State-Dependent Riccati Equation Control for Motion Planning of Space Manipulator Carrying a Heavy Payload

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Abstract: In this paper, a nonlinear optimal control approach is proposed to plan the motion of a redundant free-floating space manipulator (FFSM) when carrying a heavy payload. Optimal joint trajectories are determined to track a desired end-effector path, for which limitations of the manipulator’s load-carrying capacity and tracking accuracy are simultaneously considered. In this method, FFSM is described as a nonlinear system using the dynamics equation. The integrated performance indicator is proposed as the cost function, which includes tracking error punishment of the end-effector, joint-torques optimization, total energy improvement and instability avoidance of the base. Then the state-dependent Riccati equation (SDRE) is established and solved by Taylor series approximation method. The motion planning algorithm is presented, subject to multi-constraints. Simulations are performed for a 7-DOF space manipulator and the results are discussed to illustrate the effectiveness of the proposed approach.

Keywords: Free-floating space manipulator, load-carrying capacity, motion planning, optimal control, trajectory tracking.

1. INTRODUCTION

With the rapid progress of space technology, especially the successful application of spacecrafts, space manipulators are becoming indispensable for load-carrying operations of large structures during on-orbit construction, maintenance and service [1, 2]. In the free-floating situation, the payload with high mass and inertia tensor may not only challenge joint’s drive capability capacity, but also can cause instability of the base [3]. Considering that load-carrying capacity of manipulators always depends on the dynamic motion [4], proper joint trajectories are needed to be planned for a predefined path of the end-effector when carrying a heavy payload.

For the purpose of obtaining trajectory tracking strategy for FFSM, Chu et al. [5] proposed an adaptive disturbance-observer-based output feedback control method to accomplish robust tracking control of an electrically driven free-floating space manipulator. Wang et al. [6] introduced a prediction error based adaptive Jacobian controller into task-space trajectory tracking control for free-floating space robots, for which stability results and asymptotic convergence of the end-effector motion tracking errors were obtained. These studies primarily focused on adjusting the manipulators’ motion quickly and stably to satisfy the tracking accuracy requirements, without concerning the dynamic characteristics of FFSM under the influence of payload. Considering the vibration suppression and trajectory tracking of a free-floating space rigid-flexible coupling manipulator with a rigid payload, the composite control approach which met the requirements of two respects was proposed [7], and the grasping force between the end-effector and the payload was taken into account in this study. However, when carrying a heavy along the given path (the motion of the payload is confirmed), bigger inertia forces/torques need to be provided by the end-effector. Hence, the limitation of load-carrying capacity for FFSM and the trajectory tracking problem are both needed to be considered when selecting proper motions of the joints.

Considering space manipulator as a dynamic system, Jia et al. [8] derived Riccati equation to solve trajectory tracking problem of a fixed-base flexible space manipulator with large payloads, the dynamics equation of which can be linearized [9]. Nonlinear control methods were also employed: Korayem et al. [10] formulated maximum payload path planning problem as a two-point boundary value problem, the optimal joint trajectories of a two-link mobile manipulator to track a given end-effector path were determined; Similar method was adopted to solve optimal trajectory planning problem of a flexible cable-suspended manipulator [11]; A nonlinear optimal feedback control law (which was solved using generalized Hamilton-Jacobi-Bellman differential equations) was designed to find the maximum load-carrying capacity and corresponding motion of a Puma robot [12]. These studies were carried out for certain ground manipulators, and the dynamics equations were easily established in analytical form. However, for FFSM, the dynamic equation becomes more complicated and nonlinear in the free-floating situation, and the tracking accuracy is not only related to the current joint states but also influenced by the previous joint movements [13]. Moreover, only the constraints of joint actuator capacity and tracking accuracy were emphasized in the mentioned studies. When carrying a heavy payload, instability of the base and greater energy consumption [14] absolutely cannot be ignored. Considering that the nonlinear optimal control using SDRE is an efficient approach for the motion planning problem of...
manipulator systems which have a large number of DOF and multiple objects [15, 16], it is employed in this paper.

In this paper, determining the optimal joint trajectories of FFSM is solved by using SDRE. In Section 2, the dynamics equation is formed and rewritten to describe the nonlinear system. Section 3 formulates motion planning as a nonlinear optimal control problem and establishes the state-dependant Riccati equation. Taylor series approximation method is employed in Section 4, and the motion planning algorithm concerning multi-constraints is explained. Section 5 shows the simulation results of a 7-DOF space manipulator with a heavy payload. Section 6 presents the conclusions of this study.

2. MODELLING OF FFSM WITH A PAYLOAD

As shown in Fig. (1), the system is composed of \( n + 2 \) rigid bodies, adjacent bodies are connected by revolute joints, and the payload is attached to the end-effector.

The symbols are defined as follows:

\( \Sigma_j \) : inertial frame, which is the reference system if not specifically indicated;

\( \Sigma_b \) : the base frame of the system;

\( \Sigma_k \) : the \( k \) th link frame of the manipulator;

\( \Sigma_e \) : the end-effector frame of the manipulator;

\( J_k \) : joint \( k \) of the manipulator;

\( C_k \) : mass center of link \( k \);

\( p_k \) : vector from \( \Sigma_k \) to \( C_k \);

\( m_k \) : mass of link \( k \);

\( I_k \) : inertia tensor of link \( k \) with respect to its mass center;

\( x_e \) : pose (position and orientation) of the end-effector, \( \dot{x}_e = [v^e, \omega^e]^T \in \mathbb{R}^6 \);

\( \dot{x}_b \) : pose of the base, \( \dot{I}_{12} \);

\( \theta_k \) : joint angle of joint \( k \), \( \theta = [\theta_1, \theta_2, \cdots, \theta_n]^T \in \mathbb{R}^n \);

\( \tau \) : external forces/torques on the base \( F_b \) and the end-effector \( F_e \) are assumed zero, motion of the system is governed by only joint torques, and hence the momenta of the system are constant. Then we have:

\[
H_b \dot{x}_b + H_m \dot{q} = 0
\]

(2)

The kinematics equation of free-floating space manipulators can be written as [17]:

\[
\dot{x}_e = J_b \dot{x}_b + \dot{J}_b \dot{q}
\]

(3)

where \( J_b \in \mathbb{R}^{6} \) and \( J_m \in \mathbb{R}^{6n} \) denote Jacobian matrix of the base and the manipulator, respectively. According to Eq. (2) and Eq. (3), we can obtain that:

\[
\dot{x}_b = J_{be} \dot{q} \cdot \dot{x}_e = J_{\text{float}} \dot{q}
\]

(4)

where \( J_{\text{be}} = -H_b^{-1} H_m \in \mathbb{R}^{6n} \) denotes the relationship between joint angular velocity and base velocity, and \( J_{\text{float}} = J_m + J_b J_{\text{be}} \in \mathbb{R}^{6n} \) denotes the generalized Jacobian matrix of the system.

Separate Eq. (1) and Eliminate \( \dot{x}_b \), we can obtain:

\[
H^* \dot{q} + c^*(q, \dot{q}) = \tau
\]

(5)

where \( H^* = H_m - H_m^T H_b^T H_b H_m \) is the generalized inertial tensor; \( c^* = c_m - H_m^T H_b^T c_b \) is the velocity-dependent nonlinear term, which is the function of \( q \) and \( \dot{q} \).

3. PROBLEM FORMULATION OF OPTIMAL MOTION PLANNING

Consider FFSM as a nonlinear dynamic system and define \( X = [X_1, X_2] = [q, \dot{q}] \in \mathbb{R}^{2nc} \) as the state vector. According to Eq. (5) we have:

\[
\dot{X}_1 = X_2 = [H^*(q)]^{-1} [\tau_m - c^*(q, \dot{q})]
\]

(6)
Then the dynamics equation is expressed as the following form of state equation:

\[
\dot{X} = f(X(t),u(t),t) = f(X(t)) + B(X(t))u(t)
\]

where \(u(t) = [u_1(t), \ldots, u_n(t)] = \tau \in \mathbb{R}^{n \times 1}\) denotes the control vector.

We define \(X_{\text{q,des}} \in \mathbb{R}^{2n}\) as desired state of the joints, the state error is:

\[
e(t) = X_{\text{q,des}}(t) - X(t)
\]

Considering limitations of load-carrying capacity and tracking accuracy, the following integrated performance indicator is given as cost function:

\[
J = \int_0^T [e^T Q e + u^T R u + \dot{\epsilon}^T S \dot{\epsilon}] dt
\]

This cost function is a dynamic index which consists of three parts: the first part represents the punishment for pose tracking error of the end-effector; the second part is introduced to balance joint torque optimization with improvement of total energy involved during the load-carrying process; the third part is considered for instability of the base. \(Q(t) \in \mathbb{R}^{2n} \times \mathbb{R}^{n \times n}\) and \(S(t) \in \mathbb{R}^n\) are both positive semi-definite matrices, while \(R(t) \in \mathbb{R}^{n \times n}\) is positive definite. \(Q\), \(R\) and \(S\) are diagonal weighting matrices, whose corresponding elements are large when certain boundaries of the constraints are nearly to be reached, and small otherwise.

Considering that motion of the base is caused by joint movement in the free-floating situation, which means that the state of the base is related to state vector. In state space, we find:

\[
\begin{align*}
X_{\text{b}} &= C(X)X \\
C(X) &= \begin{bmatrix} O_n & O_n \\
O_n & J_{\text{des}}(X) \end{bmatrix}
\end{align*}
\]

Define \(\dot{S}(X) = C(X)S(t)C(X) \in \mathbb{R}^6\), then the cost function becomes:

\[
\begin{align*}
J &= \int_0^T [F(X(t),u(t),t)] dt \\
F &= e^T(t)Q(t)e(t) + u^T(t)R(t)u(t) + X^T \dot{S}(X)X
\end{align*}
\]

Considering the nonlinearity and strong coupling of the dynamics equations, the state-dependent Riccati equation is applied to solve the mentioned nonlinear optimal control problem. It was proved that the optimal control law can be derived as follows [18]:

\[
u(t) = -R^{-1}(t)B^T[X(t)]\dot{\lambda}(t)
\]

where \(\lambda \in \mathbb{R}^{2n}\) is the costate vector, Considering that \(f[X(t)]\) is a nonlinear function of \(X\), we define:

\[
f(X) = A(X)X
\]

Similarly, we have:

\[
\dot{\lambda} = K(X)X
\]

\(K(X)\) is the unique solution of SDRE, which is formed as follows:

\[
KA + A^T K - KBR^{-1} BK + Q + \dot{S} = 0
\]

\section{4. SOLVE OPTIMAL CONTROL PROBLEM USING SDRE}

Exact solution of SDRE is very difficult to solve analytically for any but the simplest systems [19]. In order to obtain the numerical solution of SDRE, Taylor series approximation method is introduced in this section.

\subsection{4.1. Taylor Series Approximation Method}

Considering that \(A(X)\), \(B(X)\) and \(\dot{S}(X)\) are state-dependent, they are rewritten in the following form:

\[
\begin{align*}
A(X) &= A_0 + \varepsilon \Delta A(X) \\
B(X) &= B_0 + \varepsilon \Delta B(X) \\
\dot{S}(X) &= \dot{S}_0 + \varepsilon \dot{\Delta S}(X)
\end{align*}
\]

where \(A_0\), \(B_0\) and \(\dot{\Delta S}_0\) denote the constant part, \(\Delta A(X)\), \(\Delta B(X)\) and \(\dot{\Delta S}(X)\) denote variable part, \(\varepsilon\) is temporary variable.

\(K(X)\) can be regarded as expansion of a Taylor series as follows:

\[
K(X, \varepsilon) = \sum_{n=0}^{\infty} \frac{\varepsilon^n L_n(X) = K(X) [\varepsilon_0 + \frac{\partial K(X)}{\partial \varepsilon}] [\varepsilon_0 \varepsilon^2 + \cdots]}
\]

Substitute Eq. (16) and Eq. (17) into SDRE, then match terms involving the same powers of \(\varepsilon\), the iterative equations can be obtained:
According to the state equation, \( A(X) \) can be expressed as:

\[
A(X) = \begin{bmatrix} O_n & E_n \\ O_n & O_n \end{bmatrix}(H^*)^Lc(X_1, X_2)X^* 
\]

(19)

We choose \( A_i = \begin{bmatrix} O_n & E_n \\ O_n & O_n \end{bmatrix} \) and specify \( \Delta A(X) \) as:

\[
\Delta A(X) = \sum_{i=1}^n f_i(X)\Delta A_i_c 
\]

(20)

where \( f_1(X), f_2(X), \ldots, f_n(X) \) are different nonlinear terms of \( A(X) \), \( (\Delta A_i)_c \) denotes constant matrix. Similarly, we specify:

\[
\Delta B(X) = \sum_{i=1}^n g_i(X)(\Delta B_i)_c 
\]

\[
\Delta \hat{\Delta}(X) = \sum_{i=1}^n h_i(X)(\Delta \hat{\Delta})_c 
\]

(21)

Using two terms of SDRE, we have:

\[
L_i = \sum_{i=1}^n f_i(X)(L_i)_c + \sum_{i=1}^n g_i(X)(L_i)_c + \sum_{i=1}^n h_i(X)(L_i)_c 
\]

(22)

According to Eq.(18), \( L_0 \) and \( \theta_e(0) \) can be easily obtained by computing the following equations:

\[
L_0A_0 + A_0^TL_0 - L_0B_0R^{-1}B_0^TL_0 + Q + C^TS^TSC = 0 \\
(L_i)_c(A_0 - B_0^TR_i^TB_0^TL_0) + (A_0^T - L_0B_0^TR_i^TB_0^TL_0)(L_i)_c \\
+ L_0(\Delta \hat{\Delta})_c + (\Delta \hat{\Delta})_cL_0 = 0 (i=1,2,\ldots,n_i) \\
(L_i)_c(A_0 - B_0^TR_i^TB_0^TL_0) + (A_0^T - L_0B_0^TR_i^TB_0^TL_0)(L_i)_c \\
+ (\Delta \hat{\Delta})_c(\Delta \hat{\Delta})^T_0 = 0 (i=1,2,\ldots,n_i) 
\]

(23)

Finally, the optimal control law is (\( \varepsilon = 1 \)):

\[
u_n(X) = -R^TB^T(L_0 + L_1)X
\]

(24)

4.2. Algorithm Flow of Motion Planning

In order to track desired pose of the end-effector in task space, the state of the end-effector is needed to be tracked in state space. Using Eq. (4), we have:

\[
x_e(t + \Delta t) = x_e(t) + \dot{x}_e(t)\Delta t \\
= f_{line}[x_e(t), \dot{x}_e(t)] + J_{p_daw}(t)\dot{q}(t)\Delta t
\]

(25)

where \( f_{line} \) is defined as the forward kinematics function. According to Eq. (4), pose of base can be formulated as

\[
x_e(t) = \int_0^t \{ J_{p_daw}(q(t))\dot{q}(t) \} dt, \text{ then Eq. (25) can be rewritten as the form of transfer function:}
\]

\[
x_e(t + \Delta t) = F_{line}[q(t), \dot{q}(t)]
\]

(26)

where \( F_{line} \) denotes the corresponding function of \( f_{line} \) in state space. Then state transition equation is:

\[
X_e(t) = F_{line}[X_e(t - \Delta t)]
\]

(27)

Eq. (27) indicates that \( X_e(t) \) is determined by \( X_e(t_o), X_e(t_o + \Delta t), \ldots, X_e(t) \). We define \( X_{e,dev} \in R^6 \) as the desired state of the end-effector. To make sure that the FFSM can carry the heavy along the predefined trajectory within sufficient accuracy, the following constraint is given by:

\[
\| E(t) \| \leq \delta_e, \quad E(t) = X_{e,dev}(t) - X_e(t) = [x, y, z, \alpha, \beta, \gamma]^T
\]

(28)

where \( \delta_e \) denotes allowable tracking error of the end-effector. According to this, the elements \( Q_e(i=1,2,\ldots,n) \) of the weight matrix \( Q \) are needed to be adjusted when Eq. (28) is not satisfied.

Another main constraint which must be considered during load-carrying process is instability of the base. Define positive rational numbers \( \omega_{\alpha}^{max} \), \( \omega_{\alpha}^{min} \) and \( \omega_{\beta}^{max} \) as the limitations of the base angular velocity, then the weight matrix \( S \) is decided as follows:

\[
S_{a1} = \xi_5/\left(\omega_{\alpha}^{max} - \max\{\omega_{\alpha}(t)\}\right) \\
S_{a2} = \xi_5/\left(\omega_{\alpha}^{min} - \max\{\omega_{\alpha}(t)\}\right) \\
S_{a3} = \xi_5/\left(\omega_{\beta}^{max} - \max\{\omega_{\beta}(t)\}\right)
\]

(29)

Considering the limitation of joint torque, the weight matrix \( R \) is time-varying, which can be given as:

\[
R_{\alpha}(t) = \xi_5/\min\{[u(t) - u_{\alpha}^{min}]^2, [u(t) - u_{\alpha}^{min}]^2\}
\]

(30)

where \( \xi_5 \) and \( \xi_5 \) are both weighting coefficients.

The optimal motion for FFSM when carrying a heavy payload is determined using the algorithm as shown in Fig. (2). The proper desired trajectory is selected to meet the load-carrying requirement, and then the nonlinear optimal control is applied. The obtained optimal control law is used to compute the actual state trajectory. The motion planning can be finally solved if the constraints are satisfied, or else the weight matrices are needed to be adjusted, subject to tracking accuracy of the end-effector, drive capability of the joints and attitude stability of the base.

5. SIMULATION RESULTS

In this case study, a seven link space manipulator mounted on a base is considered, \( a_3 = a_4 = 5.8m \),
are given in Fig. (3) and the relative parameters are listed in Tables 1 and 2. Pose of \( \sum_i \) is \([-0.2m, 0m, 2m, 0', 0', 0'] \) with respect to \( \sum_b \) as shown in Fig. (3).

Simulations are performed to find optimal trajectories for the end-effector in task space. Desired trajectory of the end-effector is shown in Fig. (4) and the corresponding joint trajectories are given in Fig. (5). Initial pose of the base is assumed as \( x_b(t_0) = [0, 0, 0, 0, 0, 0]^T \); total time of load-carrying process is 120s; initial joint angles are set as \( q_{\text{init}} = [-20^\circ, 0, -10^\circ, -140^\circ, 110^\circ, 155^\circ, 70^\circ] \); parameters of the heavy payload are set as: \( m_{\text{load}} = 2.5e + 04 \text{kg} \), \( I_{xx} = I_{zz} = 6.34e + 05 \text{kg.m}^2 \), \( I_{yy} = 6.36e + 04 \text{kg.m}^2 \); the absolute angular velocity of the base is limited as \( 1'/s \); \( \delta_e = 2.5 \times 10^{-3} \).

Using the optimal control algorithm proposed in Section 4, the tracking errors of the end-effector are computed according to Eq. (28) and plotted in Fig. (6). Maximum position/orientation error of end-effector are 0.0167 m and 1.078°, respectively. \( \|E(t)\|_{\text{max}} = 2.1224 \times 10^{-3} (t \in [t_0, t_f]) \) satisfies the constrain condition. Finally absolute position/orientation error of the end-effector is \([0.0039m, 0.0040m, 0.0170m, 0.3926°, 0.4061°, 0.2086°] \), the deviations are acceptable.

### Table 1. D-H parameters of the 7-DOF FFSM.

<table>
<thead>
<tr>
<th>( \text{Link} i )</th>
<th>( \theta_i (\circ) )</th>
<th>( d_i (\text{m}) )</th>
<th>( a_{ij} (\text{m}) )</th>
<th>( \alpha_{ij} (\circ) )</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>( \theta_i(0) )</td>
<td>( d_i )</td>
<td>0</td>
<td>90</td>
</tr>
<tr>
<td>2</td>
<td>( \theta_i(90) )</td>
<td>( d_i )</td>
<td>0</td>
<td>-90</td>
</tr>
<tr>
<td>3</td>
<td>( \theta_i(0) )</td>
<td>0</td>
<td>( a_s )</td>
<td>0</td>
</tr>
<tr>
<td>4</td>
<td>( \theta_i(0) )</td>
<td>( d_i + d_i + d_i )</td>
<td>( a_s )</td>
<td>0</td>
</tr>
<tr>
<td>5</td>
<td>( \theta_i(0) )</td>
<td>0</td>
<td>0</td>
<td>90</td>
</tr>
<tr>
<td>6</td>
<td>( \theta_i(-90) )</td>
<td>( d_i )</td>
<td>0</td>
<td>-90</td>
</tr>
<tr>
<td>7</td>
<td>( \theta_i(0) )</td>
<td>( d_i )</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

Fig. (2). Flow chart of motion planning algorithm.

Fig. (3). 7-DOF free-floating space manipulator.

Fig. (4). Desired/actual trajectory of end-effector.
Table 2. Dynamics parameters of 7-DOF space manipulator.

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Base</th>
<th>Link1</th>
<th>Link2</th>
<th>Link3</th>
<th>Link 4</th>
<th>Link 5</th>
<th>Link 6</th>
<th>Link 7</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mass/(kg)</td>
<td>7.5e+04</td>
<td>30</td>
<td>30</td>
<td>70</td>
<td>75</td>
<td>30</td>
<td>30</td>
<td>40</td>
</tr>
<tr>
<td>$I_p/(m^2)$</td>
<td>0</td>
<td>0</td>
<td>-0.265</td>
<td>2.9</td>
<td>2.7</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>$I_x/(kg.m^2)$</td>
<td>7.5e+04</td>
<td>0.98</td>
<td>0.57</td>
<td>1.32</td>
<td>1.91</td>
<td>0.98</td>
<td>0.98</td>
<td>5.18</td>
</tr>
<tr>
<td>$I_y/(kg.m^2)$</td>
<td>7.5e+04</td>
<td>0.57</td>
<td>0.98</td>
<td>197.2</td>
<td>243.4</td>
<td>0.98</td>
<td>0.98</td>
<td>5.18</td>
</tr>
<tr>
<td>$I_z/(kg.m^2)$</td>
<td>7.5e+04</td>
<td>0.98</td>
<td>0.98</td>
<td>197.2</td>
<td>242.9</td>
<td>0.57</td>
<td>0.57</td>
<td>0.75</td>
</tr>
</tbody>
</table>

\[ E = \sum_{j=1}^{n} \int_{t_0}^{t_f} [\dot{q}_j(t)\tau_j(t)]^2 \, dt \]  

(31)

Fig. (5). Desired trajectories of the joints.

(a) Position errors

(b) Orientation errors

Based on this, through the computation and comparison, we find out that $E_{\text{actual}} = 82.01\% E_{\text{desired}}$. Using the optimal control method, the total energy decreased by about 18 percent. Fig. (9) shows the base angular velocities, which illustrates that angular velocity limits of the base is satisfied. Meanwhile, $\tau_j(t_f) = 0$ and $\max \{\dot{q}_j(t_f)\} = 0.0931/\text{s}$, self-

motion of manipulator at the final state is very small, which facilitates the practical control.

Fig. (6). Tracking errors of the end-effector.

Fig. (7). Joint torques (along desired trajectories).

**CONCLUSION**

In this paper, the free-floating space manipulator is considered as a nonlinear system, and motion planning problem is solved using nonlinear optimal control method. In the proposed approach, improvement of joint torques and total energy involved in the trajectory tracking process are
considered for limitation of load-carrying capacity. In order to track the predefined path in task space and achieve the goal of avoiding instability of the base, both tracking accuracy of the end-effector pose and angular velocity control are included in the cost function. SDRE is derived, and optimal control law is obtained by using Taylor series approximation method, which is adopted to overcome the difficulties in obtaining solution of complicated nonlinear system. The optimal motion planning algorithm is finally proposed. In the simulation experiment of a 7-DOF FFSSM, maximum value of joint torques and total energy of the system declined by no less than 15%, while the constraints of tracking accuracy and base movement are satisfied. The results demonstrate validity and practicability of the presented algorithm.

CONFLICT OF INTEREST

The authors confirm that this article content has no conflict of interest.


