Pathology of the Central Autonomic Nervous System in Stillbirth

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Abstract: The aim of this study was to identify in stillbirth a possible involvement of morphological and/or physiological alterations of structures of the central autonomic nervous system in the mechanism of death. The study, including in-depth histological examination of brainstem and cerebellum, was performed on 42 stillbirths, aged from 22 to 40 gestational weeks, 12 of which were explained and 30 were unexplained deaths.

In the sudden unexplained stillbirths a variety of morphological and/or biological abnormalities of different structures and nuclei was found, above all the hypoplasia of the parafacial complex, frequently associated with hypoplasia of the arcuate and pre-Bötzinger nuclei, and with thyrosine-hydroxylase immunonegativity in the locus coeruleus. A significant correlation was also observed between the neuropathologic findings and mother’s smoking habit.

Keywords: Stillbirth, central autonomic nervous system, brainstem, neuropathology, developmental alterations.

INTRODUCTION

While society displays an increased awareness of sudden infant death syndrome (SIDS), less attention has been focused on another form of unexplained death that occurs with a greater frequency in late pregnancy, namely “stillbirth”. In fact, despite the high number of unexplained fetal deaths worldwide, very little research has been devoted to the issue of stillbirths, particularly in the anatomopathologic field.

More frequently than is currently admitted, the lethal event seems to be primarily triggered by a stroke of anatomic “lightning”, sufficient to impair the vital functions.

Accordingly, the vital centers of the central autonomic nervous system need to be given a close pathologic investigation, which may seem a simplistic approach to such an extremely complex problem, yet it can enable a structural control of the reflexogenic neural network.

Stillbirth or fetal death is defined as death prior to complete expulsion or extraction of a product of conception, irrespective of the duration of the pregnancy. The perinatal period commences at 22 completed weeks (154 days) of gestation and ends seven completed days after birth [1]. Statistics of stillbirths, particularly in the anatomopathologic field, have been largely neglected already from the first weeks of gestation [7-10] and gliosis, a process of astrocytic activation, indicative of a response to central nervous system injury [11,12].

MATERIALS AND METHODOLOGY

We studied 42 fresh stillbirths, 28 males and 14 females, aged from 22 to 40 gestational weeks. The gestational age peaked in incidence at 36 to 40 weeks (62%). The weights of victims ranged from 352 to 3500 grams.

Our collected cases were subjected to complete autopsy, including examination of the placental disk, umbilical cord and membranes and an in-depth histological examination of the autonomic nervous system, according to the protocol issued by the “Lino Rossi” Research Center for the study and prevention of the unexpected perinatal death and SIDS of the University of Milan and available on the web site: http://users.unimi.it/~pathol/sids_e.html [13-16].

A diagnosis of “unexplained stillbirth” was established for 30 fetuses who had died suddenly, with no defined cause of death.

In the remaining 12 victims a precise cause of death was diagnosed at autopsy and they were classified as "explained stillbirths" and considered as control cases.

Intrauterine growth retardation, with a weight below the corresponding reference value, was more frequent in the unexplained stillbirth group compared with the control group.

For every case a complete clinical history, particularly referred to mother, was collected. None of the mothers had any significant pathology. None of the mothers used drugs, high doses of caffeine, sedatives or alcohol. With reference to smoking habit, 16 mothers (38%) declared that they were active smokers (all of more than 3 cigarettes/day and all before becoming pregnant) and 26 (62%) were non smokers.

Table 1 summarizes the case profiles in this study, with their relative death diagnosis and mother’s smoking status.
Multiple samples of all organs were fixed in 10% phosphate-buffered formalin, processed and embedded in paraffin. Sections of 4 μm were stained with hematoxylin-eosin and Heidenhaim-azan.

Target of this study was the in-depth histological examination of the central autonomic nervous system. The vital centers, where afferents from peripheral receptors converge on neural circuits processing the efferent reflexogenic responses, are located in the brainstem, linked with the cerebellum and the sympathetic intermediolateral nucleus in the thoracic (T1-T5) cord.

After fixation in 10% phosphate-buffered formalin, the brainstem, cerebellum and upper spinal cord were processed and embedded in paraffin [12-14]. Transverse serial sections were made at intervals of 30 μm. For each level, twelve 5 μm sections were obtained, two of which were routinely stained for histological examination using alternately hematoxylin-eosin and Klüver-Barrera stains. Three additional sections at each level were subjected to immunohistochemistry with the application of the avidin-biotin-peroxidase technique, in conformity with conventional immunohistochemical procedures.

In order to analyze the immunoexpression of somatostatin and tyrosine-hydroxylase and to reveal the presence of reactive astrocytes by the glial fibrillary acidic protein (GFAP) method, we used specific primary antibodies after the application of the avidin-biotin-peroxidase technique, in conformity with conventional immunohistochemical procedures.

A detailed description of the immunohistochemical methods, including the immunopositivity evaluation, applied in this study is available in our previous works [9,10,18].

Morphometric Evaluation

The morphologic examination of the central autonomic nervous system was supplemented by the morphometric analysis of the main brainstem nuclei, performed with an image analyzer. The following parameters were evaluated and indicated as mean values and standard deviation: nucleus area (expressed in mm²), neuronal density (expressed as number of neurons per mm²) and neuronal size (cell body area, expressed in μm²). The in-depth description of the methodology and of the related results can be found in our previous works [10,19,20].

Table 1. Case Profiles of the Study

<table>
<thead>
<tr>
<th>Death Diagnosis</th>
<th>Sex</th>
<th>Gestational Weeks</th>
<th>Weight (g)</th>
<th>SGA</th>
<th>Maternal Smoking Habit</th>
</tr>
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<tbody>
<tr>
<td></td>
<td>M</td>
<td>F</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>unexplained stillbirth (n = 30)</td>
<td>13(31)</td>
<td>17(40)</td>
<td>25-40</td>
<td>34.5±5.2</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>830-3930</td>
<td>2100±99</td>
</tr>
<tr>
<td>explained stillbirth* (n = 12)</td>
<td>7(17)</td>
<td>5(12)</td>
<td>22-40</td>
<td>33.4±5.3</td>
<td>352-3940</td>
</tr>
</tbody>
</table>

*The death causes were: placental pathologies (4 cases); congenital heart diseases: dilated cardiomyopathy (1 case), Ebstein’s anomaly (2 cases); pneumonia (1 case); severe renal dysplasia (1 case); septicemia (1 case); Down’s syndrome (1 case); Potter’s syndrome (1 case).

SGA = small for gestational age.

The statistical significance of direct comparison between the two groups (late unexplained and explained stillbirths) of victims was determined using analysis of variance (ANOVA). The associations between maternal cigarette smoking habit, sudden intrauterine death, and the neuropathological findings were determined using Fisher’s exact test. The selected threshold level for statistical significance was p<0.05.

RESULTS

The histological and immunohistochemical examinations of the brainstem, cerebellum and spinal cord showed frequent morphological and/or biological alterations of various structures and nuclei (Table 2).
Table 2. Distribution of the Neuropathological Findings in 42 Stillbirths

<table>
<thead>
<tr>
<th></th>
<th>Unexplained Stillbirth n (%)</th>
<th>Explained Stillbirth n (%)</th>
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</thead>
<tbody>
<tr>
<td><strong>BRAINSTEM</strong></td>
<td></td>
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<tr>
<td><strong>Morphological Alterations</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PFc hypoplasia</td>
<td>21 (70)**</td>
<td>-</td>
</tr>
<tr>
<td>ArcN hypoplasia</td>
<td>17 (40)**</td>
<td>2 (5)</td>
</tr>
<tr>
<td>pBN hypoplasia</td>
<td>13 (31)**</td>
<td>-</td>
</tr>
<tr>
<td>PB/KFc hypoplasia</td>
<td>8 (19)*</td>
<td>-</td>
</tr>
<tr>
<td><strong>Functional Alterations</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SS neg. in HypoglN</td>
<td>9 (21)</td>
<td>2 (5)</td>
</tr>
<tr>
<td>TH neg. in LC</td>
<td>15 (36)*</td>
<td>1 (2)</td>
</tr>
<tr>
<td>Brainstem gliosis</td>
<td>8 (19)</td>
<td>2 (5)</td>
</tr>
<tr>
<td><strong>CEREBELLUM</strong></td>
<td></td>
<td></td>
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<tr>
<td><strong>Morphological Alterations</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cortex immaturity</td>
<td>10 (24)</td>
<td>-</td>
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<tr>
<td><strong>Functional Alterations</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SS neg. in cortex</td>
<td>8 (19)</td>
<td>-</td>
</tr>
<tr>
<td>DN gliosis</td>
<td>8 (19)</td>
<td>2 (5)</td>
</tr>
</tbody>
</table>

ArcN = arcuate nucleus; DN = dentate nucleus; HypoglN = hypoglossus nucleus; LC = locus coeruleus; pBN = pre-Botzinger nucleus; PB/KFc = parabrachial/ Kölliker-Fuse complex; PFc = parafacial complex; SS = somatostatin, TH = thyrosine-hydroxylase.

**P < 0.01 vs explained stillbirths - *P < 0.05 vs explained stillbirths.
Morphological Alterations

Brainstem

Developmental alterations of the arcuate nucleus emerged in 17 of the 30 sudden death victims and in 2 of the 12 stillbirths who had died of known causes. Different degrees of hypoplasia of the arcuate nucleus were observed: bilateral hypoplasia in 6 cases (Fig. 2), partial hypoplasia, generally confined to the inferior two third, in 7 cases, unilateral hypoplasia in 2 cases and complete agenesis in 2 cases.

Hypoplasia of the pre-Bötzinger nucleus, with a decreased number of neuronal bodies and/or dendritic fibers, was diagnosed in 13 victims belonging to the unexplained stillbirth group. Frequently, neuronal cell bodies of the hypoplastic pre-Bötzinger nucleus were small and lengthened with a flattened nucleus, compact chromatin and a poorly evident nucleolus (Fig. 3).

Pons-Mesencephalon

In transverse sections of rostral pons and caudal mesencephalon of 8 unexplained stillbirth cases but no control cases, a few immature neurons were observed in both the medial and lateral parabrachial nuclei and in the Kölliker-Fuse area; altogether, these findings led to a diagnosis of hypoplasia of the parabrachial/Kölliker-Fuse complex (Fig. 4). Besides, in caudal pontine sections of 21 victims of unexplained death, we found severe hypoplasia of the parafacial complex.

Cerebellum

At histological examination, the cerebellar cortex showed an immature structure, uniformly made up of small round cells without the usual four-layered shape, in 10 unexplained stillbirth cases, aged 35-40 gestational weeks, and in 2 subjects who died at 34 and 40 gestational weeks, respectively, due to placental pathologies.

Functional Alterations

Brainstem

We observed negative immunohistochemical expression of somatostatin (a neurotransmitter that usually shows diffuse expression in brainstem nuclei during fetal life) in the hypoglossus nucleus of 9 unexplained stillbirth victims and in 2 control cases, both of whom died at 34 gestational weeks.

Pons-Mesencephalon

We found a negative or low expression of tyrosine-hydroxylase (TH) in the locus coeruleus, a well-known noradrenergic center involved in catecholamine synthesis, in 15 sudden unexplained deaths and in only 1 control case that died of severe chorioamnionitis.

Cerebellum

There was immunonegativity for somatostatin, that is usually widespread in all the cortex layers in prenatal life, in the Purkinje cells of 8 unexplained stillbirth victims.

A diffuse gliosis was identified by the GFAP method in the brainstem and cerebellum of 8 sudden fetal death victims and in 2 subjects of the control group. In particular, a significant higher number of reactive astrocytes was visible in these cases in the region of the tractus solitary nucleus and of the dentate nucleus.

Fig. (2). A) Bilateral hypoplasia of the arcuate nucleus in a case of sudden intrauterine unexplained death at 34 gestational weeks, compared with a normal arcuate nucleus, B) in a fetus died at the same gestational week. Klüver-Barrera stain; Magnification: 25x. ArcN: arcuate nucleus; OliN: inferior olivary nucleus; VMS: ventral medullary surface.
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Fig. (3). In the upper image: Normal pre-Bötzinger complex configuration. In the image below: Hypoplasia of the pre-Bötzinger complex with flattened neuronal cell bodies in a case of sudden intrauterine unexplained death at 36 gestational weeks. Klüver-Barrera stain; Magnification: 40x.

Histological and immunohistochemical examination of the sympathetic center in the intermediolateral column of the T3-T4 spinal cord, disclosed no morphological and/or functional anomalies.

Frequently, two or more alterations were observable in the same case. In the unexplained stillbirth victims there was a high statistically significant association of hypoplasia of the parafacial complex with hypoplasia of the arcuate nucleus and of the pre-Bötzinger nucleus as well as of decreased TH synthesis in the locus coeruleus (p<0.01).

Finally, we correlated the two stillbirth groups and the neurodevelopmental defects of the central autonomic nervous system with the mother’s smoking habit. We observed a significant correlation between maternal smoking and the following parameters: unexplained stillbirth, hypoplasia of the parafacial complex, hypoplasia of the arcuate nucleus, hypoplasia of the pre-Bötzinger nucleus, hypoplasia of the parabrachial/Kölliker-Fuse complex, and TH negativity in the locus coeruleus (Table 3).

Histological examination of the other organ samples did not reveal pathologic processes in the unexplained death group.

Bilateral pulmonary hypoplasia was identified in 11 cases (26%), characterized by a decreased volume and/or weight of the lungs, altered lung development indices, with a LW/BW value below 0.022, a RAC index below 2.2 and the presence of cartilaginous bronchi up to the distal peripheral level. In 4 cases, lung hypoplasia was associated with hypoplasia of the parafacial complex and of the arcuate nucleus and parabrachial/Kölliker-Fuse complex and in 2 cases only with hypoplasia of the arcuate nucleus and of the pre-Bötzinger nucleus.

Fig. (4). In the upper image: Normal Kölliker-Fuse complex configuration. In the image below: Hypoplasia of the Kölliker-Fuse complex with absence of the typical large neurons in a case of sudden intrauterine unexplained death at 40 gestational weeks. Klüver-Barrera stain; Magnification: 40x.

DISCUSSION

The World Health Organization (WHO) estimates that 4.5 million stillbirths occur each year worldwide [1]. In the western world one in 100-200 pregnancies ends in fetal death; in addition, late unexplained stillbirth is the largest single cause of death. In some studies more than 60-80% of stillbirths are unexplained, mainly due to failure to carry out a complete, appropriate post-mortem investigation.

The importance of a thorough autopsy, in cases of fresh stillbirth, to individuate the morphological substrate of death, has been stressed [2,21-24]. Nevertheless, the International Stillbirth Alliance (ISA) underlines that there is no standard method of performing autopsies and that guidelines for the neuropathologic investigation of stillbirth are needed [6].
ance of an autopsy examination in all stillbirths after the 22nd
Lombardy Region has established the mandatory perform-
This situation should improve in Italy. In fact, the

tory rhythm. In addition, the ponto-mesencephalic Kölliker-
pre-Bötzinger nuclei are involved in generating the respira-
In particular, the parafacial complex, the pre-Bötzinger


tate functional), and therefore any respiratory reflex. From
birth, the inhibitory effects of this nucleus abruptly decline and it becomes active as a respiratory center able to coordinate the pulmonary motor responses to blood oscillations of pO2, pCO2 and pH [18,31,32].

Even the cerebellar cortex is a putative neuronal region subserving ventilatory control, since the axons of the granule and Purkinje cells seem to have connections with dorsal and ventral medulla and with specific areas in the pons, classically implicated in respiratory control. Besides, the cerebellar cortex contributes to the control of the respiratory muscles that are involved in restoring blood pressure and breathing rhythm in hypoxic conditions [33,34].

Likewise, the functional alterations observed in this study, consisting of the lack of synthesis of important neurotransmitters in specific nuclei and structures, namely noradrenalin in the locus coeruleus and somatostatin in the hypoglossus nucleus and cerebellar cortex, may interfere with the respiratory mechanism [9,10,33,34].

Although a functional respiratory network is necessary for survival at birth, respiratory-like movements are detectable before birth not only in experimental animals [35,36] but also in humans [17,37]. Thus, an immature neuronal respiratory network is usually active at low frequency in prenatal stages, shortly after the onset of fetal movements. Consequently, the observed morpho-functional alterations of the central autonomic nervous system could determine defects of this occasional respiratory activity in prenatal life. This is borne out by the frequent finding of lung hypoplasia in sudden stillbirth.

Nevertheless, these alterations would not be sufficient to justify fetal death. One possibility is that the neurons of the structures involved not only participate in respiratory modulation but, more extensively, are essential to the control of all vital functions.

This situation should improve in Italy. In fact, the Lombardy Region has established the mandatory performance of an autopsy examination in all stillbirths after the 22nd week of gestation, on the basis of the recently approved Italian law [25].

The present study on stillbirth was focused on the central autonomic nervous system, encompassing the brainstem, the cerebellum and the upper thoracic spinal cord, in 42 fresh stillbirths, 28 males and 14 females, aged from 22 to 40 gestational weeks. For 30 fetuses, 17 females and 13 males, with a mean gestational age of 35 weeks, a diagnosis of “unexplained stillbirth” was established. In the remaining 12 victims, 5 females and 7 males, with a mean age of 34 gestational weeks, a precise cause of death was instead diagnosed at autopsy (“explained stillbirth”).

We found, particularly in the unexplained stillbirth group, a variety of congenital abnormalities of the central autonomic nervous system. Among the morphological alterations, hypoplasia of the parafacial complex, the master generator of the respiratory rhythm in mammals, and hypoplasia of the arcuate nucleus, a chemoreceptorial component of the ventral medullary surface, were frequent. These alterations were detected respectively in 21 (70%) and in 17 (57%) of the 30 unexplained stillbirths.

All the nuclei and structures that showed alterations in this study, are notoriously involved in respiratory control. In particular, the parafacial complex, the pre-Bötzinger nucleus and the parabrachial/Kölliker-Fuse complex, that have been well described in experimental studies [26-29] but only recently characterized in human brainstem [19,30], play an important role in breathing control. The parafacial and pre-Bötzinger nuclei are involved in generating the respiratory rhythm. In addition, the ponto-mesencephalic Kölliker-Fuse nucleus has a preeminent function during intrauterine life, inhibiting the response of central and peripheral chemoreceptors (which are already fully formed and potent-

<table>
<thead>
<tr>
<th>Alterations of the Central Autonomic Nervous System</th>
<th>Smoker Mothers</th>
<th>Non-Smoker Mothers</th>
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<tbody>
<tr>
<td></td>
<td>Unexplained Stillbirth n (%)</td>
<td>Explained Stillbirth n (%)</td>
</tr>
<tr>
<td>ArcN hypoplasia</td>
<td>15 (36)**</td>
<td>1 (2)</td>
</tr>
<tr>
<td>pBN hypoplasia</td>
<td>10 (24)**</td>
<td>1 (2)</td>
</tr>
<tr>
<td>PB/KFc hypoplasia</td>
<td>5 (12)*</td>
<td>1 (2)</td>
</tr>
<tr>
<td>PFc hypoplasia</td>
<td>18 (50)**</td>
<td>-</td>
</tr>
<tr>
<td>SS negativity in HypoglN</td>
<td>6 (14)</td>
<td>2 (5)</td>
</tr>
<tr>
<td>SS negativity in CC</td>
<td>5 (12)</td>
<td>-</td>
</tr>
<tr>
<td>Brainstem gliosis</td>
<td>8 (19)</td>
<td>2 (5)</td>
</tr>
<tr>
<td>TH negativity in LC</td>
<td>14 (33)**</td>
<td>1 (2)</td>
</tr>
<tr>
<td>CC immaturity</td>
<td>7 (17)</td>
<td>2 (5)</td>
</tr>
<tr>
<td>DN gliosis</td>
<td>8 (19)</td>
<td>2 (5)</td>
</tr>
</tbody>
</table>

ArcN = arcuate nucleus; CC = cerebellar cortex; DN = dentate nucleus; HypoglN = hypoglossus nucleus; LC = locus coeruleus; pBN = pre-Bötzinger nucleus; PB/KFc = parabrachial/Kölliker-Fuse complex; PFc = parafacial complex; SS = somatostatin; TH = thyrosine-hydroxylase.

Significance related to controls (explained stillbirths) are expressed for *P < 0.05, **P < 0.01.

Table 3. Distribution of Neuropathological Findings in Unexplained and Explained Stillbirths in Relation to Maternal Smoking Habit
Advancing towards the time of birth, very likely a general check of all the essential functions for extra-uterine life occurs. Sudden unexpected fetal death could therefore be ascribed to a selective process in presence of developmental alterations of the central autonomic nervous system.

Astrocytic gliosis, that we have identified by immunoreactivity to the glial fibrillary acidic protein (GFAP) in the brainstem and cerebellum, is a non-specific secondary response to brain injuries [11,12]. In particular, hypoxic events can induce the proliferation of activated astrocytes in areas that are important in the physiology of breathing.

The possible risk factors for hypoxic events in the fetal period include maternal smoking [20,38-40] and likely air pollution [41]. In cases of maternal smoking in pregnancy, carbon monoxide, a gaseous combustion product of nicotine, may readily spread by passive diffusion into the placenta, where it binds to hemoglobin. Consequently, carboxyhemoglobin, that generally has 15% higher concentrations in the fetal compartment than the maternal levels [42], inhibits the release of oxygen, causing altered physiological development in the fetal organs and tissues, especially those most susceptible to hypoxic damage, including the brain [43,44]. Besides, nicotine is one of the few lipid-soluble substances that, by crossing the blood-brain barrier, can act directly on the expression of genes that control the developing nervous system [45]. In addition, air pollution, particularly in the Lombardy Region where the victims of this study come from, and which features high rates of both gases (carbon monoxide, nitrogen dioxide, ozone, sulphur dioxide) and particulate matter (above all PM10, with a median diameter inferior to 10 µm) [41], could have an important influence in determining sudden fetal death. We postulate that gas pollutants in particular, likewise cigarette smoke, can cross the placenta during pregnancy in the maternal blood, and lead to structural and/or functional impairments of the central nervous system.

Smoking has multiple effects and consequences. Apart from the overall toxic effect and likely induction of genetic alterations, it also causes atherosclerotic alterations [46,47], whose consequences contribute both to tissue hypoxia and to altered development of the autonomic nervous system.

Gliosis, although observed in only a small percentage of unexplained stillbirths, is likely an expression of the tissue reaction to cell necrosis caused by hypoxemia. The study by Grafe and Kinney supports this view [48]. These authors found a variety of abnormalities in the brains of stillborns, the most common including cerebral white matter gliosis, related to hypoxia/ischemia in the perinatal period.

CONCLUSIONS

The present neuropathologic study has contributed to identify the nature and frequency of alterations of the central autonomic nervous system in stillbirth, particularly in unexplained stillbirth. These alterations are to a large extent congenital, being an expression of disturbed development in pathophysio logic response to a variety of mechanisms and above all to maternal cigarette smoking in pregnancy and/or to air pollution.

The well known peculiar reactivity and sensitivity of the fetal tissues causes the spread of both primary and secondary (gliosis) reactive alterations of both the brainstem and the entire encephalon. Inevitably, impairment of the brainstem, the site of convergence of vagal-glossal-pharyngeal stimuli, plays a major role in causing death. Therefore, in stillbirth an in-depth pathologic examination of the autonomic nervous system, combined with a careful survey of the individual case history, is very important.

The recent recognition of a common pathologic substrate in both unexplained stillbirth and SIDS [49], consisting of congenital anomalies of the nuclei and/or structures of the autonomic nervous system that preside over the vital activities, suggests that appropriate anatomopathologic research in the autonomic nervous system field may not only explain the pathogenesis of unexplained stillbirth but also individuate the morphological substrates of infant sudden death.

However, it is extremely important that such a thorough autopsy of fresh stillbirths be performed only by experienced, reliable pathologists, as stipulated at the 7th International Conference on SIDS in 2002 [50], and established by Italian law [25].

ACKNOWLEDGEMENTS

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ABBREVIATIONS

ArcN = Arcuate nucleus
DN = Dentate nucleus
HypoglN = Hypoglossus nucleus
LC = Locus coeruleus
pBN = Pre-Bötzinger nucleus
PB/KFe = Parabrachial/ Kölliker-Fuse complex
PFC = Parafacial complex
SS = Somatostatin
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REFERENCES
