

The Gas Phase HO-Initiated Oxidation of Furan: A Theoretical Investigation on the Reaction Mechanism

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Abstract: The reaction mechanism of the gas-phase HO-initiated oxidation of furan has been investigated by means of high level theoretical methods. The reaction is a complex process that begins with the formation of a pre-reactive hydrogen bonded complex, previous to the addition of the HO radical to furan, forming the 2 and 3-HO-adducts. In the pre-reactive complex, the hydrogen bond is formed by interaction between the hydrogen of the hydroxyl radical and the π system of furan and its stability is computed to be 1.6 kcal·mol⁻¹ (including the BSSE corrections). The 2 and 3-HO-adducts are computed to be 30.5 and 12.5 kcal·mol⁻¹ respectively, more stable than the reactants. The transition state leading to the formation of the 2-HO-adduct lies below the energy of the separate reactants (0.5 kcal·mol⁻¹) and the transition state producing the 3-HO-adduct that is computed to lie 3.4 kcal·mol⁻¹ above the sum of the energies of furan and hydroxyl radical. There are four reaction paths for the ring-opening of the 2-HO-adduct, leading to the formation of different conformers of 4-hydroxybutenaldehyde radical. The most stable of these conformers presents a cyclic symmetric (C_{2v}) structure and can be characterized as a low-barrier hydrogen bond. The geometry optimizations and characterizations done in this work were carried out at MP2/6-311G(d,p), MP2/6-311+G(2df,2p) and QCISD/6-311G(d,p) levels of theory, whereas the relative energies are obtained at CCSD(T)/cc-pVTZ level of theory.

Keywords: Furan, HO, hydrogen bonds, low-barrier hydrogen bond, gas-phase reaction mechanism, theoretical investigation.

INTRODUCTION

Furan and its derivatives are aromatic, heterocyclic organic compounds that are emitted to the troposphere from several sources including biomass burning, combustion of fossil fuels, refuse, meat cooking processes [1-5], and are also formed by oxidation or photooxidation of hydrocarbons such as 1,3 butadiene, 1,3 pentadiene or isoprene [6-11]. They are therefore primary pollutants that are degraded into the atmosphere by the reactions with HO, O₃, NO₃ or by Cl or Br radicals [12-25], and the reaction with hydroxyl radical are the main sink in daytime.

There have been several studies regarding the reaction of furans with hydroxyl radical, studies aiming the determination of the kinetic constants and the product data. It has been suggested that the reaction occurs through the formation of an HO-adduct between furan and hydroxyl radical in the first step and undergoes an electrocyclic ring-opening process in the second step [13-15, 24]. However, there is still a lack of detailed knowledge of the reaction mechanism.

From a theoretical point of view, there are two recent works in the literature by Zhang and co-workers, dealing with the reaction mechanism of the reaction of HO with 2-Methylfuran and with 3-Methylfuran, respectively [26, 27].

Emmy and co-workers have also reported calculations on some intermediates of the HO oxidation of furan-2-carboxyaldehyde and sorbitylfurfural [24, 25].

This work reports a high level theoretical study on the reaction mechanism of the HO initiated oxidation of furan, focusing the attention on the addition of the hydroxyl radical to the furan ring, and in the following reaction step involved the ring-opening of the HO-adduct formed.

TECHNICAL DETAILS

The geometries for all the stationary points have been optimized by using the 6-311G(d, p) basis set [28], and employing the unrestricted MP2 method [29-31]. At this level of theory, we have also calculated the harmonic frequencies in order to verify the nature of the corresponding stationary points (minima or transition states) and to provide the zero-point vibrational energy (ZPVE) and the thermodynamic contribution to the enthalpy for T=298° K. In addition, we have done intrinsic reaction coordinate calculations in all the transition states considered, in order to check the connection with the reactants and products. Moreover, the hydrogen bonded complexes formed between furan and hydroxyl radical were also optimized and characterized, using the unrestricted MP2 method with the more flexible 6-311+G(2d,2f) basis set. For some stationary points of interest, we have also performed geometry optimization and harmonic frequency calculations, using the unrestricted QCISD approach [32] with the 6-311G(d,p) basis set.

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With the aim of getting more reliable relative energies, single point energy calculations have been performed at the optimized geometries, employing the unrestricted CCSD(T) [33-35] method. In this case, the cc-pVTZ basis set has been used [36, 37].

Moreover, we have considered the value of the T1 diagnostic [38, 39] of the CCSD wave function in order to assess the reliability of these calculations, with regard to the multi-reference character of the wave function. A better estimation of the energetic stability of the hydrogen bonded complexes found in this investigation has been obtained by taking into account the basis set superposition error (BSSE), according to the counterpoise method by Boys and Bernardi [40]. This has been computed at the CCSD(T)/cc-pVTZ level of theory without relaxing the molecular structure of the complexes.

The quantum chemical calculations carried out in this work were performed by using the Gaussian [41] program package. The Molden program [42] was employed to visualize the geometric and electronic features.

The bonding features of the different systems considered, were analyzed by employing the atoms in molecules (AIM) theory by Bader [43]. The topological properties of wavefunctions were computed by using the AIMPAC program package [44]. Following the AIM theory, the topological properties of a bond are characterized by the existence of a bond critical point (bcp) and the values of the electron density (ρ_b), its laplacian ($\nabla^2\rho_b$) and the energy density ($H(r)$) at the bcp. A bond critical point has $\nabla\rho_b=0$ and the laplacian of the electronic density describes two different situations. $\nabla^2\rho_b < 0$ indicates that the density is locally concentrated and characterizes a covalent bond. While $\nabla^2\rho_b > 0$ indicates that the density is locally depleted and characterizes closed shell interactions as found in ionic bonds, hydrogen bonds, dative bonds and van der Waals interactions. For instance, a strong hydrogen bond will be associated with large values of the electron density (around 0.035 a.u.) and positive and large values of the Laplacian of the electron density (around 0.139 a.u.) at the bcp [45]. The energy density ($H(r)$ which is the sum of the kinetic and potential energy) determines whether the accumulation of charge is stabilizing (negative values) or destabilizing (positive values), whereas the ellipticity (ϵ) is associated with the bond isotropy, so that the values close to zero, are characteristic of single or triple bonds, while large values (i.e 0.45) are characteristic of double bonds [43, 46-48].

RESULTS AND DISCUSSION

The reaction of furan with hydroxyl radical begins with the formation of a hydrogen bonded complex, which is named by the prefix **Cr**, followed by a number. The different radical minima are named by the letter **M**, followed by a number and in addition, a letter is also appended to distinguish between different conformers. The transition states are named by the prefix **TS**, followed by the name of the minima that connects.

Table 1 contains the ZPE energies, the entropies and the relative energies, enthalpies and free energies of the minima and transition states investigated, as well as the values of the imaginary frequencies of the different transition states. The most relevant geometrical parameters of the stationary points considered in this work, are displayed in Figs. (1,3,4,6 and 7). Figs. (2) and (5) contain a schematic profile of potential energy surfaces (PESs), whereas Scheme 1 shows a schematic picture of all conformers of 4-hydroxybutenaldehyde (**M3**) radical.

Reactants and Pre-Reactive Hydrogen Bonded Complexes

The calculations predict the existence of two hydrogen bonded complexes (**CR1** and **CR2**), which are formed between furan and hydroxyl radical. They have been drawn in Fig. (1), along with the reactants. These species have been optimized and characterized at unrestricted MP2 level by using the 6-311G(d,p) and 6-311+G(2df,2p) basis sets and at unrestricted QCISD level with the 6-311G(d,p) basis set. The geometrical parameters obtained at MP2/6-311G(d,p) and QCISD/6-311G(d,p) levels of theory agree quite well, so that the MP2 approach describes these hydrogen bonded complexes correctly. Therefore, the best results are those obtained by optimizing unrestricted MP2 level of theory, using the more flexible 6-311+G(2df,2p) basis set. Fig. (1) shows that the hydrogen bonded complex **CR1** has C_s symmetry ($^2A'$ electronic state), with the symmetry plane bisecting the furan moiety. The HO moiety lies in this plane and the hydrogen points to the centre of the furan ring. The hydrogen bond is formed by interaction between the hydrogen of the HO and the π system of furan, and the dashed line in Fig. (1) represents the distance (2.321 Å) of the hydrogen to the midpoint of the axis bisecting the COC angle in the furan moiety. Similar X-H $\cdots\pi$ interactions have been recently described in the literature and have been classified as improper hydrogen bonds, showing a blue shift in their IR spectra [49-51].

Fig. (1) also shows that the hydrogen bonded complex **CR2** is formed by interaction between the hydrogen of the hydroxyl moiety and the oxygen of furan. It has C_{2v} symmetry (2B_2 electronic state), with all atoms lying in the same plane. The computed O-H \cdots O distance of the hydrogen bond is 2.028 Å. Besides the hydrogen bond interactions, Fig. (1) shows also that very small geometrical changes are produced in the formation of **CR1** and **CR2**, relative to the separate reactants (the HO bond length is enlarged by only 0.002 – 0.003 Å in the formation of the complex; (see Fig. 1), which suggest a small stability of both complexes. In fact, the energetic results of Table 1 show that the stability of both complexes is very similar and has been computed to be 2.58 and 2.49 kcal.mol⁻¹, respectively (BSSE corrections included).

The nature of the hydrogen bond interactions has been analyzed, according to the Atoms in Molecules (AIM) theory by Bader, with typical values of the density and the laplacian of the density at the corresponding bcp's ($\rho = 0.0102$ and 0.0174 a.u. and $\nabla^2\rho = 0.034$ and 0.070 a.u. for **CR1** and **CR2**

Table 1. Zero Point Vibrational Energies (ZPE in kcal·mol⁻¹), Imaginary Frequencies (Imag, in cm⁻¹), Entropies (S in e.u.) and Relative Energies (ΔE and $\Delta(E+ZPE)$ in kcal·mol⁻¹), Enthalpies (ΔH in kcal·mol⁻¹) and Free Energies (ΔG in kcal·mol⁻¹) for the Stationary Points

Compound	Method ^a	Imag	ZPE	S	ΔE^b	$\Delta(E+ZPE)^b$	$\Delta H(298)^b$	$\Delta G(298)^b$
Furan + HO	B/A		49.4	106.5	0.00	0.00	0.00	0.00
	B/B		49.6	106.2	0.00	0.00	0.00	0.00
	B/C		49.5	106.4	0.00	0.00	0.00	0.00
CR1 (² A'')	B/A		50.6	84.0	-3.91 (-2.70)	-2.70 (-1.48)	-2.79 (-1.57)	3.89 (5.11)
	B/B		50.8	84.5	-4.94 (-3.73)	-3.79 (-2.58)	-3.86 (-2.65)	2.62 (3.84)
	B/C		50.6	85.2	-3.91 (-2.73)	-2.78 (-1.61)	-2.79 (-1.61)	3.53 (4.71)
CR2 (² B ₂)	B/A		50.6	87.3	-3.82 (-2.91)	-2.56 (-1.65)	-2.65 (-1.74)	3.06 (3.98)
	B/B		51.0	84.8	-4.78 (-3.87)	-3.41 (-2.49)	-3.59 (-2.67)	2.81 (3.72)
	B/C		51.0	87.2	-3.81 (-2.94)	-2.52 (-1.65)	-2.60 (-1.73)	3.12 (3.99)
TS-CR1M1a	B/A	-739.3	52.8	75.1	-2.15	1.29	0.19	9.54
	B/C	-400.6	51.2	76.7	-2.27	-0.50	1.37	7.47
M1a	B/A		53.7	74.0	-34.92	-30.53	-31.73	-22.06
TSa-M1aM1b	B/A	-207.9	53.0	72.9	-30.20	-26.53	-27.93	-17.93
TSb-M1aM1b	B/A	-380.8	53.0	73.0	-31.60	-27.98	-29.36	-19.40
M1b	B/A		53.6	71.2	-33.65	-29.46	-30.62	-21.01
TS-CR1M2a	B/A	-808.8	53.4	75.6	2.35	6.34	5.27	14.46
	B/B	-529.3	51.5	76.3	1.34	3.41	2.47	11.4
M2a	B/A		54.5	74.8	-17.61	-12.48	-13.58	-4.07
TSa-M2aM2b	B/A	-265.6	54.0	73.3	-14.80	-10.11	-11.45	-1.57
TSb-M2aM2b	B/A	-247.7	54.1	73.9	-14.88	-10.11	-11.36	-1.66
M2b	B/A		54.4	75.8	-15.30	-10.25	-11.19	-2.07
TS-M1aM3a	B/A	-778.8	52.8	73.4	-10.52	-7.10	-8.39	1.46
M3a	B/C		53.2	76.5	-45.13	-41.32	-42.22	-33.3
TS-M3aM3a	B/C	-1370.2	50.6	73.1	-43.83	-42.55	-43.77	-33.82
TS-M1aM3b	B/A	-730.7	53.3	73.3	-16.41	-12.51	-13.85	-3.96
M3b	B/A		53.6	78.6	-33.99	-29.71	-30.32	-22.01
TS-M1bM3c	B/A	-946.0	52.7	73.3	-8.66	-5.30	-6.59	3.29
M3c	B/A		53.2	82.3	-26.45	-22.64	-22.99	-15.78
TS-M1b-M3d	B/A	-782.3	53.2	73.5	-14.95	-11.14	-12.45	-2.62
M3d	B/A		53.6	78.8	-34.11	-29.91	-30.47	-22.2
M3e	B/A		53.4	80.1	-34.14	-30.15	-30.61	-22.75
M3f	B/A		53.3	79.8	-30.40	-26.49	-27.01	-19.07
M3g	B/A		53.1	80.2	-34.56	-30.78	-31.23	-23.39
M3h	B/A		52.9	81.1	-30.90	-27.33	-27.68	-20.11
M3i	B/A		53.1	80.2	-34.56	-30.79	-31.23	-23.39
M3j	B/A		53.2	80.1	-33.76	-29.92	-30.35	-22.5
M3k	B/A		53.0	80.7	-30.97	-27.30	-27.68	-20
M3l	B/A		52.7	81.7	-30.25	-26.90	-27.14	-19.77
TS-M3aM3b	B/A	-89.1	51.7	78.4	-10.95	-8.58	-9.30	-0.95
TS-M3aM3e	B/A	-61.1	53.3	77.0	-18.93	-14.94	-15.82	-7.05
TS-M3aM3c	B/A	-404.3	52.3	78.8	-24.02	-21.09	-21.73	-13.48
TS-M3aM3f	B/A	-97.7	52.7	77.3	-24.29	-20.91	-21.75	-13.06
TS-M3bM3d	B/A	-550.6	52.4	78.4	-27.07	-24.08	-24.76	-16.40

a) B/A stands for CCSD(T)/cc-pVTZ//MP2/6-311G(d,p); B/B stands for CCSD(T)/cc-pVTZ//MP2/6-311+G(2df,2p); B/C stands for CCSD(T)/cc-pVTZ//QCISD/6-311G(d,p).

b) Values in parentheses include BSSE corrections.

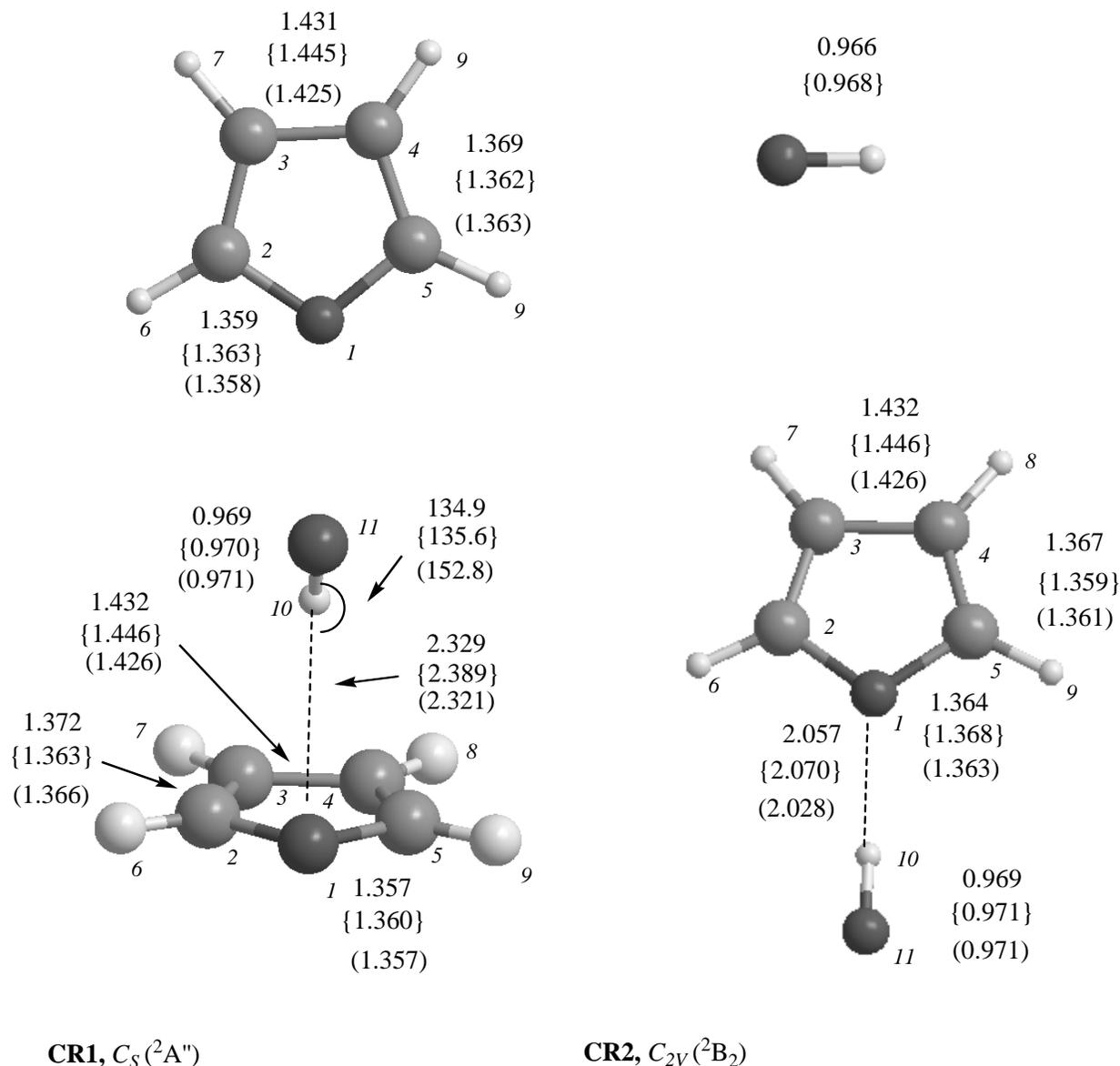


Fig. (1). Selected geometrical parameters (distances in Angstrom and angles in degrees) for the reactants and pre-reactive hydrogen bonded complexes, optimized at MP2/6-311G(d,p); QCISD/6-311G(d,p), (in brackets) and MP2/6-311+G(2df,2p), (in parentheses), levels of theory.

respectively). In the case of **CR1**, the H-bond interaction occurs with the π system of furan and the corresponding bcp is located in the molecular symmetry plane. Moreover, it is interesting to point out that the corresponding ellipticity value is very high ($\epsilon = 61.092$) as expected, because of the $\text{OH}\cdots\pi$ interaction.

Table 2 contains the harmonic vibrational frequency of the HO stretching mode. The formation of the hydrogen bonded complexes produce a very small shift in the IR spectra, according to their small energetic stability. In the case of **CR1**, the shift is to the blue (2.5 cm^{-1}) in a similar way as reported for other $\text{X-H}\cdots\pi$ interactions, whereas for **CR2** a usual red shift (6.5 cm^{-1}) is predicted by the calculations. The

relative intensity of these modes is also computed and found to be increased by 12 and 37 times, respectively.

Table 2. Frequency (ν in cm^{-1}) and Intensity (Int. in $\text{km}\cdot\text{mol}^{-1}$) of the HO Stretching in the Hydrogen Bonded Complexes, Computed at QCISD/6-311G(d,p) Level of Theory

	HO ($X^2\Pi$)	CR1 ($2A''$)	CR2 ($2B_2$)
ν^a	3791.1	3793.6 (2.5)	3784.6 (-6.5)
Int. ^b	4.2	51.1 (12.2)	155.1 (36.9)

a) Values in parenthesis are frequency shifts relative to HO radical.

b) Values in parenthesis are the ratios between the intensity of the complex and that of the HO radical.

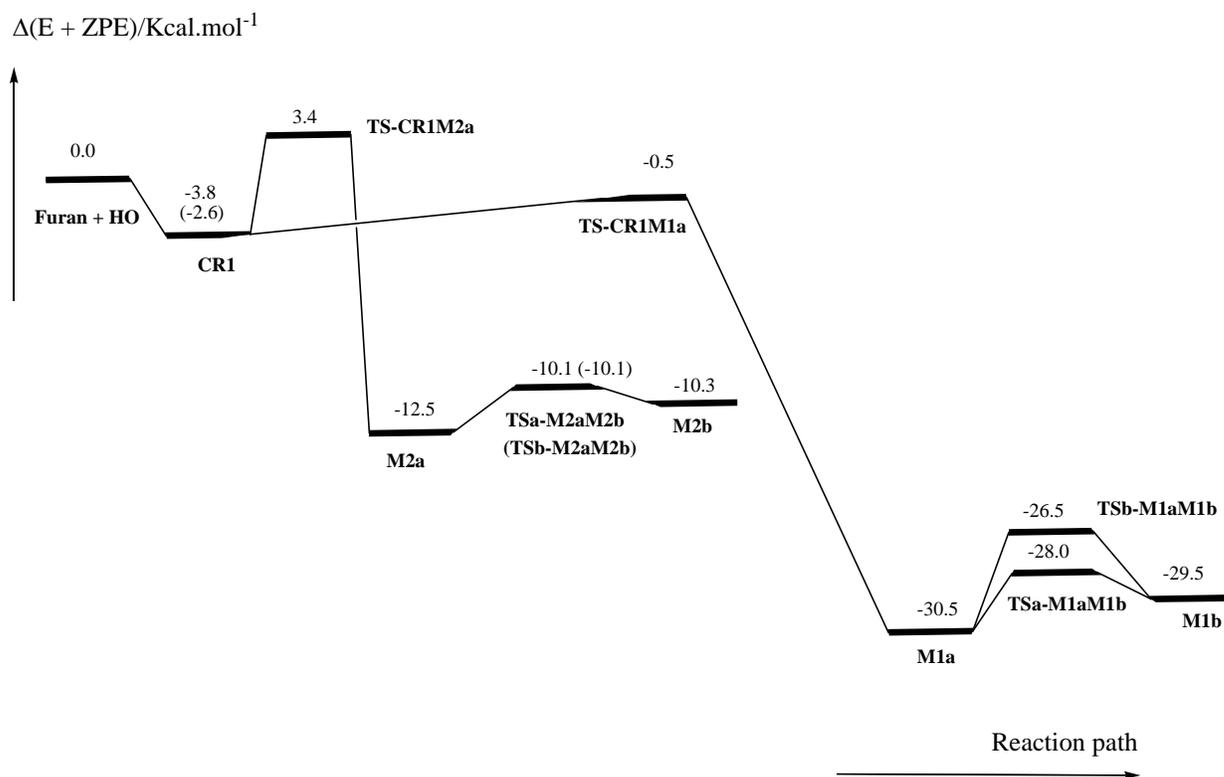


Fig. (2). Schematic energy diagram ($\Delta(E + ZPE)$ energies (in kcal·mol⁻¹); values in parenthesis include BSSE corrections) of the potential energy surface for the formation of the 2 and 3-HO-adducts by reaction of furan with hydroxyl radical.

Addition of HO Radical to Furan

The HO radical can add to the carbon atoms 2 and 3 of furan in a process that involves the interaction between the radical of the hydroxyl and the π system of furan. The addition occurs after the formation of the pre-reactive hydrogen bonded complex **CR1**, so that this is not an elementary reaction but a complex process. The formation of the 2-HO-adduct (**M1a**) takes place through the transition state **TS-CR1M1a**, whereas the formation of the 3-HO-adduct (**M2a**) occurs through the transition state **TS-CR1M2a**.

These two elementary reactions are the key steps in the reaction of furan with hydroxyl radical and therefore, besides the geometry optimization at MP2/6-311G(d,p) level of theory, a further geometry optimization and characterization have also been carried out for the two transition states at QCISD/6-311G(d,p) level of theory. Exhaustive calculations have also been done at MP2 and QCISD levels of theory, trying to locate further conformers of these transition states, but they were not found.

For the addition in 2 (**TS-CR1M1a**), Fig. (3) shows that the QCISD method predicts a larger distance between the O...C atoms of the bond that is being formed than the MP2 approach (2.109 and 1.993 Å, respectively), whereas the remaining geometrical parameters obtained at both levels of theory are compared quite well, with differences smaller than 0.03 Å. Considering the results obtained at the highest level of theory employed (QCISD), the large distance between the

O...C atoms of the bond that is being formed and the resemblance of the remaining geometrical parameters with those of furan (compare with Fig. (1)), suggest that **TS-CR1M1a** can be classified as an early transition state. From an electronic point of view, the HO radical adds to C2 of furan through a π bond and this fact implies a reorganization of the π system of the 2-HO-adduct formed, so that the resulting radical is of π type.

The computed geometrical parameters of **M1a** (Fig. 3) and the AIM analysis of the corresponding wave function indicate that the π system is delocalized among the carbons C3, C4 and C5 (the two C3C4 and C4C5 bond lengths are equal with a value of 1.378 Å and the ellipticities at the corresponding bcp are 0.275 and 0.292, which are typical values of π bonds), whereas the C2C3 bond has become a single bond (with a bond length computed to be 1.500 Å). Moreover, the analysis of the spin density shows that the unpaired electron is mainly delocalized over the C3 and C5 atoms, suggesting that a further radical reaction with the 2-HO-adduct **M1a** would involve the C3 and/or C5 atoms. These bonding features are compatible with the resonance structures suggested by Bierbach and co-workers [13]. Furthermore, the HO group in **M1a** can rotate to produce the conformer **M1b**, both having very similar electronic features. There are two elementary pathways for this conformational change, involving a clockwise and counter-clockwise rotation of the C2O6 bond respectively, and the geometrical pa-

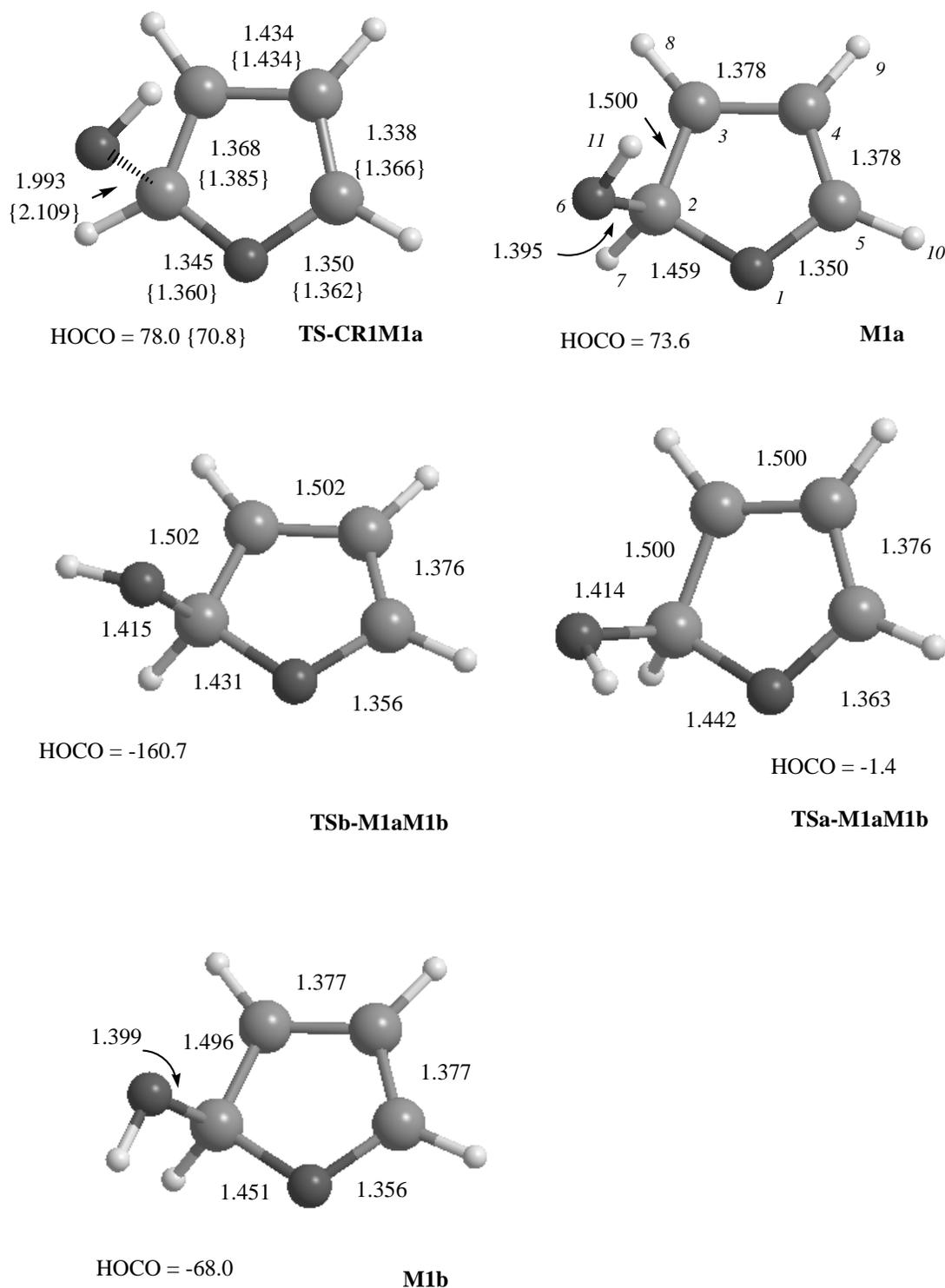


Fig. (3). Selected geometrical parameters (distances in Angstrom and angles in degrees) for the stationary points involving the formation of the 2-HO-radical adduct, optimized at MP2/6-311G(d,p) and QCISD/6-311G(d,p), (in brackets), levels of theory.

parameters of the corresponding stationary points are displayed in Fig. (3). From an energetic point of view, Table 1 and Fig. (2) show that **TS-CR1M1a** lies below the energy of the separate reactants and the computed activation energy, with respect to the pre-reactive **CRI** complex, is 3.3 kcal·mol⁻¹. The calculations predict that the formation of the 2-HO-

adduct **M1a** is exothermic by about 30 kcal·mol⁻¹ ($\Delta(E+ZPE)$ value). The conformer **M1b** of the 2-HO-adduct is only 1 kcal·mol⁻¹ higher in energy than **M1a** and the energy barrier for the inter-conversion between conformers is 1.5 kcal·mol⁻¹. Consequently, it can be concluded that both conformers (**M1a** and **M1b**) will be populated.

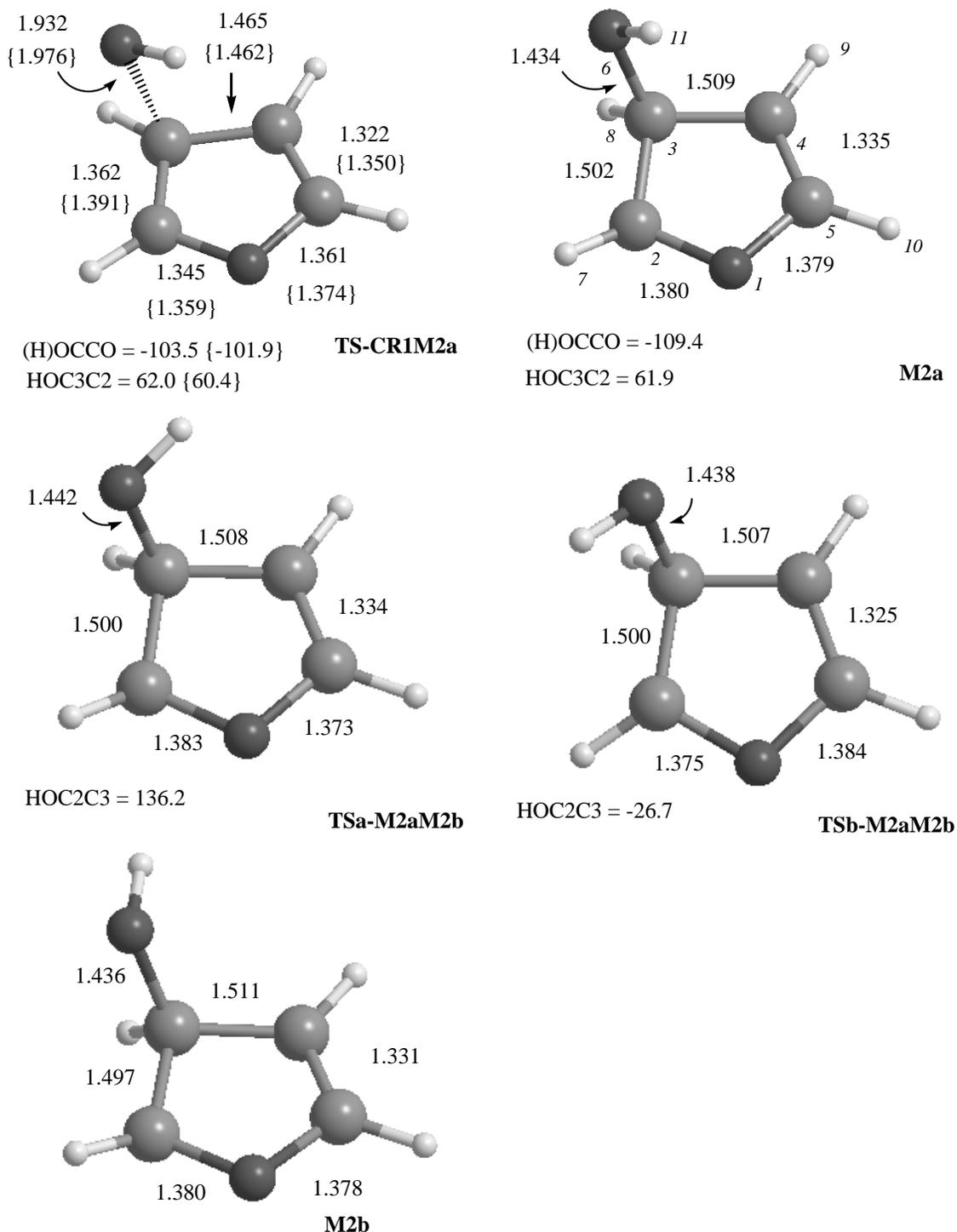


Fig. (4). Selected geometrical parameters (distances in Angstrom and angles in degrees) for the stationary points involving the formation of the 3-HO-radical adduct, optimized at MP2/6-311G(d,p) and QCISD/6-311G(d,p), (in brackets), levels of theory.

The addition in 3 leads to the formation of the 3-HO-adduct **M2a**. Fig. (4) shows that at the transition state (**TS-CR1M2a**), the bond length of the (H)O...C bond that is being formed is shorter (1.976 Å) than the corresponding length found in the transition state for the addition in 2 (**TS-CR1M1a**), discussed above, so that **TS-CR1M2a** can be classified as a late transition state. In this case, the process involves only the break down of the C2C3 π bond of furan, a

fact that is reflected in the geometrical parameters of the 3-HO-adduct **M2a**. The C2C3 and C3C4 are single bonds with a bond length close to 1.5 Å, whereas C4C5 is a double bond with a computed distance of 1.335 Å (see Fig. 4). As in the case of 2-HO- adduct discussed above, the HO group can rotate, producing the conformer **M2b**. Again there are two elementary paths for this conformational change that occur through a clockwise or counter-clockwise rotation of the

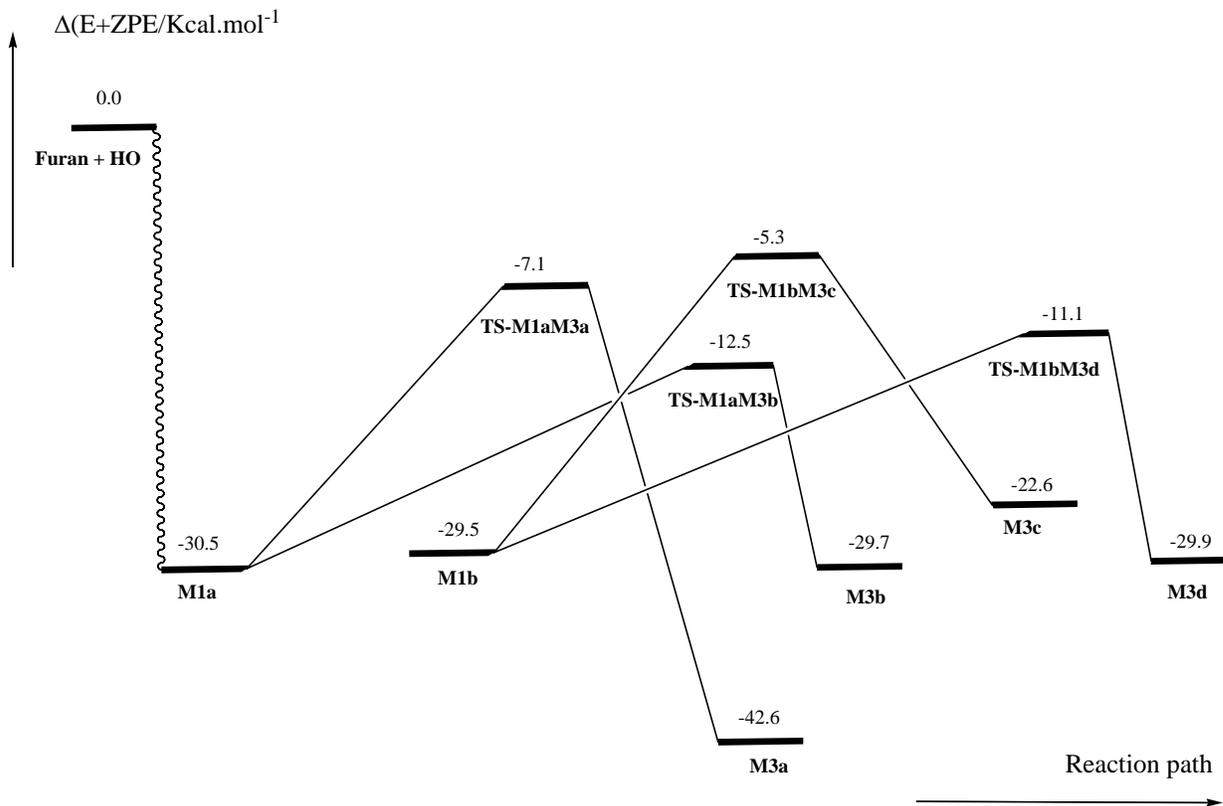


Fig. (5). Schematic energy diagram ($\Delta(E + ZPE)$ energies (in kcal.mol⁻¹); values in parenthesis include BSSE corrections) of the potential energy surface for the ring opening process of formation of the 2-HO-adduct.

C3O6 bond and the corresponding geometrical parameters are displayed in Fig. (4). From an energetic point of view, Table 1 and Fig. (2) show that the activation energy, with respect to the pre-reactive hydrogen bonded complex CR1, is computed to be 7.2 kcal.mol⁻¹. The 3-HO-adduct M2a is computed to be 12.5 kcal.mol⁻¹, more stable than the sum of the energies of the separate reactants. Table 1 and Fig. (2) also show that the conformer M2a is 2.2 kcal.mol⁻¹, more stable than M2b and the energy barrier for this conformational change is very small. At this point it is also worth noting out that the 2-HO-adduct M1a is about 18 kcal.mol⁻¹ more stable than the 3-HO-adduct M2a, because of the π features of the 2-HO-adduct, which produce a stabilization effect. Moreover, the energy barrier for the formation of the 3-HO-adduct is about 4 kcal.mol⁻¹ higher than that computed for the formation of the 2-HO-adduct, so it can be concluded that the 3-HO-adduct will not be formed.

Ring-Opening of the 2-HO-Adduct

There are two reaction pathways for the electrocyclic ring-opening of each of the two conformers (M1a and M1b) of the 2-HO-adduct, producing different conformers of 4-hydroxybutenaldehyde radical (M3). Each elementary reaction involves the homolytic break down of the O1...C2 bond and the simultaneous rotation of the (H)OCH- group, which can occur either clockwise or counter-clockwise. Fig. (5)

shows a schematic energy diagram of the potential energy surface for this ring-opening process.

Starting from M1a, the reaction pathway having the lowest energy barrier goes through TS-M1aM3b and leads to the formation of M3b. In this case, the rotation of the (H)OCH-group is counter-clockwise. At the transition state, the C2...O1 bond being broken has a distance of 1.885 Å, the C5...O1 distance of the carbonyl group being formed is 1.270 Å, and the CC bond distances of the carbon skeleton have changed between 0.013 and 0.069 Å, with respect to the corresponding distances in the 2-HO-adduct (compare TS-M1aM3b and M1a in Figs. (6) and (3) respectively). The formation of the M3b product also involves a rearrangement of the π system with respect to the M1a radical, as the C-C π bond is now delocalized among the carbons C2, C3 and C4. Moreover, the unpaired electron is of π type and mainly delocalized over the C2 and C4 atoms. This M3b radical has a planar structure (C_s , see Fig. (6)) and is characterized by the $^2A'$ electronic configuration. In addition, a hydrogen bond is formed between the oxygen of the carbonyl group (O1) and the hydrogen of the (H)OCH- group (H7), producing a stabilization effect. The computed bond length of this hydrogen bond is 2.209 Å and its nature has been confirmed by the AIM analysis (the values of ρ_{bcp} and $\nabla^2\rho_{\text{bcp}}$ are 0.0179 and 0.0665 a.u. respectively)

Table 1 and Fig. (5) show that the calculations predict an energy barrier of 18 kcal.mol⁻¹, and the reaction occurs prac-

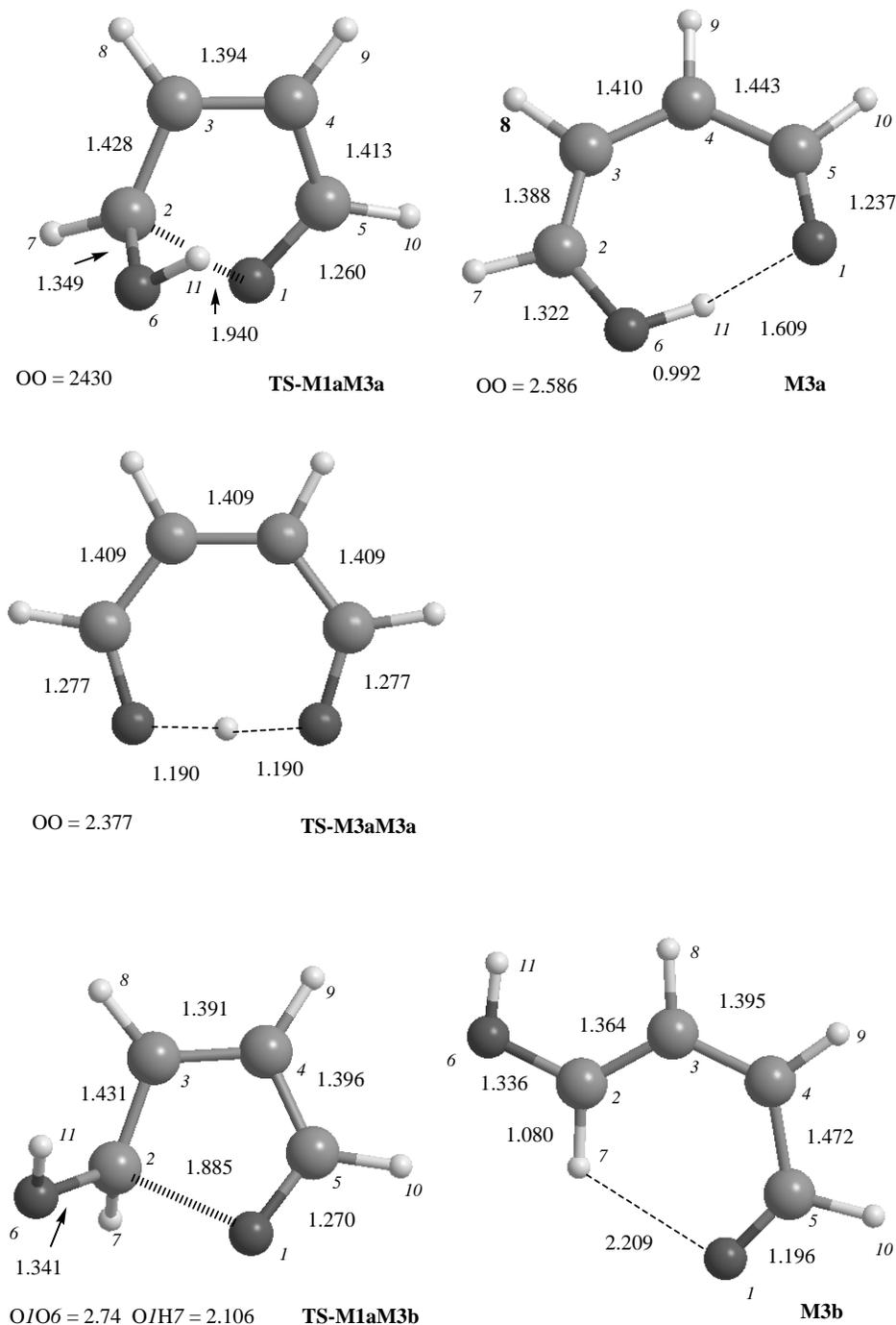


Fig. (6). Selected geometrical parameters optimized at MP2/6-311G(d,p) (distances in Angstrom and angles in degrees) for the stationary points involving the ring opening process of **M1a**. For **M3a** and **TS-M3aM3a** the values are obtained at QCISD/6-311G(d,p) level of theory.

tically without energy change (**M3b** is computed to be only 0.8 kcal·mol⁻¹ higher in energy than **M1a**).

The reaction path going through **TS-M1aM3a** involves a clockwise rotation and produces **M3a**.¹ The calculations in-

dicate that, at the transition state, a considerable reorganization of the electronic structure is produced. Fig. (6) shows that the C5···O1 bond being broken, has a distance of 1.940 Å, which is 0.06 Å larger than that associated with the **TS-M1aM3b** elementary reaction, just described. The carbonyl group is almost formed (C2O1 = 1.260 Å) and the CC bond distances in the carbon skeleton have changed between 0.016 and 0.072 Å, with respect to the corresponding distances in the

¹ The results reported for **M3a** and **TS-M3aM3a** have been optimized and characterized at QCISD/6-311G(d,p) level of theory. The MP2 approach (also employed) predict erroneously **M3a** to have one imaginary frequency and **TS-M3aM3a** all frequencies positive.

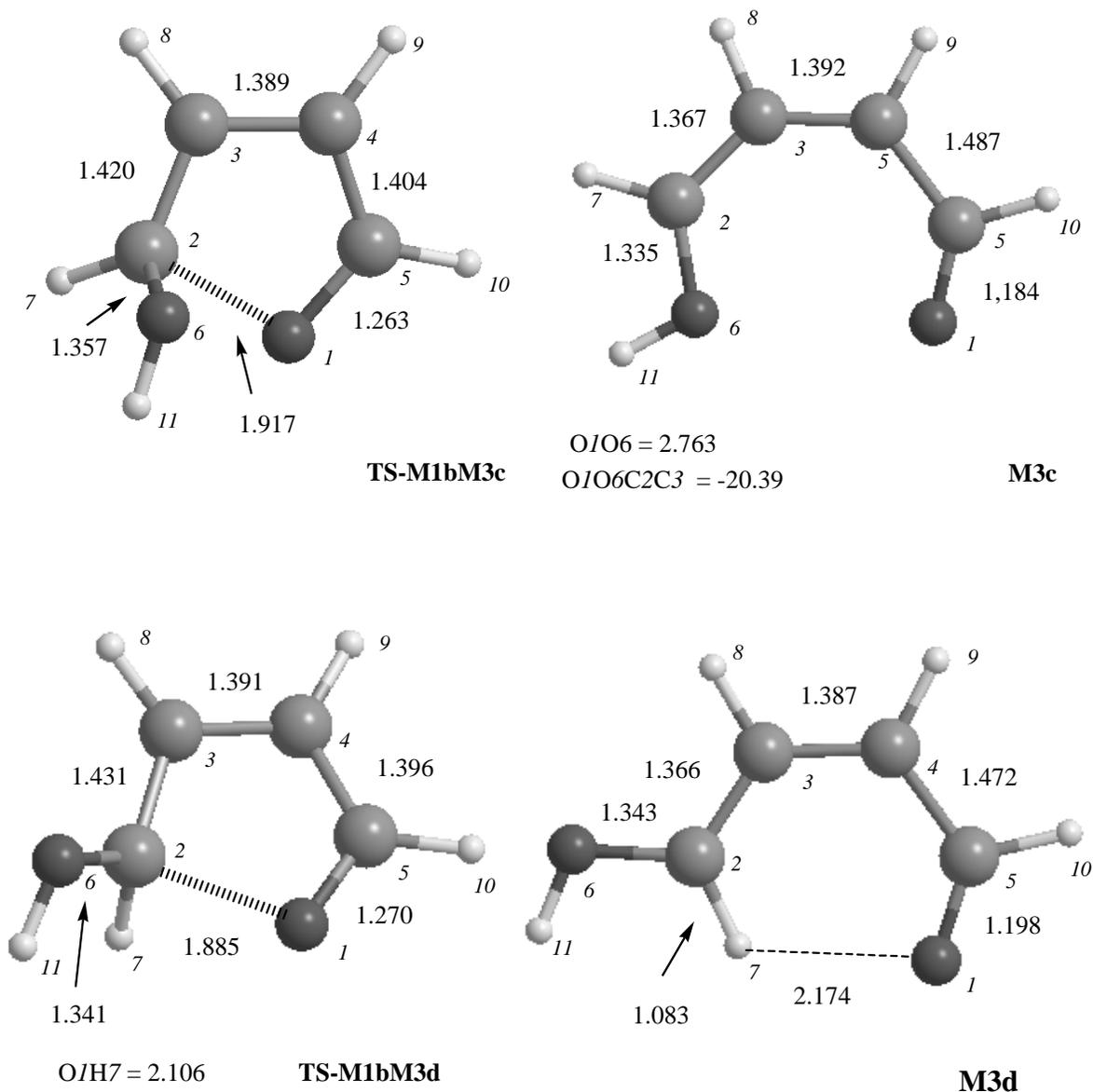


Fig. (7). Selected geometrical parameters optimized at MP2/6-311G(d,p) (distances in Angstrom and angles in degrees) for the stationary points involving the ring opening process of **M1b**.

the HO adduct (compare **TS-M1aM3a** and **M1a** in Figs. (6) and (3) respectively). Table 1 and Fig. (7) show that the computed energy barrier for this elementary reaction is 23.4 kcal·mol⁻¹, which is about 5 kcal·mol⁻¹ higher than that of the **TS-M1aM3b**, described above. Note that in Fig. (3), **TS-M1aM3a**, the distance between the two oxygen atoms is only 2.43 Å, suggesting a repulsive interaction between the lone pairs of the two oxygen atoms, which is responsible for the higher value of the energy barrier. The product of this elementary reaction, **M3a**, is the most stable conformer of the 4-hydroxy butenaldehyde radical. It has similar electronic features to **M3b** discussed above; the π bond is delocalized among the carbons C2, C3 and C4 and the unpaired

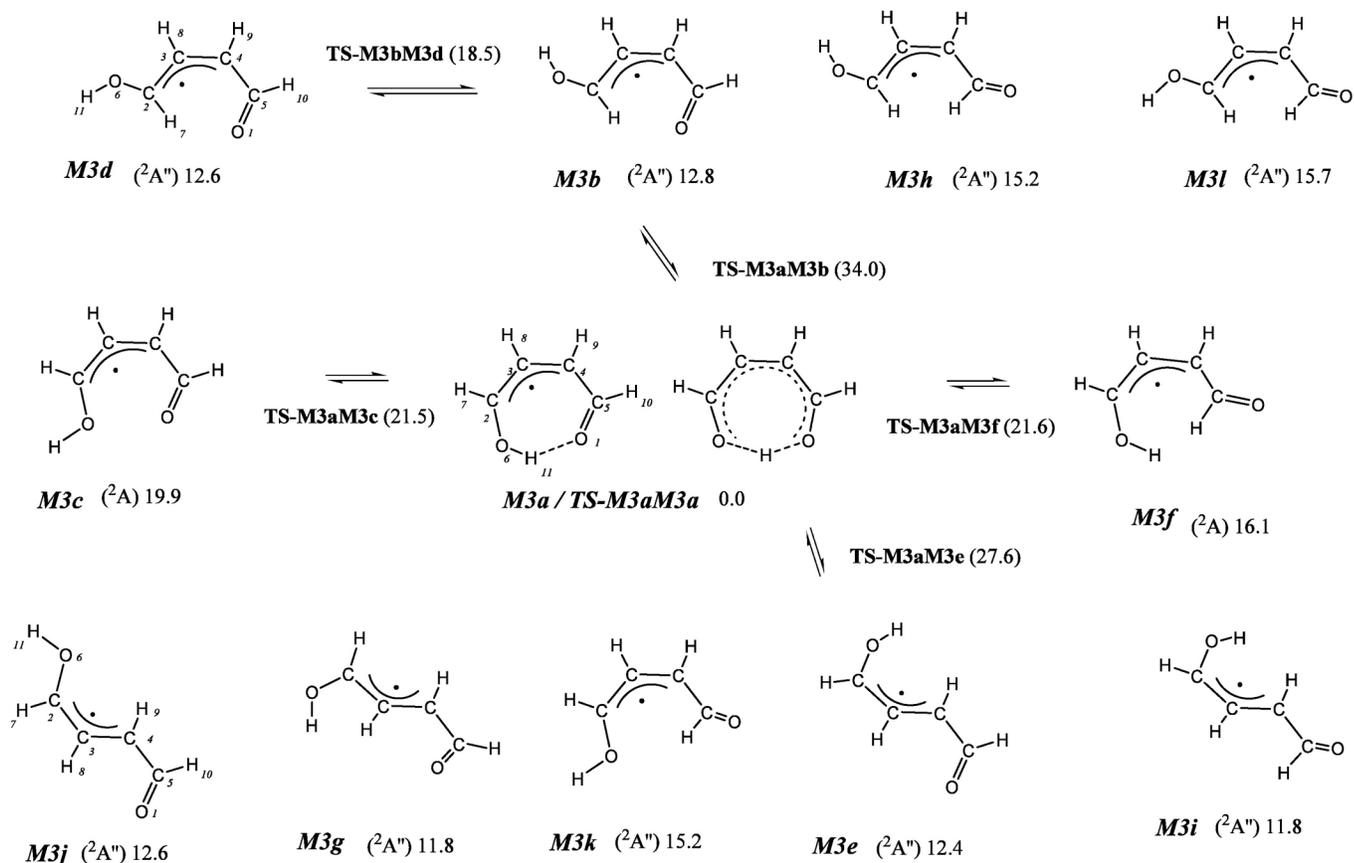
electron is of π type and mainly delocalized over the C2 and C4 atoms. The calculations indicate that the formation of **M3a** from **M1a** is exothermic by 12.1 kcal·mol⁻¹, and **M3a** lies at 41.3 kcal·mol⁻¹ below the energy of the separate reactants ($\Delta(E+ZPE)$ value, see Table 1). It is interesting to note that **M3a** lies about 13 kcal·mol⁻¹ below **M3b** and therefore, it also deserves special attention. Fig. (6) shows that **M3a** has a planar (C_s , $^2A''$) seven member ring structure with a hydrogen bond, formed between the oxygen of the carbonyl group and the hydrogen of the HO group. The length of this hydrogen bond is computed to be 1.609 Å and the AIM analysis of the wave function shows values of ρ_{bcp} and $\nabla^2\rho_{bcp} = 0.0578$ and 0.1562 a.u., respectively. This short bond

length suggests an easy proton transfer between the two terminal oxygen atoms, in a similar way as suggested for the HO oxidation of furan-2-carboxyaldehyde [25]. This process has been investigated and the product of the H transfer is also **M3a**. Fig. (6) also shows that this transition state **TS-M3aM3a** [52], has C_{2v} symmetry (2A_2 electronic states) and the hydrogen being transferred is half way between the donor and acceptor oxygen atoms.

From an energetic point of view, Table 1 shows that, when the ZPE energies are taken into account, **TS-M3aM3a** lies below **M3a**, so that **M3a** can be classified as a low barrier hydrogen bond (LBHB). The C_{2v} structure has a lower energy than the C_s structure, when the ZPE or even the enthalpic and entropic corrections are taken into account (see also Table 1), and this fact suggested that **TS-M3aM3a** should be considered as the global dynamical minimum of the **M3** radical. The planarity of the seven member ring permits an optimum orientation of the hydrogen-oxygen interaction and allows the delocalization of the π system all over of the carbon chain, facilitating the formation of the LBHB and explaining its high stability. This conclusion is also in line with recent results reported in the literature [52, 53]. These electronic features are also reflected in the geometrical parameters and in the results of the AIM analysis of the corresponding wave function. All the CC bonds have typical ellipticity values of a double bond, with ϵ values between 0.219 and 0.244 and more interestingly, the two HO bonds (H1/O6 and H1/O1) possess electronic features, which are typical of

a covalent bond ($\rho_b = 0.179$ a.u.; $\nabla^2\rho_b = -0.358$ a.u. and $H = -0.192$ a.u.). A similar LBHB has been recently reported in the literature for related structures such as hydrogen maleate [54] and the enolic form of acetylacetone [55], with an assigned C_{2v} structure for their minima.

Fig. (5) shows that starting from **M1b**, the ring opening of the HO-adduct can lead to the formation of the **M3c** and **M3d** conformers. As above, the elementary process having the lowest energy barrier involves the break down of the O/C2 bond and the counter-clockwise rotation of the HCOH group (**TS-M1bM3d**, see Fig. (7)), with a computed energy barrier of 18.4 kcal·mol $^{-1}$ (see Table 1 and Fig. (5)). This elementary process occurs without a significant change of energy as **M3d** is computed to be only 0.4 kcal·mol $^{-1}$ lower in energy than **M1b** ($\Delta(E+ZPE)$ value). On the other hand, the elementary path, involving the break down of the O/C2 bond and the clockwise rotation of the HCOH group, produces **M3c** and requires a higher activation energy (24.2 kcal·mol $^{-1}$ for **TS-M1bM3c**, see Fig. (7)). Table 1 and Fig. (5) show that the process is computed to be endothermic by about 7 kcal·mol $^{-1}$. Moreover, it is worth pointing out that **M3c** and **M3d** are differentiated by a rotation of the C2O6 bond (see Fig. 7). **M3d** is stabilized by a hydrogen bond interaction between O1 and H7 and the energy difference between these two conformers (7.1 kcal·mol $^{-1}$) allows us to qualitatively quantify the strength of this hydrogen bond interaction.



Scheme 1. Schematic picture of all conformers of 4-hydroxybutenaldehyde (**M3**) radical. The values are $\Delta(E+ZPE)$ energies.

Conformers of the 4-Hydroxybutenaldehyde Radical (M3)

All the different conformers of **M3** have also been considered and their schematic structures are displayed in Scheme 1. It includes their relative energies as well as the energy barrier for the most significant conformational changes, which can also be derived from the results collected in Table 1. In the previous section the structure and electronic features of four of these conformers (**M3a**, **M3b**, **M3c** and **M3d**) have been discussed. It has been pointed out that **M3a** is the most stable conformer and the structure of **TS-M3aM3a** is considered as the global dynamical minimum of the **M3** radical. Therefore, the $\Delta(E+ZPE)$ energy of **TS-M3aM3a** is considered as the zero energy in Scheme 1.

As pointed out in the previous section, all the conformers of **M3** possess a π bond, which is mainly delocalized among C2, C3 and C4, whereas the unpaired electron occupies a π orbital and is mainly delocalized between C2 and C4. This analysis suggests that all possible radical reactions involving these **M3** radical conformers, would take place through the C2 and/or C4 atoms.

The results displayed in Scheme 1 indicate that taking **M3a** as the zero energy ($\Delta(E+ZPE)$ value) there are six conformers (**M3b**, **M3d**, **M3e**, **M3g**, **M3i** and **M3j**) with energies lying between 11.8 and 12.8 kcal·mol⁻¹, four conformers (**M3f**, **M3h**, **M3k** and **M3l**) lying between 15.2 and 16.1 kcal·mol⁻¹, and one conformer, **M3c**, lying at 19.9 kcal·mol⁻¹. Besides **M3a**, whose high stability has been discussed above, the energetic differences among these conformers are grounded in several effects.

The AIM analysis of the corresponding wave function indicates that all conformers of the first group (**M3b**, **M3d**, **M3e**, **M3g**, **M3i** and **M3j**) possess a high value of the ellipticity for the C4C5 bond (ϵ about 0.11), suggesting that the delocalization of the π bond among C2, C3 and C4 is extended to C5, which produces an extra stabilization effect. Moreover, **M3b** and **M3d** present a hydrogen bond interaction between the oxygen of the carbonyl group O1 and H7, having a stabilization effect.

From the conformers of the second group, **M3k** also has a hydrogen bond interaction, which is formed between the oxygen of the hydroxyl group O6 and H10. In recent studies on gas phase radical complexes, it has been pointed out that the hydrogen bond interactions involving the oxygen atom of the carbonyl group, are more stabilizing than those involving the oxygen atom of the hydroxyl group [56, 57], in the same way as occurs in these conformers.

On the other hand, **M3f**, **M3h**, and **M3l** are also interesting conformers. The AIM analysis of the corresponding wave function points out the existence of a bond critical point between H10 and H11 for **M3f** (with values of $\rho_b = 0.018$ a.u.; $\nabla^2\rho_b = 0.056$ a.u.; $H = 0.0012$ a.u.) and between H10 and H7 for **M3h** and **M3l** (with values of $\rho_b = 0.009$ a.u.; $\nabla^2\rho_b = 0.034$ a.u.; $H = 0.0016$ a.u.), showing that there is a destabilizing H-H interaction. For **M3f**, both hydrogen atoms are separated by only 1.772 Å, and for **M3h** and **M3l**,

the two hydrogen atoms are separated by 2.193 Å. Such H-H interactions, with very similar electronic features, have been recently described in the literature [45, 58].

The last conformer **M3c** is computed to be the less stable. The AIM analysis of its wave function shows a bond critical point between the two oxygen atoms, indicating an interaction of a closed shell type (with values of $\rho_b = 0.013$ a.u.; $\nabla^2\rho_b = 0.048$ a.u.; $H = 0.0011$ a.u.) with a destabilizing effect. Similar O-O interactions have also been recently described in the literature [57, 59, 60].

It is also worth pointing out that besides **M3a**, the conformers **M3b**, **M3d**, **M3e**, **M3g**, **M3i** and **M3j** are quasi degenerated with the 2-HO-adduct **M1**, whereas the remaining **M3** conformers lie higher in energy (see Table 1).

The last point that has been considered in this work refers to the study of the most relevant conformational changes in **M3**. All possible conformational changes from **M3a**, namely to **M3b** (via **TSM3aM3b**), to **M3c** (via **TSM3aM3c**), to **M3e** (via **TSM3aM3e**) and to **M3f** (via **TSM3aM3f**), as well as **M3b** to **M3d** (via **TSM3aM3d**) have been investigated. Provided that almost all the **M3** conformers possess similar electronic features, we can obtain a quasi quantitative estimation of the energy barriers involving all possible conformational changes in **M3**.

The formation of **M3a** from **M3c** involves the rotation of the C-O(H) single bond and consequently the computed activation barrier is small (1.6 kcal·mol⁻¹). On the other hand, the inter-conversion between **M3d** and **M3b** occurs by the rotation of the same C-O(H) single bond, and the computed activation barrier is about 5.8 kcal·mol⁻¹. The inter-conversion from **M3b** to **M3a** requires the rotation of the C2-C3 bond, which has a great amount of π character. Consequently, a high energy barrier is expected and the computed results predict it to be 21.2 kcal·mol⁻¹. Taking into account that **M3b** is estimated to be extra-stabilized by about 7.1 kcal·mol⁻¹, because of the hydrogen bond (see the previous section), it can be estimated that the activation energy for the rotation of the C2-C3 π -bond should be 21.2 – 7.1 = 14.1 kcal·mol⁻¹. The inter-conversion from **M3e** to **M3a**, involves the rotation of the C3-C4 bond, also having a considerable amount of π character and the computed activation barrier is 15.5 kcal·mol⁻¹, which is close to the value estimated for the rotation of the C2-C3 bond. Finally the inter-conversion from **M3f** to **M3a**, involves the rotation of the C4-C5 bond, which is a single bond and consequently the computed activation barrier is smaller, i.e. 5.5 kcal·mol⁻¹.

CONCLUSIONS

The results of this investigation lead to the following important points.

1. The reaction between furan and hydroxyl radical is a complex process that involves, at first, the formation of a hydrogen bonded complex, which occurs before the addition of HO to the π ring of furan. The HO addition can occur in positions 2 or 3 leading to the formation of the 2-HO-adduct (**M1a**) and 3-HO-adduct

- adduct (**M2a**). The pre-reactive hydrogen bonded complex is computed to be 2.6 kcal·mol⁻¹, more stable than the reactants, whereas the 2-HO and 3-HO-adducts are computed to be 30.5 and 12.5 kcal·mol⁻¹, respectively, more stable than the sum of the energies of furan and hydroxyl radical. In addition, conformers of **M1a** and **M2a** resulting from the rotation around (H)O-C axis, namely **M1b** and **M2b**, are also found.
- The formation of the 2-HO-adduct (**M1a**) and 3-HO-adduct (**M2a**) occurs through the transition states **TS-CR1M1a** and **TS-CR1M2a**, respectively. The calculations predict **TS-CR1M1a** to lie 0.5 kcal·mol⁻¹ below the energy of the separate reactants, whereas **TS-CR1M2a** is computed to be 3.4 kcal·mol⁻¹, higher in energy than furan plus hydroxyl radical. These results suggest that the 3-HO-adduct **M2a** will not be formed.
 - The next step is the ring opening of the 2-HO-adduct (**M1a** and **M1b**), producing different conformers of 4-hydroxybutenaldehyde radical (**M3**). There are two elementary reactions for each of the **M1a** and **M1b** conformers, which involve the homolytic break down of the O1...C2 bond and the simultaneous rotation of the (H)OCH- group. This rotation occurs either clockwise or counter-clockwise. In each case, the reaction path, having the lowest energy barrier (about 18 kcal·mol⁻¹), is associated with the counter-clockwise rotation, whereas those elementary reactions involving a clockwise rotation need to surmount a higher energy barrier of about 24 kcal·mol⁻¹.
 - All conformers of the 4-hydroxybutenaldehyde radical (**M3**), have been investigated. The most stable presents a symmetric (*C*_{2v}; ²A₂) seven member ring structure, having a low barrier hydrogen bond and a π bond delocalization along all the carbon structure. This conformer is predicted to be about 43 kcal·mol⁻¹, more stable than the separate reactants. The remaining conformers of **M3** lie between 22 and 33 kcal·mol⁻¹, below the energy of the separate reactants.

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