A Spreadsheet-Based Site Specific Risk Assessment Tool for Land-Applied Biosolids

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Abstract: Due to the complexity of risk assessments, models tend to be dense and difficult for users to follow and modify in order to meet their needs. A spreadsheet-based tool, named the Spreadsheet Microbial Assessment of Risk: Tool for Biosolids (SMART Biosolids), has been developed for quantitative microbial risk assessment of land-applied biosolids, which is intended to address these challenges. The model combines spreadsheets with add-in visual basic macros in a rational and supportable manner. Spreadsheets serve as a familiar interface for an archive of relevant inputs for parameter values and references. The exposure model is also encoded in the spreadsheet, which allows users to trace back computations through the model and modify parameters if necessary. Add-in macros are used to implement a nested sampling routine that calls the exposure model encoded in the spreadsheet many times to calculate values for different pathogens and to perform a Monte Carlo uncertainty analysis. An example application finds that adenovirus is the pathogen presenting the highest risk by the groundwater pathway. However, uncertainties are large indicating that additional information on the fate and transport of adenovirus in groundwater would be helpful. The SMART Biosolids model may be useful for informing a number of decisions. Regulators and land application program managers may be able to use the model to review different sites and determine which sites are most appropriate for land application. Researchers may use the model to integrate information and identify key gaps in knowledge warranting future research.

Keywords: Risk assessment, Biosolids land application, Spreadsheet.

1. INTRODUCTION

Biosolids, which is defined as the treated sewage sludge from wastewater treatment plants, has been often used as fertilizer to improve and maintain productive soils and to stimulate plant growth for over 40 years [1]. With the benefits from biosolids, it may contain minerals to contaminate the environment, and also contain pathogens harmful to human health. Quantitative Microbial Risk Assessment (QMRA) for biosolids is a scientifically based approach that using epidemiologic information, dose response models, and exposure data to characterize the probability of human infection due to exposure to land-applied biosolids. To this day, there have been several QMRA studies on the land-applied biosolids, considering exposure scenarios of accidental direct ingestion, aerosol inhalation, groundwater direct ingestion, and contaminated food ingestion [2-8]. It is found that the accidental direct ingestion produced the highest annual risk, inhalation produced the next highest risk, and that risks from groundwater and direct ingestion of contaminated food were low. Due to the fact that risk assessments usually consist of multiple linked modules (e.g. exposure assessment, dose-response, risk characterization), each with their own set of assumptions, inputs, and computations, risk assessment models tend to be dense and poorly documented making it difficult for others to reproduce a risk assessment [9-13].

Spreadsheets have been widely applied to different areas, such as environmental modeling [14-16], epidemiology [17, 18], and others [19-21]. There are a number of applications of spreadsheets in microbial risk assessment, most of which are in the area of food safety [22-24]. Several studies use spreadsheets to develop individual parts of the quantitative microbial risk assessment, such as modeling initial concentrations [25], dose-response relationships [26], pathogen transmission dynamics [27], and risk ranking [28]. While there are several well-developed spreadsheet-based environmental fate and transport models [16, 29-31], to date, there are no available comprehensive spreadsheet models that link quantitative microbial risk assessment with microbial fate and transport modeling. Use of spreadsheets in QMRA provides a visual layout of the model, which hides detailed computations initially but also allows the inputs for each computation to be traced back to their source, and it may improve the user’s ability to follow and modify the model.
2. METHODOLOGY

This paper describes a computational model, named The Spreadsheet Microbial Assessment of Risk: Tool for Biosolids (SMART Biosolids), including its spreadsheet interface and add-in macros. SMART Biosolids model estimates risk associated with exposure to pathogens from land-applied biosolids through five pathways (Fig. 1). These five pathways were identified through previous research efforts that developed a framework for microbial risk assessment from land-applied biosolids [6, 32, 33]. SMART Biosolids model assesses risk to highly exposed individuals, such as residents whose homes border land application sites. This is in keeping with the National Research Council recommendation that biosolids risk assessments should focus on highly exposed individuals [34]. The environmental fate and transport models associated with each of the exposure pathways are computed in Microsoft Excel [35]. Each of the exposure pathway models is described briefly below.

Inhalation of aerosols from land application sites is modeled by superposition of Gaussian plume dispersion models from different locations on a grid representing the field where the land application is taking place [36-38]. Consumption of groundwater affected by land-applied biosolids is modeled by first using a Green-Ampt model to determine the depth of the wetting front associated with any wet weather events [39-41], and then a series of one-dimensional advection-dispersion models [42, 43] are used to describe transport through the soil. A microbial transport model for saturated media is used to describe vertical transport of microbes to the end of the wetting front, and then a transport model for unsaturated media is used to describe vertical transport to the water table (this step is skipped if the wetting front extends to the water table). Finally the saturated media transport model is used to describe horizontally from the field to a down gradient well. Contributions from different locations in the field are superimposed to obtain the net concentrations at the well. Direct ingestion of biosolids-amended soils is modeled by allowing for first order decay of applied microorganisms followed by the use of standard exposure factors for incidental ingestion of soil [44]. Consumption of water contaminated by runoff from a land application site is modeled first estimating runoff from wet weather events using the Green-Ampt infiltration model [39-41]. Then the Revised Universal Soil Loss Equation [45] is revised and used in a finite difference approach to track net eroded vs. deposited soil (with associated biosolids) over a one-dimensional flow path. Transport of free microbes (those not associated with soil particles) is tracked separately. Both free and soil-associated microbes are assumed to runoff to a pond with human exposure occurring by full-contact recreation in the pond. Ingestion of plants impacted by land-applied biosolids is modeled by assuming that the runoff goes into an adjoining field with a portion retained by the leaves of a lettuce plant. First order decay is modeled between the time of contamination and consumption. For all models standard exposure factors [44] and literature dose-response models are used to calculate risks based on the environmental concentrations estimated from the different pathways models.

The general assumptions considered in this tool are listed below. Assumption specific to the five pathways are described in Galada et al., 2012 [35].
2.1. User-Friendliness of Spreadsheet

The exposure pathways, which are the most complicated part of the model, are coded in the spreadsheet. The use of a spreadsheet allows the advanced user to trace the computations and modify parameters, and even change mathematical algorithms as desired. Spreadsheets provide a readily accessible platform for the storage of the many parameters required by the model. User-friendliness was tested by professionals in the biosolids field who had no background in statistics or risk assessment.

2.2. Loop Calculation by Visual Basic Macros

The exposure models are complex and require that a substantial amount of information be kept in working memory in order to have these computations available for the user in the spreadsheet environment. For example, superposition is used to estimate the cumulative effect of spatially dispersed sources for the air and groundwater pathways and a finite difference approach is used to track sediment erosion and deposition over a flow path for the surface water model. The same exposure model is used multiple times with different parameters and different possible input values (that is, Monte Carlo uncertainty analysis is performed for each organism). Unfortunately commercially available Monte Carlo add-ins (e.g. @risk, Crystal Ball) do not readily support nested sampling. Thus, it would be necessary to conduct separate analyses for each microorganism (i.e. keep separate copies of the exposure model for each microorganism in the spreadsheet). The approach taken here is to use Visual Basic macros to execute a loop that cycles through all 28 pathogens of concern. This loop is nested inside a Monte Carlo uncertainty analysis (Fig. 2). This cuts down on the amount of material in working memory by limiting the spreadsheet coding to a single copy of the exposure model. The Visual Basic code is readily viewable and can be edited by the advanced user.

2.3. Default Values in the Tool

The tools serve as a knowledge source and repository, not just a model. Citations with author names and dates are marked next to the parameters in the spreadsheet, and full references are listed at bottom of each sheet. This provides users with the ability to evaluate the technical basis of each of the model parameters and update the model as new information becomes available.

Numerous published researches on microbial fate and transport from biosolids were evaluated to identify both averages and ranges of factors [3, 4, 46], such as occurrence of pathogens in treated Class B biosolids, persistence of pathogens in the environment, and the probability of infection from exposure to a specified pathogen. The default inputs for the site-specific conditions do not correspond to a particular site but were developed after consideration of typical applications observed in Michigan [35]. The user has the flexibility to overwrite or revise these values. The default rainfall intensity and duration is determined by the rainfalls producing maximum infiltration and runoff depths [47]. Details on model inputs are provided in Table 1. A detailed explanation of the input parameters stored in the spreadsheet can be found in Galada et al., 2012 [35].

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Fig. (2). Flowchart of the SMART Biosolids model.

1) Land-applied biosolids are assumed to be the only source of pathogens in this work;

2) The model assesses the risk to humans only;

3) For a given biosolids-associated pathogen, exposures from different media are independent of each other (this enables risks to be aggregated across pathogens in a straightforward manner);

4) Exposures to different biosolids-associated pathogens are independent of each other;

5) Exposure factors for different routes and subpopulations are based on values from the EPA Exposure Factor Handbook [44];

6) When a dose-response model is not available for the inhalation route, an ingestion-based dose-response model is used with ingested dose equal to 50% of the inhaled dose [4]. This is a rough estimation of the fraction of microbes that deposit in the upper respiratory system during inhalation and are transferred to the digestive system through the mucociliary escalator.

The two different components, spreadsheet and Visual Basic macros, are used in a rational and supportable manner.
Table 1. Site-specific Input Parameters

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
<th>Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>Time of start of rain after biosolids application</td>
<td>0</td>
<td>days</td>
</tr>
<tr>
<td>Temperature</td>
<td>83</td>
<td>Fahrenheit</td>
</tr>
<tr>
<td>Soil texture class</td>
<td>sandy_loam</td>
<td>-</td>
</tr>
<tr>
<td>Area of application site</td>
<td>625</td>
<td>Acre</td>
</tr>
<tr>
<td>Slope of the plot</td>
<td>4.00</td>
<td>%</td>
</tr>
<tr>
<td>Application method</td>
<td>Slinger</td>
<td>None</td>
</tr>
<tr>
<td>Biosolids application rate</td>
<td>2.57</td>
<td>dry tons biosolids/acre</td>
</tr>
<tr>
<td>Water table depth</td>
<td>3</td>
<td>ft</td>
</tr>
<tr>
<td>Distance to well</td>
<td>100</td>
<td>ft</td>
</tr>
<tr>
<td>Hydraulic gradient</td>
<td>0.04</td>
<td>-</td>
</tr>
<tr>
<td>Computational reporting threshold</td>
<td>1.00E-20</td>
<td>Lowest level of risk reported</td>
</tr>
<tr>
<td>Annual precipitation</td>
<td>18</td>
<td>inches</td>
</tr>
<tr>
<td>Maximum 30-minute intensity</td>
<td>NA</td>
<td>in./h</td>
</tr>
<tr>
<td>Does rainfall occur after land-incorporation of biosolids?</td>
<td>Yes</td>
<td>-</td>
</tr>
<tr>
<td>Rainfall rate</td>
<td>7.3</td>
<td>cm/h</td>
</tr>
<tr>
<td>Rainfall duration time</td>
<td>1</td>
<td>h</td>
</tr>
<tr>
<td>Total length of the field</td>
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<td>ft</td>
</tr>
<tr>
<td>Width of the field</td>
<td>5248.23</td>
<td>ft</td>
</tr>
</tbody>
</table>

3. RESULTS AND DISCUSSIONS

Example results of the SMART Biosolids model are presented to demonstrate how the model enables comparisons of risk across pathogens, comparisons across the five exposure pathways, and the identification of key uncertainties. The model required 80 minutes on a personal computer to finish a 1000-iteration Monte Carlo uncertainty analysis. Fig. (3) and Table 2 shows the estimated risks (with uncertainties) for different organisms for exposure via five pathways. The risks produced across pathways are ranked, in descending order, as soil, surface water, vegetable ingestion, air, and groundwater. The soil pathway produced the highest risks. All the other four pathways produce nominal risks lower than 1×10⁻³. Looking at the upper bound of the risk estimates, the risk of minor illness produced by adenoviruses is 2.43×10⁻³. Although this is the estimation of cumulative risk over time for one single application event, it exceeds the 1 in 10,000 benchmark associated with reported annual microbial risk from U.S. drinking water supplies [35]. The risks of minor or major illness by the other five pathogens do not exceed the 1 in 10,000 benchmark. In this case the model uncertainties are large and noteworthy, which is due to the complexities of the exposure model and many uncertain input parameters, such as occurrence data and microbial decay rates [48]. Further research could be directed towards studying the occurrence and transport of adenoviruses, which have both a high nominal risk estimate and very substantial uncertainty. Similar plots can be prepared to contrast risks from different pathogens across the remaining four exposure pathways [35].

The results were comparing to Eisenberg et al. (2006)’s study, which is the only study developed the framework for risks from groundwater consumption of all the published QMRA studies [33]. The estimated single-event risks by Eisenberg et al. (2006) (2×10⁻⁴ as nominal value and 4.2×10⁻³ as the 95th percentile of upper bound) are higher than estimates of risks in this paper (0 as nominal value and 7.98×10⁻¹⁰ as the 95th percentile of upper bound). One of the reasons is higher occurrence numbers (log-normal distribution with mean=1.13 and standard deviation=2.17, unit in PFU/g) were used in Eisenberg et al.’s research. The occurrence information in SMART Biosolids model (log-normal distribution with mean=0.105 and standard deviation=0.2, unit in PFU/g) is more recent [49]. Another important reason for the higher risk estimation from Eisenberg et al.’s study is they used the rotaviruses dose-response models for risk estimates of enteroviruses. It was reported that the exponential parameters ingestion dose-response parameters are 0.62 for rotavirus and 0.002 for enteroviruses [3, 50-52]. The discrepancy of the risk estimates is also due to the differences in the scenarios assumed in the two studies. The SMART Biosolids model does a more through estimation by considering the effects of rainfall event. The predicted effect of porous media for groundwater transport is much protective (longer transport distance in unsaturated soil and with consideration
of horizontal transport to well) than the scenario assumed by Eisenberg et al. (2006).

Like many risk models, the SMART Biosolids model requires numerous assumptions. Several key assumptions for the groundwater pathway include the use of homogeneous media transport models and the use of a fixed desorption fraction to describe the release of pathogens from the solid phase to the aqueous phase. More details of model assumptions are provided in the manual [35]. In general the approach has been to be conservative, that is, to err on the side of overestimating risk. Nevertheless, the impact of different model structural assumptions is not always clear, and model risk estimates may not be health protective in all cases. The default data in the spreadsheet model came from various sources and may not be universally applicable. The model is able to quantify risks for six pathogens: Giardia, Cryptosporidium, Salmonella, Shigella, enterovirus, and adenovirus. The occurrence of other pathogens in biosolids was either too low to be reliably quantified or lacking altogether.

At the same time, the user should always be mindful that there are several inherent limitations of spreadsheets [53]: Spreadsheet tools are easy to modify, but leave no trail to
identify changes; formulas are expressed in column and row labels and need to be located to understand; simple spreadsheet errors can compromise parts of the spreadsheet model. In order to avoid these problems, there is a “restore” option that can reset the data to default inputs.

4. CONCLUSIONS

This paper describes a tool, the SMART Biosolids model, which links quantitative microbial risk assessment with microbial fate and transport modeling. The model combines spreadsheets with add-in visual basic macros in a rational and supportive manner. The spreadsheet format provides a flexible and familiar interface and serves as an archive for parameters with associated references. Add-in macros are used to perform the many repeated computations required to perform Monte Carlo uncertainty analysis for multiple pathogens. The tool successfully integrates available knowledge and in the process identifies gaps in existing knowledge for which future research is warranted. Thus, quantified occurrence levels of additional pathogens can extend the model and allow for risk estimates to be obtained for a broader set of pathogens.

REFERENCES


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