

The Enhanced Outgoing Test Theorem of Authentication Tests

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Abstract: Through the research process of Yahalom protocol by using authentication test, the problem of authentication tests cannot always available when there are not only one transform occurs in one transform path. Has this problem mainly is as a result of the authentication test theorems aimed at testing component which is merely once transformation, but the analytical ability of several transformations is not strong enough. After analyzing the authentication test theorems, I proposed an enhanced outgoing test theorem which enhanced capability of the completeness, in order to carry out authentication when the above-mentioned problems happen, the proof for theorem is given. Finally this method is used to authenticate Yahalom protocol and prove Yahalom protocol can fulfill the authentication property.

Keywords: Authentication test, enhanced, outgoing test theorems, yahalom.

Authentication test method [1, 2], which based on strand space [3-6], is proposed by the Guttman *et al.* in 2000, people use it to judge the agreement property of authentication protocols, and it has been widely used. After analyzing this method, I find it can't prove the authentication party be able to complete a full authentication communication when the authentication protocol have a third party involved and the test component occurs several times transformation in the conversion path, even if it can proof that, the process is complex, such as Yahalom protocol [7] B authentication for A.

This article briefly describes the authentication test methods and theorems, Then analyzed why original protocol authentication test theorem can't authentication Yahalom protocol simply and effectively, Then strengthened the outgoing test theorem of authentication test methods, and Prove the strengthened theorem, at last use strengthened Theorem to authenticate Yahalom protocol, and make a comparison with the original authentication test theorems for the degree of completion and the simplicity of the authentication process.

1. INTRODUCTION OF AUTHENTICATION TEST METHODS

Guttman proposed authentication test methods, which is the one of two major events in space string theory. Although the establishment of authentication test methods requires complex theoretical derivation and proof procedure, but when using the method to analysis security protocols, the proving process is simpler and intuitive. The authentication test methods are briefly introduced as follow.

A term t_0 is a component of t if $t_0[t]$, t_0 is not a concatenated term, and any $t_1 \neq t_0$ meet $t_0[t_1]t$ is a concatenated

term. A term t is new at $n=\langle s, i \rangle$ if t is a component of term (n) , but t is not a component of node $\langle s, j \rangle$ for every $j < i$.

A term $t=\{h\}k$ is a test component for a in n if: (1) $a[t]$, and t is a component in n ; (2) t is not a proper subterm of a component of any regular node $n' \in \Sigma$.

The edge $n_1 \Rightarrow n_2$ is a transformed edge for $a \in A$ if n_1 is positive(negative) and, n_2 is negative(positive), $a[t_1]$, and there is a new component, t_2 of n_2 . such that $a[t_2]$.

The edge $n_0 \Rightarrow n_1$ is a test for a if a uniquely originates at n_0 and $n_0 \Rightarrow n_1$ is a transformed edge for a.

The edge $n_0 \Rightarrow n_1$ is a outgoing test for a in $t=\{h\}k$. if it is a test for a and $K-1 \notin K_p$, K_p represent the unsafe key set. a only occur in t , and t is a test component for a in n_0 .

The edge $n_0 \Rightarrow n_1$ is a incoming test for a in $t_1=\{h\}k$ if it is a test for a and $K \notin K_p$. and t_1 is a test component for a in n_1 .

A negative node n is an unsolicited test for $t=\{h\}k$ if t is a test component for any a in a and $K \notin K_p$.

Theorem 1.1 Outgoing Test Theorem: Let C be a bundle with $n' \in C$ and let $n \Rightarrow n'$ be an outgoing test for a in t .(1). There is regular nodes $m, m' \in C$, such that t is a component of m and $m \Rightarrow m'$ is a transforming edge for a.(2). Suppose that a occurs only in component $t_1=\{h_1\}k_1$. of m' and t_1 is not a proper subterm of any regular component, and $K_1-1 \notin K_p$. Then there is a negative regular node m'' with t_1 as a component.

Theorem 1.2 Incoming Test Theorem: Let C be a bundle with $n' \in C$ and let $n \Rightarrow n'$ be an incoming test for a in t' . Then there exist regular nodes $m, m' \in C$ such that t' is a component of m' and $m \Rightarrow m'$ is a transforming edge for a.

Theorem 1.3 Unsolicited Test Theorem: Let C be a bundle with $n \in C$, and let n be an unsolicited test for $t=\{h\}k$. Then there exists a positive regular node $m \in C$ such that t is a component of m .

2. THE DISADVANTAGE OF AUTHENTICATION TEST THEOREM

Authentication test Theorems are composed by three theorems mention above, such as Theorem 1.1, Theorem 1.2 and Theorem 1.3, it has its advantages in agreement certification, such as simple and intuitive features, but also has its shortcomings.

The analysis revealed that the authentication test theorems are valid only when test component occur only one transform in the transformation path, but if there are several times transformation, the authentication test theorems can't draw the right conclusions or verification steps are too complicated.

Next, make the authentication process of Yahalom protocol for example to describe the lack of authentication test theorem.

2.1. Yahalom Protocol

Yahalom protocol, which was proposed at 1988, is a typical classical authentication protocol, as shown in Fig. (1). Participant in the communication protocol is subject A, B and the authentication server S, which aims to distribute the session keys between communicating parties.

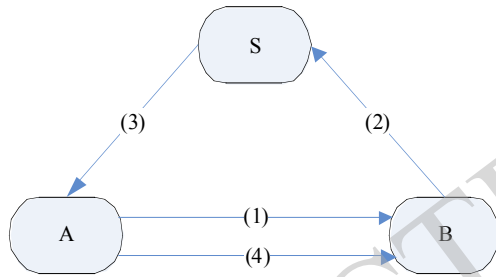


Fig. (1). Yahalom protocol.

- (1) $A \rightarrow B: ANa$
- (2) $B \rightarrow S: B\{ANa, Nb\}Kbs$
- (3) $S \rightarrow A: \{BKab, Na, Nb\}Kas\{AKab\}, Kbs.$
- (4) $A \rightarrow B: \{AKab\}Kbs\{Nb\}Kab.$

Yahalom protocol strand space is composed by three kind strands as follow:

(1) Initiator strand, Protocol trace is showed as follow: $\langle +ANa - \{BKab, Na, Nb\}KasH + H\{Nb\}Kab \rangle, Si \in \text{Init}[A, B, Na, Nb, Kab].$

(2) Responder strand, Protocol trace is showed as follow: $\langle -ANa, +B\{ANa, Nb\}Kbs, -AKabKbsNbKab \rangle, Sr \in \text{Resp}[A, B, Na, Nb, Kab].$

(3) Server strand, Protocol trace is showed as follow: $\langle -B\{ANa, Nb\}Kbs + \{BKab, Na, Nb\}Kas\{AKab\}Kbs \rangle, S \in \text{Serv}[A, B, Na, Nb, Kab].$

Here, H represents the encrypted message which participant can't be identified. Supposed $A, B \in Tname, Kbs \notin Kp, Kas \notin Kp, Kab \in TnameNa, Nb \in T \setminus Tname, Na$ and Nb uniquely originate at Σ .

Yahalom protocol bundle diagram shown in Fig. (2).

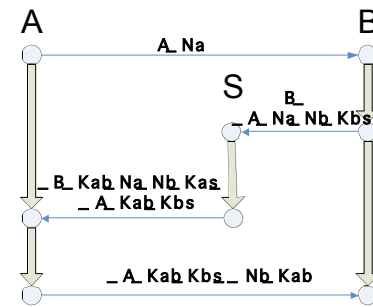


Fig. (2). Yahalom protocol bundle diagram.

2.2. Using Original Outgoing Test Theorem Prove Yahalom Protocol

Proposition 2.1 Suppose that C is a bundle in Σ which is a Yahalom strand space, $A \neq B, Kas, Kbs \notin Kp, Sr \in \text{Resp}[AB, Na, Nb, Kab]$ with C-height 3. Then there exist $S \in \text{Serv}[A, B, Na, Nb, Kab]$ with C-height 2, and exist $Si \in \text{Init}[A, B, Na, Nb, Kab]$ with C-height 2.

Proof. ① $\{AKab\}Kbs$ is an unsolicited test, according to unsolicited test Theorem, there exist a regular node $m \in C$ in C, t is a components of m, and $A \neq B, \{AKab\}Kbs$ can occurs only at $\langle S, 2 \rangle$ of strand $S \in \text{Serv}[A, B, **, Kab].$

② $\langle Sr, 2 \rangle \Rightarrow \langle Sr, 3 \rangle$ is a outgoing test and $\{ANa, Nb\}Kbs$ is the test component, according to outgoing test theorem, there exist a regular transforming edge for Nb. According to the type of message in bundle C, the transforming edge only occurs in $S' \in \text{Serv}[A, B, Na, Nb, Kab]$ with C-height 2. According to the second term of outgoing test theorem, $\{B, Kab, Na, Nb\}Kas$ only occurs in $Si \in \text{Init}[A, B, Na, Nb, Kab]$ with C-height 2.

Because the original outgoing test can't prove Si is finished, so it can't prove Yahalom protocol satisfy Agreement property.

2.3. Using The Incoming Test Prove Yahalom Protocol

Proposition 2.2 Suppose that C is a bundle in Σ which is a Yahalom strand space, $A \neq B, Kas, Kbs \notin Kp, S \in \text{Serv}[A, B, Na, Nb, Kab]$ with C-height 1. Then there exist $Sr \in \text{Resp}[A, B, Na, Nb, Kab]$ with C-height 2.

Proof. $\{ANa, Nb\}Kbs$ is an unsolicited test, according to unsolicited test theorem, there exist a regular node $m \in C$ in C, and t is component of m $A \neq B, \{ANa, Nb\}Kbs$ only occurs at node $\langle S, r, 2 \rangle$ in strand $Sr \in \text{Resp}[AB, Na, Nb, Kab].$

Proposition 2.3 Suppose that C is a bundle in Σ which is a Yahalom strand space, $A \neq B, Kas \notin Kp, Si \in \text{Init}[A, B, Na, Nb, Kab]$ with C-height 2, there exist stand $S \in \text{Serv}[A, B, Na, Nb, Kab]$ with C-height 2.

Proof. $\langle Si, 1 \rangle \Rightarrow \langle Si, 2 \rangle$ is an incoming test for Na, $\{BKab, Na, Nb\}Kas$ is the test component, according to incoming test theorem, there exists a transforming edge for Na. According to the type of message in bundle C, the transforming edge only occurs in $S \in \text{Serv}[A, B, Na, Nb, Kab]$ with C-height 2.

Proposition 2.4 Suppose that C is a bundle in Σ which is a Yahalom strand space, $A \neq B, Kas \notin Kp, Si \in \text{Init}[A, B, Na,$

N_b, K_{ab} with C-height 2, there exists strand $S_r \in \text{Resp}[A, B, N_a, N_b, *]$ with C-height 2.

Proof. If there is a strand $S_i \in \text{Init}[A, B, N_a, N_b, K_{ab}]$ with C-height 2, according to Proposition 2.3 only prove there exist a strand $S \in \text{Serv}[A, B, N_a, N_b, K_{ab}]$ with C-height 2. And because Proposition 2.2, Indirect proof there exists strand $S_r \in \text{Resp}[A, B, N_a, N_b, *]$ with C-height 2.

Proposition 2.5 Suppose that C is a bundle in Σ which is a Yahalom strand space, $A \neq B$, $K_b \notin K_p$, $S_r \in \text{Resp}[A, B, N_a, N_b, K_{ab}]$ with C-height 3, then there exists a strand $S_i \in \text{Init}[A, B, N_a, N_b, K_{ab}]$ with C-height 3.

Proof. $\langle S_r, 2 \rangle \Rightarrow \langle S_r, 3 \rangle$ is an incoming test for N_b , $\{N_b\}K_{ab}$ is the test component, according to incoming test theorem, there exists a regular transforming edge for N_b . According to the type of message in bundle C , the transforming edge only occurs in $S_i \in \text{Init}[A, B, N_a', N_b, K_{ab}]$ with C-height 3. Because the parameters A and B did not reach directly consistent for N_a and N_b unique origin in B , use Proposition 2.4 on S_i , prove that there exist $S_r' \in \text{Resp}[A, B, N_a', N_b, *]$ with C-height 2. According to form of the responder strand, N_b originates at $\langle S_r', 2 \rangle$ and because N_b is uniquely originates, so $\langle S_r', 2 \rangle = \langle S_r, 2 \rangle$, and $S_r' = S_r$, $N_a' = N_a$. Thus, there exists a strand $S_i \in \text{Init}[A, B, N_a, N_b, K_{ab}]$ with C-height 3.

3. ANALYSIS FOR AUTHENTICATION TEST THEOREMS SHORTCOMINGS

When Authenticator party Authenticate the authenticated party, only if Authenticator parties to confirm the authenticated party finish the last message being sent steps, Authenticator will just finish the Authentication [8].

Proposition 2.1 shows that when using the outgoing test theorem authentication Yahalom, B only confirmation A execution to step 2 in the for Authentication (e.g. the last step before the release of information), this can't guarantee that A can execute completely (e.g. completion of three nodes), so the certification can't complete.

But it proves that Authentication for B to A is can be completed in the BAN logic certification, and reach agreement on the parameters on each other [9, 10]. Analyze the causes, there are several transformation occur on the test component $\{A, N_a, N_b\}$, K -bs.. in the transform path, Outgoing test can verify A execution to the second step (application the second term of the outgoing test theorem), the third step can't guarantee be executed (outgoing test theorem has its advantages at parameters certification, but not as good as on the degree of done in agreement on input test theorem).

The last section shows that use the incoming test theorem authentication Yahalom protocol [11, 12], you can ensure B execute to the third step, But to prove the consistency of the parameters needed complicated indirectly certificate chain such that B certification S , and S certification A . (This Process must follow the order that S to B , A to S , B to A for authentication, and the needs of every party ensure the consistency of the parameters N_b of the only origin from B).

When using the input test theorem proving Protocol, the process is too complicated and degree of completion of the

Protocol have no room for improvement, so this paper mainly strengthened the completion of the outgoing test theorem.

4. ENHANCED OUTGOING TEST THEOREM

Theorem 4.1 Let C is bundle, $n' \in C$ $n \Rightarrow n'$ is outgoing test for a in $t(1)$ So there exists regular nodes $m, m' \in C$, make t is component of m , and $m \Rightarrow m'$ is the transforming edge for a ; (2) Besides that, if the test component $t_1 = \{h_1\}k_1$ of m' in the transforming edge is not as the same as the one (t') of the n' in the transformed edge, then there exist a transforming edge between m' and n' , and the two nodes l, l' of the edge are all regular. If the test component $t_2 = \{h_2\}k_2$ of l' is different from t' , and a only occurs in the component t_2 of l' , and t_2 is not a subset of any regular component, and $K_2 - 1$ not belong to K_p , then between l' and n' there exist a transforming edge, and the two nodes o, o' of the edge are regular. And so on, until $t_x = t'$, then the outgoing test end.

This theorem enhance the second term of the outgoing test theorem, make degree of completion of it more powerful and there is no any additional assumptions on the original outgoing test theorems.

5. THE PROVEMENT OF THE ENHANCED OUTGOING TEST THEOREM

To prove Theorem 4.1, we introduce the following three important definition and propositions, all of them can be found in the literature [1].

Definition 5.1 A transformation path is a path for which each node n_i is labeled by a component L_i of n_i in such a way that $L_i = L_{i+1}$ unless $n_i \Rightarrow n_{i+1}$ and L_{i+1} is new on the strand of n_{i+1} .

Proposition 5.1 Suppose (p, L) is transformation path traversing no key edges such that p_1 and $l(p)$ are regular and $L_1 \neq L|p|$ ($l(p)$ is the last node of p , and $L|p|$ is the test component of $l(p)$). Let L_1 be of the form $\{h_1\}k_1$. Suppose that L_1 is not a proper subterm of any regular component, and suppose that $k_1 - 1 \notin K_p$, then p_a is regular. Moreover, $p_a \Rightarrow p_{a+1}$ is a transforming edge.

Propositions 5.2 Suppose p is a transformation path such that $a \in L_i$ for every n_i and $L_1 \neq L_n$. Then p has a transforming edge for a .

Proof for Theorem 4.1 From $L_m' \neq L_n'$, according to the definition 5.1 there is a transformation path between m' and n' . according to Proposition 5.2 there is a transforming edge for a between m' and n' , and, from the outgoing test Theorem, we know m' and n' are regular nodes, the form of $L_m' = \{h_1\}k_1$, and L_m' is not a proper subterm of any regular component, and $k_1 - 1 \notin P$. Based on the proposition 5.1, we know that there is a regular node p_a which makes $L_a \neq L_{a+1}$, and $p_a \Rightarrow p_{a+1}$ is the transforming edge. Now we have prove p_a is a regular, then prove p_{a+1} is a regular node too. Since $p_a \Rightarrow p_{a+1}$ is transforming edge, so p_{a+1} 's symbol term is positive, because bundle is a causal relationship with partial order, then p_{a+1} existence depends only on p_a exists because there exist p_a and it is a regular node, so p_{a+1} also exists and is a regular node. If the test component $t_2 = \{h_2\}k_2$ of p_{a+1} is different from t' , and a only appearing in compo-

ment t_2 , and t_2 is not a proper subterm of any regular component, and $k_2-1 \notin K_p$, then we can use proposition 5.1 to prove that there must have a transforming edge between $pa+1$ and n' , and the two nodes o, o' of the transforming edge are regular. And so on, until $tx=t'$, there must be no transforming edge between px and n' , then the outgoing test end.

6. USING ENHANCED OUTPUT TEST THEOREM AUTHENTICATE YAHALOM PROTOCOL

Proposition 6.1 Suppose that C is a bundle in Σ which is a Yahalom strand space, $A \neq B, K_a, K_b \notin K_p, S_r \in \text{Resp}[A, B, N, a, N_b, K_a, b]$ with C -height 3. Then there exist $S \in \text{Serv}[A, B, N_a, N_b, K_a, b]$ with C -height 2, and exist $S_i \in \text{Init}[A, B, N_a, N_b, K_a, b]$ with C -height 3.

Proof. ① $\{AK_a b\}K_b$ is an unsolicited test, according to unsolicited test Theorem, there exist a regular node $m \in C$ in C , t is a components of m , and $A \neq B$, $\{AK_a b\}K_b$ can occur only at $\langle S, 2 \rangle$ of strand $S \in \text{Serv}, A, B, K_a, K_b$.

② $\langle S_r, 2 \rangle \Rightarrow \langle S_r, 3 \rangle$ is a outgoing test and $\{AN_a, N_b\}K_b$ is the test component, according to enhanced outgoing test theorem, there exist a regular transforming edge for N_b . According to the type of message in bundle C , the transforming edge only occurs in $S' \in \text{Serv}[AB, N_a, N_b, K_a, b]$ with C -height 2. Because the node's $\langle S, 2 \rangle$ test component $t_1 \neq t'$, according to enhanced outgoing test theorem, there is another transforming edge for N_b . According to the type of message in bundle C , the transforming edge can only occur at $S_i \in \text{Init}[A, B, N_a, N_b, K_a, b]$ with C -height 3.

③ For ② is valid when $K_a b = K_a' b'$, if $K_a b \neq K_a' b'$ then $\{N_b\}K_a b$ is H which can't be recognized by B , outgoing test is not valid, and S_r is not executed, which conflict the assume that there is a strand S_r with C -height 3, so $K_a b = K_a' b'$. Thus, from ② we know there is a strand $S \in \text{Serv}[A, B, N_a, N_b, K_a, b]$ with C -height 2, and because ① there exist $S_i \in \text{Init}[A, B, N_a, N_b, K_a, b]$ with C -height 3.

7. CONCLUSION

Enhanced outgoing test theorem can authenticate the protocol that there are multiple transformations occurring in the transformation certification path for test component, it overcome the deficiencies of the original outgoing test theorems

on degree of completion, and significantly reduce prove step over the incoming test theorems.

CONFLICT OF INTEREST

The authors confirm that this article content has no conflict of interest.

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