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# RESEARCH ARTICLE

# Development and Validation of the Greek version of the Early Childhood Oral Health Impact Scale (ECOHIS)

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# Abstract:

# Background:

The oral health of preschool-age children can affect their quality of life (QoL) as well their families. The Early Childhood Oral Health Impact Scale (ECOHIS) is a reliable instrument that has been used to assess the impact of oral health problems and their treatment on the QoL of preschool-age children and their families'.

# Objective:

To report the development, evaluation and psychometric properties of the Greek version of ECOHIS (Gr-ECOHIS).

# Methods:

Participants of this cross-sectional study were 176 mothers and their young (aged 25-71 months) children, patients of a private pediatric dental practice. During a structured interview, they completed a questionnaire, including a translated, Greek language version of the ECOHIS. Data on children's oral health were obtained *via* clinical examinations. The psychometric properties of Gr-ECOHIS evaluated were reliability (internal and test-retest) and construct (convergent and discriminant) validity. Test-retest reliability was determined in an independent sample of 20 mother-child dyads, who completed the Gr-ECOHIS twice within a two-week interval.

# Results:

The scale showed excellent internal consistency (Cronbach's *alpha*=0.85) and test-retest reliability (Intraclass Correlation Coefficient= 0.97). Gr-ECOHIS showed a strong correlation with dental caries (Spearman's *rho*=0.62, p<0.0005) and dental treatment needs. Gr-ECOHIS was also positively associated with dental knowledge, health literacy and parental oral health-related QoL.

# Conclusion

The Gr-ECOHIS is a psychometrically reliable and valid Greek language QoL instrument, which demonstrated significant associations with clinical and parent-reported measures of early childhood oral health. As such, it can be used to measure oral health-related QoL among preschoolage children in clinical and public health settings.

Keywords: Early Childhood Oral Health Impact Scale, ECOHIS, Validity, Psychometric properties, Oral health, Preschool age children.

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# 1. INTRODUCTION

Early childhood caries is a significant and persistent health issue for preschool-age children, conferring severe impacts on their development, as well as their and their families' lives [1]. Measurement of these impacts requires the use of instruments that capture a broad spectrum of events, extending beyond the traditional, clinically defined indices of dental caries burden

[2]. The first instrument used to assess oral health-related Quality of Life (QoL) of preschool-age children was introduced by Filstrup and colleagues in 2003 in the context of a prospective intervention study among 69 children [3], whereas a validated instrument was introduced in 2007 [4].

Measurement of subjective oral health in the preschool-age child population is challenging for several reasons. First,

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preschool-age children cannot provide accurate answers themselves-abstract thinking and the recall of specific events beyond 24 hours are acquired at the age of 6 or older [5, 6]. Moreover, children's oral health problems and their treatment may affect their families' lives; children's pain experience, inability to properly feed, missing school, and parents having to miss work are some of these impacts on daily life. Finally, additional domains of possible impact include financial and psychological consequences on parents [7 - 11].

The Early Childhood Oral Health Impact Scale (ECOHIS) was developed in 2007 as an instrument designed to assess the oral health-related QoL of preschool-age children. The instrument is completed by children's caregivers and includes a child and a family impact section. It is a short and easy to use the instrument, with good validity and reliability [12]. The ECOHIS has been translated and tested in many languages [13] - 27]. All published versions have demonstrated good psychometric properties. The ECOHIS can be used for the identification of the characteristics (individual, environmental, sociodemographic) that impact on oral health-related QoL, changes due to treatment or intervention, as well as to screen and identify those who are in need for intervention. To date, there has been no reported adaptation and validation of the scale in the Greek language. To address this gap, we undertook this study aiming to develop and evaluate the psychometric properties of the Greek version of the ECOHIS (Gr-ECOHIS).

# 2. MATERIALS AND METHODS

# 2.1. Study Population

The sample selection strategy, rationale and specific procedures for this cross-sectional study have been previously detailed as part of the development and validation of a Greek Oral Health Literacy instrument (GROHL) [28]. Briefly, for the present study, we targeted an analytical sample size in the range of 10-15 participants per item, as the general guidance for sample size requirements of instrument and validation studies is reported to range between 2 and 20 [29]. The new index contains 13 items and thus, our final study sample of 176 was at the upper end of that range (i.e., we included >13participants per item). Moreover, previous ECOHIS adaptation and validation studies were done on similarly-sized samples, ranging between 111 and 295 participants [12, 14, 19, 26]. Specifically, our study's participants were mothers of preschool-age children who attended two private pediatric dental clinics in Athens, Greece, for routine care. The inclusion criteria were mothers' self-reported ability to speak, understand, and write in the Greek language and children's age (24-71 months). Eligible participants were excluded if their children presented with general health problems that might influence their QoL. These included medical conditions and treatments that could impact oral health including but not limited to cancer, intellectual and motor disability, salivary gland disorders, and HIV infection. Out of 200 screened individuals, 10 were found to be ineligible and 14 decided not

to participate in the study (participation rate= 93%). An independent sample of 20 adults was used for the evaluation of the instrument's test-retest reliability over a two-week period, with the same inclusion/exclusion criteria. The study received ethics approval from the institutional review board of the Dental School of National and Kapodistrian University of Athens, Greece (251A/31-07-2014) and all participants provided a signed, informed consent.

# 2.2. Data Collection, Instruments and Measures

Data were collected using a structured questionnaire administered via interview, as well as a dental chart review. Interviews lasted approximately 30 minutes and were conducted by one investigator (KT) in settings and times convenient for the participants. The questionnaire included items regarding socio-demographic characteristics, health status and behaviors, oral health knowledge, and oral healthrelated QoL. Oral health literacy was measured with a Greeklanguage, 20-item, word recognition and comprehension test, the GrOHL-20 [28]. Information on participants' health status and health behaviors were derived from questions regarding overall health and oral health, dental visits and oral hygiene practices. More specifically, the participants answered 2 selfrated oral and general health questions with 5 possible answers (excellent, very good, good, fair, poor), when their last dental visit occurred and why. Oral health knowledge was evaluated with a test of 16 statements and each participant was asked to agree or disagree, while a third option of "don't know" was also possible. Correct answers were scored with 1 and incorrect or don't know scored with 0. Oral health-related QoL was measured with the Greek Oral Health Impact Profile [30]. Children's oral health status was assessed by parent questions and via a clinical examination. Clinical oral examinations were performed by a trained and calibrated examiner and dental caries burden (dmft) was measured using the recommended WHO criteria for visual assessment of dental caries [31]. Although no calibration took place for the purposes of this study, all clinical data and restorative treatment need assessments were ascertained by a single, experienced clinician examiner and specialist in Pediatric Dentistry. Of note, the clinical examiner (first and corresponding author of the present manuscript) was previously trained and calibrated for research oral clinical examinations of preschool-age children of another clinical research project.

The ECOHIS includes 13 questions in two domains: the child (CIS; 9 items) and the family (FIS; 4 items) sections. Answers are given on a 5-point frequency scale ranging between never and very often and include an option "I don't know" [12]. Thus, the ECOHIS total score can take values ranging between 0 and 52, with small values denoting few oral health impacts and better QoL. For the purposes of this study, the ECOHIS was translated into Greek using a forward-backward translation approach [32]. First, the original English version was translated in Greek by a native Greek speaker and the translated version was examined by a bilingual health expert. Then two dental academicians and investigators who were proficient in English produced a back-translation of the initial Greek instrument into English. This final version was also screened and verified in terms of language and with an

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independent native speaker and translator.

# 2.3. Analytical Approach

Data analysis was conducted with Stata 16.0 (StataCorp LP, College Station, TX). We generated initial descriptive statistics (e.g., frequencies, proportions, means, standard deviations, medians, ranges), and then conducted analyses of variance (e.g., ANOVA) and linear regression modeling. The normality of data distribution was determined via a combined skewness and kurtosis test [33]. The Gr-ECOHIS construct reliability (i.e., internal consistency) was examined using Cronbach's alpha, and test-retest reliability was assessed using the Intraclass Correlation Coefficient (ICC). Convergent validity was tested against functional Health Literacy Screening (HLS) items, Dental Knowledge (DK), Oral Health Behaviors (OHBs), Oral Health-related QoL (OHIP-14 index), as well as self-reported oral and general health status. Predictive validity was assessed using children's parentreported and objectively measured (i.e., clinically ascertained) oral health and treatment needs. A Bonferroni correction for multiple testing correction was applied-thus, to correct for 31 independent tests, the critical p-value for statistical significance was set to 0.05/31 = 0.0016.

#### 3. RESULTS

The children's mean age was 4.3 (range=2.1-5.9) years. The mean Gr-ECOHIS score was 3.4 with a range of 0-27. Half of the participants' score was 0, indicating a frankly skewed, non-normal distribution (D' Agostino combined skewness and kurtosis test, p<0.05). The scale showed good internal consistency (Cronbach's *alpha* =0.85) and an excellent test-retest reliability (ICC=0.97; 95% CI = 0.93-0.99) (Fig. 1). In terms of the instrument's sub-scales, the Gr-ECOHIS child domain had *alpha*=0.82; mean=1.4, range=0.17 and the family domain had *alpha*=0.78, mean=1.9, range=0.11 (Table 1).

The sociodemographic characteristics of the participating mothers and the corresponding distribution of Gr-ECOHIS scores are presented in Table 2. Respondents had high educational status, with half of them being university graduates or more. Their mean age of 38 years, virtually all were married and Greek-born. Their health literacy (GROHL-20) scores were normally distributed (mean= 11.3, SD= 3.8, range= 1-20). No important associations were noted between mothers' characteristics and Gr-ECOHIS scores, with the exception of having three children or more being associated with higher scores (p= 0.0008). Similarly, no important associations were noted between Gr-ECOHIS and maternal health/oral health statuses and dental behaviors (Table 3).

Contrary, strong associations were found between clinical and self-reported measures of oral disease, as presented in Table 4. Clinical assessments of needs for restorations, pulp therapy, or extraction were significantly associated with higher Gr-ECOHIS scores (all p<0.0005). Similarly, worse parental reports of children's oral health status were associated with higher scores, *e.g.*, 'fair-poor' child oral health status: mean= 8.4 (SD=7.1) *versus* 'very good' mean= 1.7 (SD= 3.4) *versus* 'excellent' mean= 0.7 (SD=1.9).

As expected, Gr-ECOHIS scores were also strongly and positively associated with dental caries burden, as determined clinically *via* the dmft index (Table 5). The distribution of dmft scores (mean=2.3, SD=3.3, range=0-13) and their corresponding association with Gr-ECOHIS scores are illustrated in a scatter plot with an overlaid local polynomial smoothing function (Fig. 2). Both the child and the family domain scores were significantly associated with dmft; however, the family domain had the strongest estimate of association. Gr-ECOHIS scores were also positively associated with mothers' oral health-related QoL. Weaker and non-statistically significant associations were found with mothers' dental knowledge and health literacy.

# 4. DISCUSSION

To date, no published data on Greek preschool-age children's oral health-related QoL exist-arguably, because no instrument can assess it. Here, to address this knowledge gap, we report the development and psychometric properties of Gr-ECOHIS. The new instrument can be used for research and public health purposes, as well as for screening for unmet dental needs, and oral health-related child and family impacts [2, 34, 35]. We found that Gr-ECOHIS has good internal consistency and excellent test-retest reliability, similar to the results reported for other language versions of the ECOHIS [13 - 27]. We also found that the index had favorable convergent validity, which we estimated against parents' reports of oral health status, similar to the evaluation of the Brazilian B-ECOHIS [17]. This is an important point, because children's clinically-determined oral health status may not be evident to parents, and may not confer impacts on their QoL unless it is associated with pain, discomfort, functional or esthetic problems. Discriminant validity was demonstrated via the scale's strong association with dental caries burden and objectively (i.e., clinically) determined dental treatment needs.

The results of the present study compare favorably with those of previous reports of similar instruments' development and adaptations. For example, the scale's internal consistency (alpha of 0.85) is within the range of previous ECOHIS adaptations, including the French [13] (0.82), Farsi [15] (0.93), Spanish [18] (0.87), Lithuanian [20] (0.87), Turkish [16] (0.93), Malay [23] (0.83), Kiswahili and Luganda [21] (0.84) versions. Similar findings were also reported for test-retest reliability as well as the child and family sub-scales. Of note, the present study's findings of GrECOHIS' strong association with clinically-determined oral health status (i.e., dmft index) are concordant with previously published studies of international adaptations of ECOHIS [16, 19, 20, 22, 23]. In fact, López Ramos and colleagues [22] reported a similar correlation coefficient between dmft and ECOHIS scores (Spearman's rho 0.56 vs. 0.62 in the present study). It is important to note that although both the child and the family domain scores were significantly associated with dmft, in our sample the overall association was driven predominantly by the family domain, i.e., family domain, rho= 0.69 versus child domain, rho= 0.43. This is not surprising, in light of a recent study that found ECOHIS, and specifically, its family impact subscale is the highest-quality instrument for assessing oral health-related QoL among children [36]. Overall, these

findings are consistent with the notion of childhood dental caries and its treatment affecting and impacting the family as a unit [2].

It must be acknowledged that the specific associations and correlations reported here, albeit statistically robust (i.e., the correlation between dmft and GrECOHIS, rho=0.62) are not necessarily clinically interpretable or significant. We would argue even high magnitude correlations are not always clinically significant, as these associations must be placed in context and evaluated for specific applications. Our effort here does revolve around making inferences regarding clinical inferences from these or other correlation coefficients. Our goal was to perform 'standard' psychometric association tests between a wide array of instruments, variables and constructs to understand the patterns of association of the new instrument with other, well-established measures. The extent to which these associations or correlations translate to clinical significance is a topic that is beyond the scope of our investigation. We suggest that, now that the instrument is developed and validated, future studies can examine its sensitivity and responsiveness to clinical changes, similar to other QoL instruments [37].

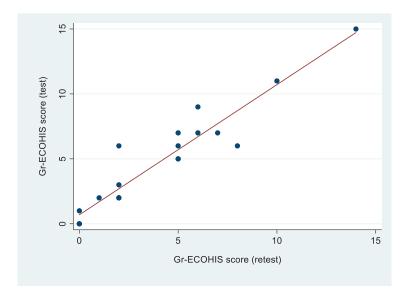


Fig. (1). Results of the GrECOHIS test-retest reliability assessment, illustrating the correspondence between first (test) and second (re-rest, two weeks later) administration. A high degree of agreement was found (Intraclass correlation coefficient=0.97; 95% confidence interval=0.93-0.99).

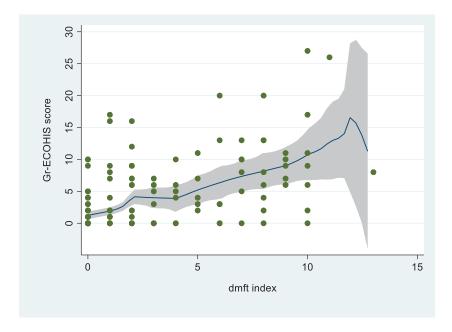


Fig. (2). Association between Gr-ECOHIS scores and dmft index among the 176 child study participants illustrated by a local polynomial smoothing function (blue line) and corresponding 95% confidence intervals (grey shaded area).

Table 1. Distribution of responses to the 13 Gr-ECOHIS items, according to its child and the family domains, among the 176 study participants.

	Never or hardly ever, n (%)	Occasionally, often, or very often, n (%)
Child impacts		
How often has your child had pain in the teeth, mouth or jaws	153 (87)	23 (13)
How often has your childbecause of dental problems or dental treatments?		
had difficulty drinking hot or cold beverages	164 (93)	12 (7)
had difficulty eating some foods	168 (95)	8 (5)
had difficulty pronouncing any words	167 (95)	9 (5)
missed preschool, daycare or school	173 (98)	3 (2)
had trouble sleeping	171 (97)	5 (3)
been irritable or frustrated	163 (93)	13 (7)
avoided smiling or laughing	172 (98)	4 (2)
avoided talking	175 (99)	1 (1)
Child sub-scale ( <i>alpha</i> =0.82), mean=1.5 (SD=3.0), range=0-17		
Family impacts		
How often have you or another family memberbecause of your child's dental problems or treatments?		
been upset	142 (81)	34 (19)
felt guilty	134 (76)	42 (24)
taken time off from work	170 (97)	6 (3)
How often has your child had dental problems or dental treatments that had a financial impact on your family?	137 (78)	39 (22)
Family sub-scale(alpha=0.78), mean=1.9 (SD=2.9), range=0-11		
Entire scale (alpha=0.85), mean=3.4 (SD=5.2), range=0.27		

Table 2. Parents' sociodemographic characteristics and their children's oral health-related quality of life (Gr-ECOHIS) estimates, among the 176 study parent-child dyads.

			ECOHI	s
	n or mean	% or SD	mean (SD)	P*
Entire sample	176	100	3.4 (5.2)	
Education (categorical)				0.2
high school or less	31	18	3.8 (6.5)	
technical college	60	34	3.6 (5.5)	
university	51	29	4.0 (5.2)	
graduate	34	19	1.6 (2.7)	
years (mean, SD)	15	2.6		0.05
Age (years; quartiles)				0.56
Q1 range: 27.6, 35.0	44	2.8	3.4 (5.5)	
Q2 range: 35.1, 37.5	44	0.8	4.0 (5.8)	
Q3 range: 37.5, 39.9	44	1.3	3.7 (5.7)	
Q4 range: 39.9, 56.5	44	6.5	2.5 (3.4)	
years (mean, SD)	38	4.2		0.50
Marital				0.60
single	2	1	6.0 (8.5)	
married	168	95	3.3 (5.1)	
divorced, separated, widowed	6	3	4.8 (7.4)	
Number of children				=0.0008
1	54	31	1.8 (3.3)	
2	102	58	3.6 (5.4)	
3 or more	20	11	6.7 (6.4)	
Foreign-born				0.98

(Table 2) contd.....

			ECOHIS		
	n or mean	% or SD	mean (SD)	P*	
no	167	95	3.4 (5.2)		
yes	9	5	3.3 (4.5)		

SD, standard deviation \*derived from analyses of variance (ANOVA) or linear regression †statistically significant after Bonferroni correction for study-wide multiple testing (31 independent tests).

Table 3. Parents' general and oral health status and dental care seeking attitudes and their association with their children's oral health-related quality of life (Gr-ECOHIS scores) among the 176 study parent-child dyads

			GR-ECOHIS	3
	n	col. %	mean (SD)	P*
Entire sample	176	100	3.4 (5.2)	
Parent's general health status				0.01
Excellent	19	8	2.2 (3.3)	
Very good	98	56	2.6 (4.4)	
Good	45	26	5.3 (6.8)	
Fair-poor	14	11	4.4 (5.3)	
Parent's oral health status				0.28
Excellent	3	2	3.0 (5.2)	
Very good	46	26	2.1 (4.8)	
Good	76	43	3.7 (5.1)	
Fair-poor	51	29	4.0 (5.6)	
Last dental visit timing				0.63
<12 months ago	114	65	3.4 (5.0)	
12-23 months ago	41	23	4.0 (6.5)	
2-5 years ago	14	8	2.8 (3.5)	
>5 years go	5	3	1.0 (1.2)	
don't remember	2	1		
Last dental visit reason				0.59
routine	105	60	3.1 (5.3)	
restorative	43	24	4.0 (4.8)	
problem/pain	28	16	3.7 (5.5)	
Frequency of dental visits				0.81
every 6 months	41	23	3.0 (4.7)	
every year	79	45	3.3 (5.3)	
every 1-2 years	29	17	4.2 (5.6)	
Only when there is a problem	24	14	3.2 (5.6)	
don't remember	3	2		

SD, standard deviation \*derived from analyses of variance (ANOVA).

Table 4. Children's clinically-assessed restorative dental treatment needs, parental reports of their oral health status, estimates of their oral health-related quality of life (Gr-ECOHIS scores).

			GR-ECOHIS		
	n	col. %	mean (SD)	P*	
Entire sample	176	100	3.4 (5.2)		
Clinical measures					
Requires an intra-coronal restoration				<0.0005 <sup>†</sup>	
No	88	50	0.8 (2.1)		
Yes	88	50	6.0 (6.1)		
Requires pulp therapy				<0.0005 <sup>†</sup>	
No	144	82	2.4 (4.3)		
Yes	32	18	7.7 (6.5)		
Requires a tooth extraction				<0.0005 <sup>†</sup>	

(Table 4) contd....

			GR-ECOHIS		
	n	col. %	mean (SD)	P*	
No	169	96	3.1 (4.8)		
Yes	7	4	11.3 (8.3)		
Parental report of child's oral health status				<0.0005 <sup>†</sup>	
Excellent	24	14	0.7 (1.9)		
Very good	54	31	1.7 (3.4)		
Good	62	35	3.0 (4.1)		
Fair-poor	36	20	8.4 (7.1)		

SD, standard deviation \*derived from analyses t-tests †statistically significant after Bonferroni correction for study-wide multiple testing (31 independent tests).

Table 5. Spearman rank correlations of children's oral health-related quality of life (Gr-ECOHIS score) with parents' dental knowledge, OHRQoL (OHIP-14 index) severity of impacts, functional health literacy screener, and children's dental caries burden (decayed, missing due to caries and restored teeth index) among the 176 study parent-child dyads.

	Entire scale		Child domain		Family domain	
	rho	P	rho	P	rho	P
Oral health literacy (GrOHL-20 index)	-0.05	0.52	-0.08	0.33	-0.06	0.47
Functional health literacy index	-0.23	0.004	-0.22	0.006	-0.19	0.02
Dental knowledge index	-0.16	0.05	-0.16	0.04	-0.11	0.16
Oral health-related quality of life (OHIP severity index)	0.29	0.0003	0.23	0.004	0.25	0.002
Dental caries burden (dmft index)	0.62	< 0.0005	0.43	<0.0005	0.69	< 0.0005 <sup>†</sup>

†statistically significant after Bonferroni correction for study-wide multiple testing (31 independent tests).

A limitation of this study is that it included only female respondents-this not uncommon for a study investigating parental perceptions of their children's oral health. Moreover, these mothers were of relatively high education and were recruited from private dental clinics; i.e., these families were seeking restorative or preventive dental care for their children, a characteristic that places them at a higher level of dental use compared to other families that would seek emergency or no dental care at all. One might expect that worse oral health and more QoL impacts would be found among individuals of lower socioeconomic status, not seeking regular dental care, or with higher levels of dental disease. Thus, we recommended that the performance of the new instrument is also tested in the future among non-care seeking families of young children. Future studies may also investigate the equivalence of GrECOHIS with the original ECOHIS, by administering them to perfectly bilingual caregivers of young children. Such investigations, as well as ancillary qualitative studies, may also shed led on any cross-cultural adaptation issues, as this issue was not formally explored as part of this instrument development and assessment study.

# CONCLUSION

The Greek version of the ECOHIS has favorable psychometric properties and can be considered as a valid and reliable measure of oral health-related QoL in preschool-age children. It is a brief and easy-to-use instrument that can be used in clinical and public health settings to distinguish those with worse oral health and most oral health-related QoL impacts, likely in need of dental care. Upon further testing and validation, the scale may also be used in studies evaluating the

effects of various clinical and public health interventions on subjective oral health in this population group.

# LIST OF ABBREVIATIONS

**ECOHIS** = Early Childhood Oral Health Impact Scale

Gr-ECOHIS = Greek version of Early Childhood Oral Health

Impact Scale

**GROHL-20** = Greek language Oral Health Literacy Instrument

**dmft** = decayed, missing due to caries and filled teeth

index

**WHO** = World Health Organization

CIS = Child Impact Scale

FIS = Family Impact Scale

ICC = Intraclass Correlation Coefficient

HLS = Functional Health Literacy Screening

DK = Dental KnowledgeOHBs = Oral Health Behaviors

**OHRQoL** = Oral Health-Related Quality of Life

**OHIP** = Oral Health Impact Profile

**B-ECOHIS** = Brazilian version of the Early Childhood Oral Health Impact Scale

# ETHICS APPROVAL AND CONSENT TO PARTICIPATE

The study received approval from the Ethics Committee of the School of Dentistry, National and Kapodistrian University of Athens, Greece (251A/31-07-2014).

# **HUMAN AND ANIMAL RIGHTS**

Not applicable.

# CONSENT FOR PUBLICATION

All participants provided signed, informed consent.

# AVAILABILITY OF DATA AND MATERIALS

The data used to generate and support the findings of this study are available from the corresponding author [K.T] upon request.

# **FUNDING**

None.

# CONFLICT OF INTEREST

The authors declare that they have no conflict of interest, financial or otherwise.

# **ACKNOWLEDGEMENTS**

KT contributed to the design of the study, collection of the data, management of the data, participated in manuscript preparation. KD contributed to the conception and design of the study, conducted the data analysis, assisted with the interpretation of the results, and writing of the manuscript. KK participated in the design of the study and revised the manuscript critically for intellectual content. HKK participated in the design of the study and revised the manuscript critically for intellectual content. AP contributed to the conception and design of the study, was the lead coordinator of the study, participated in manuscript preparation. All authors read and approved the final version of the manuscript prior to submission.

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