of the feedstock will be good for the enzymatic reactions. On the other hand, processing cost has to be added to provide a higher quality of feedstock. Therefore, an evaluation was needed to visualize the effects of material quality. Fig. (7) shows the effect of oil quality on the reaction in the batch reactor. The non-deodorized blend delayed the reaction progress during the process. In another study, higher decrease (43%) in conversion degree at 2 h was observed by adding a large amount of PC (7 wt%) into the reaction system. This indicates that the impurities in the oils and fats do have strong impact on the process efficiency.

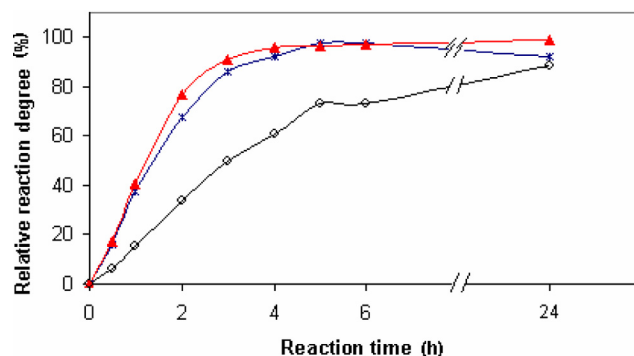


Fig. (7). Effects of impurities in the oil on the conversion degree for lipase-catalyzed interesterification in a batch reactor (*, non-deodorized soybean oil (SO)/coconut oil (CO) (70/30); ▲, deodorized SO/CO (70/30); o, 7% phosphatidylcholine (PC) in the deodorized SO/CO (70/30)).

In a previous study [25], high concentration of phospholipids ($\geq 0.5\%$) was observed to cause reduction in the initial reaction rate in a solvent system for Lipozyme RM IM-catalyzed acidolysis. When experiments were conducted in 10 batches at PC concentration of 1%, the largest decrease of incorporation of caprylic acid into triolein was about 40%. The reduction of reaction rate is mainly due to the contact competition with the enzyme between the substrates and PC. The effects of coating and chemically binding to the active site of enzyme might be another reason for the deactivation of the enzyme in a long-term operation. Other minor components related to oil quality are also very important for the lipase activity. It was observed that a high purity of feedstock had better performance to maintain the enzyme activity by using high purity of shea oleine instead of shea oil [26]. The oil with high peroxide value (PV) decreased initial reac-

tion rate for the glycerolysis [27]. However, it was found in another study that the initial activity of the enzyme in an acidolysis reaction was not significantly reduced even with a feedstock having a PV of 50 meq/kg in the first batch. Operation stability was reduced on the other hand. After eight runs, 50% loss of activity was observed for Lipozyme RM IM-catalyzed reaction between triolein and lauric acid [28]. Thus, we can claim from the study that the feedstock quality is not a trivial matter for the process development. It can influence the performance of the process in a considerably large extent. Therefore, feedstock quality should be improved in general, even though an economical balance should be further evaluated for a specific operation. For the lipase-catalyzed interesterification, refined, bleached and deodorized oil is recommended to be used. In fact, chemical interesterification is stricter with the oil quality. Both FFA and water as well as others are fatal to the reaction, as they inactivate the catalyst. The contents of FFA, phosphorous, peroxide value, water and anisidine value should be less than 0.05%, 2 ppm, 0.5 meq/kg, 0.05%, and 10, respectively, according to practical processes [29].

CONCLUSION

From this study, it can be seen that the water in the system, which is mainly from the lipase, has effects on both physical and chemical properties of enzymatically-interesterified products. A high content of water in the system leads to high content of FFA and DAG. FFA has softening effect on SFC. DAG retarded the crystal transformation. The amount of DAG due to hydrolysis was not the only reason leading to the increase of acyl migration. Reaction time was more crucial to inducing acyl migration than water content in the system. The water content can be optimized by pre-treating or pre-drying lipase in order to improve the yield. Since the temperature has only slight effect on the reaction rate compared to other systems of using enzyme as catalyst, a moderate temperature is recommended for a long-term operation in the range of 60 to 80 °C. The phospholipids bind to the enzyme or to the enzyme support, and indirectly affect the enzyme activity. Therefore, it is recommended to use refined, bleached, and deodorized fats and oils in order to reduce the lipase deactivation during the operation.

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