Size Dependent Ultrasound Characteristics in Histologically Confirmed Papillary Thyroid Carcinomas: A Multivariate Analysis

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Abstract: Background: Epidemiologic data revealed an increasing incidence of papillary carcinomas (PTCs) in the German population. There is some evidence that the size of resected PTCs has decreased during the last few years.

Purpose: The aim of the present study was to test the hypothesis that the sonographic characteristics of PTCs vary with size.

Material/Methods: Consecutive PTCs were histologically confirmed in 41 patients. Ultrasound examinations of these 50 PTCs were reevaluated retrospectively and classified according to five sonographic criteria.

Results: It could be shown that the sonographic shape (p < 0.001), the contour (p = 0.024), the structure (p = 0.04), the echogenicity (p < 0.001) and calcifications (p = 0.008) varied with the size of the neoplasms. By factor analysis a factor FPTC with an eigenvalue of 1.89 could be extracted from the data on which the sonographic structure, the contour and the presence of calcifications had the strongest impact with factor loadings of 0.74, 0.68 and 0.61, respectively.

Conclusion: The knowledge of the variation of the ultrasound characteristics should be of assistance for the sonographic classification of PTCs. In this context microcarcinomas usually do not display cystic components. However, they may show microcalcifications. Cystic components, hyperechogenicity and the taller-than-wide sign are predominantly seen in larger PTCs.

Keywords: Papillary thyroid carcinoma, ultrasound, thyroid neoplasia, irregular border, hypoechochogenicity.

INTRODUCTION

According to recent data the incidence of differentiated thyroid carcinomas in Germany is increasing [1]. Essentially, this increase is caused mainly by papillary thyroid neoplasms. The occurrence of follicular neoplasms, in contrast, has remained stable over the last decades.

For the detection of thyroid nodular disease ultrasound (US) examinations of the neck play a dominant role [2, 3]. As potential predictors for malignancy several ultrasound features have been described, such as calcifications, taller-than-wide-shape, irregular margins, hypoechochogenicity and increased flow in Power Doppler examinations [4].

The sensitivity and specificity have been found to be relatively low for each of these findings resulting in a diagnostic accuracy of far below 100% [5].

In a recent ultrasound consensus statement the panel has identified important unanswered questions that merit future research in thyroid nodular disease [4]. Besides growth dynamics and selection of nodules for fine needle aspiration biopsy (FNAB) the panel points toward the question whether there is a combination of ultrasound characteristics that might be used to direct management.

There is abundant scientific work using ultrasound examinations in series of thyroid nodules that include both benign and malignant neoplasms [5]. Sonographic work has also been done in malignant thyroid nodules with inconsistent different histologic differentiation [6].

Ultrasound criteria for papillary carcinomas have been compiled in a multicenter study [7]. However, this work includes only patients from a Japanese population. In a recent preliminary report from Chile a multivariate analysis was performed to identify ultrasonographic characteristics in FNAB suspected cases of papillary thyroid carcinomas [8].

The aim of the present study was to identify ultrasound characteristics in histologically proven papillary thyroid carcinomas in a caucasian population. The study was designed and carried out in order to clarify whether ultrasound patterns vary with tumor size. This work was carried out as a cohort study.

MATERIAL AND METHODS

Study Population

The study population consisted of 41 caucasian patients (30 women, 11 men, mean age +/- SD 51 +/- 8.7 y; age range 17 – 83 y) with 50 papillary thyroid carcinomas. All patients...
were referred to our institution for the evaluation of thyroid nodules. The study period comprised the time between September 2010 and November 2011. Within these 15 months approx. 8000 ultrasound examinations were done.

The surgical procedures were carried out in different hospitals within 12 weeks thereafter. The diagnosis of papillary carcinoma was confirmed in all patients histologically and the histologic results were collected consecutively.

The preoperatively performed tests included a physical examination, ultrasound examinations of the cervical neck and laboratory testing in all patients. In patients with thyroid nodules > 10 mm in diameter a $[^{99mTc}O_4]$ scan was performed.

**Ultrasound Examinations**

All ultrasound examinations of the neck were performed using an 8000 SE Sonoace unit with a 7.5 MHz linear transducer. US examinations were carried out by four board certified radiologists and/or nuclear medicine physicians. Representative images in two planes were digitally stored to a PACS unit. In all tumors the size was measured in three orthogonal planes by use of the implemented ultrasound cursor array resulting in a diameter in the lateral axis ($d_x$), anterior-posterior axis ($d_y$) and cranio-caudal axis ($d_z$). For further evaluation and statistical analysis the maximum diameter was used.

After a total of 50 PTCs were documented by histology, image reading was reperformed retrospectively by one board certified radiologist and nuclear medicine physician. The ultrasound examinations were evaluated and described according to five sonographic characteristics.

Image reading and analysis consisted of five sonographic characteristics:

- **Shape**
  - round: diameter $d_x = d_y = d_z (+/- 10\%)$
  - oval: one axis exceeds the diameter of the others by more than 10\% (except taller-than-wide shape (see below))
  - irregular: undulated or complex shape
  - taller-than-wide (TTW): anterior posterior diameter > lateral diameter; cranio-caudal diameter neglected

- **Contour**
  - well-defined
  - spiculated or ill-defined

- **Structure**
  - homogeneous
  - inhomogeneous

- **Echogenicity**
  - hypoechogenicity: image intensity between echofree (cystic) and below intensity of the normal perinodular tissue
  - hyperechogenicity: image intensity above normal perinodular tissue
  - cystic components: echofree compartments within lesion

- **Calcifications**
  - present
  - absent

**Statistical Analysis**

Statistical analysis was performed by using the Winstal$^R$ software package (version 2009). Analysis of variance (ANOVA) and factoranalysis as multivariate tool were applied to the data. A significant difference was defined as $p < 0.05$.

**RESULTS**

**Age and Sex Distribution**

The patients were categorized into age groups according to their chronological age (age group 1: 10 - 19 years, age group 2: 20 – 29 years etc.) The distribution of the age groups is shown in Fig. (1).
27% of the patients were male and 73% were female.

**Distribution of T Stage**

The histologic examinations of the resected specimens classified the papillary tumors as stage

- T1 in 34 cases (68%), T1a in 28 cases (56%), T1b in 6 cases (12%)
- T2 in 3 cases (6%)
- T3 in 10 cases (20%) and
- T4 in 3 cases (6%).

Each of 32 histologic specimens showed only one PTC. Nine specimens contained two PTCs and were thus classified as multifocal (m).

**Ultrasonographic Characteristics**

The ultrasound examinations were evaluated with respect to the size of the PTCs and five distinct parameters. For each parameter the number of cases and their percentage were calculated.

The size of the papillary carcinomas (mean maximum diameter) varied between 3 mm and 50 mm (mean: 12.3 mm, SD 9.0 mm).

- The echogenicity was determined as Hypoechogenic in 40 cases (80%)
- Hyperchogenic in 6 cases (12%) and
- Echofree components in 4 cases (8%).
- The shape of the tumors was Round in 20 cases (40%)
- Oval in 20 cases (40%)
- Irregular in 4 cases (8%) and
- Taller-than-wide (TTW) in 6 cases (12%).
- The contour of the tumors was determined as Well-defined in 30 cases (60%) and
- Ill-defined or spiculated in 20 cases (40%).
- The structure of the tumors was classified as Homogeneous in 22 cases (44%) and
- Inhomogeneous in 28 cases (56%).
- Calcifications were present in 19 cases (38%) and absent in 31 cases (62%).

**Size of PTCs Versus Focality**

The mean maximum diameter of unifocal PTCs and multifocal PTCs was statistically not different (13 mm ± 9.5 mm vs. 10 mm ± 7.6 mm), resp. (\(r ± SD; p = 0.29\), ANOVA).

For unifocal PTCs the range of the maximum diameter was determined 3 mm to 50 mm, and for multifocal PTCs 3 mm to 35 mm.

**Size of PTCs Versus Sonographic Appearance**

The size of the PTCs as measured by ultrasound was different in round, oval, irregular and TTW tumors (\(p < 0.001\), ANOVA), see Fig. (2). The smallest mean maximum diameter was seen in round and the largest diameter in irregular tumors. Oval tumors and TTW tumors showed intermediate diameters.

Well-defined tumors showed a smaller mean maximum diameter compared with ill-defined tumors (\(p = 0.024\), ANOVA).

The mean maximum diameter of homogeneously structured tumors was less than in inhomogeneous structured neoplasms (\(p = 0.04\), ANOVA).

The size of hypoechogetic, hyperechogenic and tumors with cystic components was different (\(p < 0.001\), ANOVA). Hypoechogenic tumors appeared smaller than tumors with cystic components. The mean size of hyperechogenic tumors lied between hypoechogetic and cystic neoplasms.
Tumors without calcifications showed a lesser mean maximum diameter compared with tumors that contained calcifications ($p = 0.008$, ANOVA).

No difference in size could be detected in tumors that were unifocal compared with tumors that appeared multifocal ($p = 0.29$).

The sizes (mean maximum diameter) and sonographic parameters of the PTCs are shown in Table 1.

By multivariate factor analysis the factor $F_1$ ($F_{PTC}$) with an eigenvalue of 1.89 (significance level: 1.0) was extracted from five sonographic characteristics (shape, contour, structure, echogenicity and calcifications). For these five variables the factor loading was calculated. Factors with a factor loading less than 1.0 ($F_2 – F_6$) were neglected for further evaluation.

The sonographic structure had the highest impact on $F_{PTC}$ with a factor loading of 0.74 (maximum value: 1.0, minimum value – 1.0), and the shape had the lowest impact on $F_{PTC}$ with a factor loading of 0.38 ($p < 0.05$).

The values for the factor loadings of each variable are shown in Table 2.

## DISCUSSION

In this study papillary carcinomas of less than 2 cm in diameter classified as stage pT1 malignancies represented 68% of all examined tumors. In a recent publication [9] the authors pointed out that a decreasing tumor size was noticed in operated specimens. For papillary carcinomas they detected a decrease in tumor size from an average of 28 mm to 18 mm during the last 25 years.

In our series we measured an average diameter of 12.3 mm with a range of 3 to 50 mm by ultrasound examinations in papillary carcinomas that were histologically confirmed after resection. Since papillary carcinomas of less than 10 mm have been disclosed at operation with a proportion of 56% of all resected tumors, efforts should be made to characterize these microcarcinomas by appropriate ultrasound characteristics.

Unfortunately, recommendations for the management of thyroid nodules from a consensus panel excluded thyroid tumors of less than 10 mm [4]. Furthermore, these recommendations are extended towards all malignant thyroid nodules rather to papillary carcinomas which are the most frequent type of thyroid malignancies. This applies also to other recommendations [10] which do not specify on the different subtypes of differentiated thyroid carcinomas. However, in this work smaller tumors with a size of less than 10 mm have been incorporated.

Data from German and Bavarian Cancer Registries show that women are more affected than men [1, 11]. Thyroid malignancies have been reported for Germany in 2006 in 3660 (69%) women and in 1620 (31%) men and for Bavaria in 2008 in 972 (72%) women and in 371 (28%) men. Approximately 50% have been estimated to be papillary carcinomas. In our study, the proportion of women to men was 73% to 27% which is in agreement with the published data of the registries. The number of 50 PTCs within the collection period of 15 months, however, is relatively small. Regional features and the limited number of cases might be relevant constraints in this study.

### Table 1. Size and Ultrasound Parameters of PTCs (Mean Maximum Diameter and Standard Deviation), n = 50. P Values are Given for ANOVA

<table>
<thead>
<tr>
<th>Ultrasound Characteristics</th>
<th>Size Mean Maximum Diameter $\bar{x}$ [mm]</th>
<th>Standard Deviation SD [mm]</th>
<th>ANOVA</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>shape</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>round</td>
<td>8</td>
<td>3.4</td>
<td>$p &lt; 0.001$</td>
</tr>
<tr>
<td>oval</td>
<td>12</td>
<td>6.6</td>
<td></td>
</tr>
<tr>
<td>irregular</td>
<td>28</td>
<td>15.5</td>
<td></td>
</tr>
<tr>
<td>taller-than-wide</td>
<td>18</td>
<td>7.8</td>
<td></td>
</tr>
<tr>
<td><strong>counter</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>well-defined</td>
<td>10</td>
<td>7.2</td>
<td>$p = 0.024$</td>
</tr>
<tr>
<td>ill-defined</td>
<td>16</td>
<td>10.2</td>
<td></td>
</tr>
<tr>
<td><strong>structure</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>homogeneous</td>
<td>9</td>
<td>7.3</td>
<td>$p = 0.04$</td>
</tr>
<tr>
<td>inhomogeneous</td>
<td>15</td>
<td>9.5</td>
<td></td>
</tr>
<tr>
<td><strong>echogenicity</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>decreased</td>
<td>10</td>
<td>5.8</td>
<td>$p &lt; 0.001$</td>
</tr>
<tr>
<td>increased</td>
<td>20</td>
<td>14.2</td>
<td></td>
</tr>
<tr>
<td>cystic components</td>
<td>26</td>
<td>5.6</td>
<td></td>
</tr>
<tr>
<td><strong>calcifications</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>absent</td>
<td>10</td>
<td>6.7</td>
<td>$p = 0.008$</td>
</tr>
<tr>
<td>present</td>
<td>17</td>
<td>10.5</td>
<td></td>
</tr>
</tbody>
</table>

### Table 2. Values for Factorloading for the Tested Variables by Factoranalysis ($p < 0.05$)

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Factorloading</th>
</tr>
</thead>
<tbody>
<tr>
<td>structure</td>
<td>0.74</td>
</tr>
<tr>
<td>contour</td>
<td>0.68</td>
</tr>
<tr>
<td>calcifications</td>
<td>0.61</td>
</tr>
<tr>
<td>echogenicity</td>
<td>0.57</td>
</tr>
<tr>
<td>shape</td>
<td>0.38</td>
</tr>
</tbody>
</table>
In 9 of 32 of our patients the resected thyroid specimens contained 2 PTCs and were thus classified as multifocal. Statistically, there was no correlation between the size of the PTCs and focality. This finding is in agreement with recently published data [12]. These authors found multicentric PTCs also in 22% of their patients. It was pointed out that in this study of 67 patients that no correlation between tumor size and multifocality existed.

Ultrasound criteria for the classification of thyroid nodules to be likely malignant have been published. These criteria include for instance a) irregular shape, b) ill-defined contour, c) solid structure and low echogenicity, d) presence of calcifications [13]. Applying criteria a) to our population would have been missed 20% of the malignant nodules, criteria b) 60%, criteria c) 20% and criteria d) 60%, respectively.

An irregular contour and a low echogenicity have been described as two ultrasound characteristics that yielded a 93% sensitivity and a 92% specificity in distinguishing papillary thyroid carcinomas from benign thyroid nodules [7]. However, in this study data about the size of the PTCs that might directly influence the diagnostic accuracy are not shown. The authors used similar ultrasound characteristics compared with our study. Data about size dependent ultrasound characteristics were in this study not rendered either.

It has been proposed to combine at least two criteria in order to correctly classify sonographically detected thyroid nodules [14]. The combination of criteria a) and b) would have missed 24% of the papillary carcinomas in our study. However, if criteria c) as a third criterion is added, all carcinomas can be detected.

It is of some interest that the detection of malignant thyroid nodules by ultrasound criteria varies with size [5]. In this publication microcalcifications were found in approximately 37% of thyroid tumors less than 10 mm and in 51% of larger than 10 mm. Unfortunately, this study included a heterogenous sample of papillary, follicular and medullary subtypes as well as B-cell lymphoma of the thyroid. In our study 38% and 21% of all papillary tumors or thyroid tumors less than 10 mm and less than those with irregular appearance. TTW sign was larger than those with round or oval shape and less than those with irregular appearance.

As a criterion for malignancy the TTW sign must be taken with caution since it has also be observed in about 8% of benign thyroid nodules [10].

It has been noted that malignant thyroid nodules exhibit several characteristics which can be detected by high resolution ultrasound examinations [16]. Recently, microcalcifications, hypoechogenicity and irregular borders have been disclosed by multivariate analysis as the variables independently associated with the presence of PTCs [8]. However, the diagnosis of PTCs was made by means of fine needle aspiration biopsy only.

From our data we extracted the factor \( F_{PTC} \) by multivariate factoranalysis of five variables (shape, contour, structure, echogenicity and calcifications). The variables structure, contour and calcifications had the highest impact on the sonographic characterization of the PTCs.

In our series cystic components within the PTCs could also be observed. However, tumors with cystic components were significantly larger than other solid tumors and were not detected in the group of microcarcinomas.

In conclusion, unifocal or multifocal PTCs showed no difference in size. Even small PTCs may manifest as multifocal PTCs. Small PTCs less than 10 mm of diameter are frequently detected as round, hypoechogenic tumors which can contain calcifications. Hyperechogenicity or cystic components can be detected mainly in PTCs with a diameter larger than 10 mm. PTCs characterized as TTW tumors are larger neoplasms with a diameter of more than 10 mm as well.

CORRESPONDING AUTHORS

Visiting Professor (Wroclaw MU) Dr. Michael Cordes.

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CONFLICT OF INTEREST

The authors confirm that this article content has no conflicts of interest.

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